



**BOARD OF DIRECTORS  
MEETING AGENDA  
September 21, 2022 – 1:30 PM**

In accordance with AB 361, Sonoma-Marín Area Rail Transit District Resolution No. 2021-24, Governor Newsom's March 4, 2020, State of Emergency due to the COVID-19 pandemic and Marin and Sonoma Counties Health Officials recommendations to continue measures that promote social distancing the SMART Board of Directors Meeting will continue to be held virtually through Zoom.

**MEMBERS OF THE PUBLIC MAY NOT ATTEND THIS MEETING IN PERSON**

**[ZOOM TELECONFERENCE INSTRUCTIONS](#)**

**PUBLIC COMMENT PRIOR TO MEETING:**

If you wish to make a comment you are strongly encouraged to please submit your comment by 5:00 p.m. on Tuesday, September 20, 2022 at <https://www.surveymonkey.com/r/SMARTBoardComments>

**PUBLIC COMMENT DURING THE MEETING:**

The SMART Board Chair will open the floor for public comment during the Public Comment period on the agenda. Please check and test your computer settings so that your audio speaker and microphones are functioning. Speakers are asked to limit their comments to two (2) minutes. The amount of time allocated for comments during the meeting may vary at the Chairperson's discretion depending on the number of speakers and length of the agenda.

1. Call to Order
2. Approval of the September 7, 2022, Board Meeting Minutes
3. Board Member Announcements
4. General Manager's Report
5. Public Comment on Non-Agenda Items

### Consent Calendar

- 6a. Accept Monthly Ridership Report – August 2022
- 6b. Authorize the General Manager to execute Contract Amendment No. 1 with Murphy, Campbell, Alliston & Quinn for legal services in an amount of \$100,000 and extend the term for two (2) years
- 6c. Authorize the Board Chair to Execute a Collective Bargaining Agreement with International Brotherhood of Teamsters Local Union Number 665; and Approve a Resolution Updating Fiscal Year 2022-23 Appendix C- Position Authorization

### Regular Calendar

- 7. Fiscal Year (FY) 22 Year End Investment Report (*Information/Discussion*) – *Presented by Heather McKillop*
- 8. Next Regular Meeting of the Board of Directors, October 5, 2022 – 1:30 PM
- 9. Adjournment

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#### DISABLED ACCOMODATIONS:

Upon request, SMART will provide for written agenda materials in appropriate alternative formats, or disability-related modification or accommodation, to enable individuals with disabilities to participate in and provide comments at/related to public meetings. Please submit a request, including your name, phone number and/or email address, and a description of the modification, accommodation, service, or alternative format requested at least two (2) days before the meeting. Requests should be emailed to *Leticia Rosas-Mendoza, Clerk of the Board* at [lrosas@sonomamarintrain.org](mailto:lrosas@sonomamarintrain.org) or submitted by phone at (707) 794-3072. Requests made by mail SMART's, 5401 Old Redwood Highway, Suite 200, Petaluma, CA 94954 must be received at least two days before the meeting. Requests will be granted whenever possible and resolved in favor of accessibility.



**BOARD OF DIRECTORS  
REGULAR MEETING MINUTES  
September 7, 2022 - 1:30 PM**

In accordance with AB 361, Sonoma-Marin Area Rail Transit District Resolution No. 2021-24, Governor Newsom's March 4, 2020, State of Emergency due to the COVID-19 pandemic, and Marin and Sonoma Counties Health Officials recommendations to continue measures that promote social distancing, the SMART Board of Directors Meeting will continue to be held virtually through Zoom.

**MEMBERS OF THE PUBLIC MAY NOT ATTEND THIS MEETING IN PERSON**

Director Fudge nominated Director Lucan to Chair Pro-Tem for the meeting. Director Rogers second. Motion carried 7-0 (Directors Bagby, Connolly and Rabbitt absent; Director Garbarino and Vice Chair Pahre joined later)

1. Call to Order

Chair Pro Tem Lucan called the meeting to order at 1:34pm. Directors Arnold, Colin, Coursey, Fudge, Hillmer and Rogers. Directors Bagby, Connolly and Rabbitt absent; Director Garbarino and Vice Chair Pahre joined later)

2. Approval of the August 17, 2022, Board Meeting Minutes

Clerk of the Board, Ms. Rosas stated that agenda item 14b on page 11 will be corrected to reflect the following: *nothing to report; no action taken*.

**MOTION:** Director Arnold moved approval of August 17, 2022; Board Meeting Minutes as corrected presented. Director Hillmer second. The motion carried 7-0 (Directors Bagby, Connolly and Rabbitt absent; Director Garbarino and Vice Chair Pahre joined later)

3. Board Members Announcements

Director Fudge stated that the roundabout near the Windsor Depot is in the final stages of completion.

4. General Manager's Report

General Manager Cumins congratulated Programming and Grants Manager, Joanne Parker, for her 12<sup>th</sup> work anniversary at SMART. Ms. Parker since her start in 2010 she has secured

\$415 million in capital and operating funds. She does a tremendous job, and we are happy to have her on our team.

General Manager Cumins provided a brief update on the following:

- New Communications & Marketing Manager
- Ridership
- Real-Time Train Location
- Questions

#### Communications and Marketing Manager

General Manager Cumins announced that Julia Gonzalez has joined SMART as the Communications and Marketing Manager. Her first day was Monday, August 29<sup>th</sup>. Ms. Gonzalez brings over twenty years of experience managing communications, marketing and public relations for large public agencies including 13 years of experience in the transportation and transit sector.

In 2007, Ms. Gonzalez joined the City of Santa Rosa as communications and community outreach for Transportation of Public Works Department. In 2016, she was promoted to Communications Manager for the City Transit Agency. Recently, she served as Assistant Vice President of Strategic Communications at Sonoma State University. Ms. Gonzalez previously served as SMART's Communication and Marketing Manager from 2019-2020. We are pleased to have Ms. Gonzalez back at SMART overseeing marketing communications and public relations initiatives. Additionally, her fluency in Spanish will be an asset in our efforts to reach and engage the Latinx community.

#### Ridership Update

- The average weekday ridership continues to grow.
- This July average weekday ridership (1,626) is up 68% since the previous July (966), and this August average weekday ridership (1,802) is 77% higher than the previous year (1,020).
- Comparing current ridership to pre-pandemic ridership – in August 2022 average weekday ridership (1,802) has grown to 76% of August 2019 average weekday ridership (2,369). By comparison, BART is currently at 37% of its pre-pandemic ridership.
- Average monthly ridership also continues to climb, this August's monthly average ridership (48,278) was up 93% over last August (25,020).
- Compared to pre-pandemic, this August's average monthly ridership (48,278) is 74% of August 2019 ridership (65,352).
- The real story of August ridership is the growth seen since the start of school in mid-August, average weekday ridership has increased 17% since August 15.

#### Real-Time Train Location

- SMART now features a real-time map and arrival prediction tool on [SMART's website](#).
- An illustration of the real-time map was shown

5. Public Comment on Non-Agenda Items

Director Garbarino joined 1:46pm

Jack Swerengen said he attended the Sonoma County Alliance meeting today. He stated that General Manager Cumins provided an excellent presentation.

Richard Brand suggested that General Manager Cumins provide an update of the next process of the Citizens Oversight Committee.

Eris Weaver stated that she attended a memorial service for a bicyclist that was killed on a class 1 pathway in Sonoma County. She will be monitoring the SMART pathways for any hazards that may need to be addressed for safety.

6. Consent

- a. Consider and Approve a Resolution to continue virtual Tele/Video Conference Meetings during the COVID-19 State of Emergency

Chair Pro Tem Lucan asked for Board and public comments on the proposed Consent Agenda.

**MOTION:** Director Hillmer moved approval of the Consent Agenda Items as presented. Director Garbarino second. The motion carried 8-0. ((Directors Bagby, Connolly and Rabbitt absent; Vice Chair Pahre joined later)

7. SMART Pathway Maps (*Information/Discussion*) – Presented by Emily Betts

Principal Planner, Emily Betts, stated that staff has created updated pathway maps with improved legibility and updated information on the pathway status. Staff received many requests from the public and bicycle coalitions for more clear information on the pathway.

The maps are produced in two different versions: 1) SMART's website and 2) PDF's. She illustrated the maps to the Board and the public.

The maps are a work in progress, and they will be updated by staff over time; they are designated to be a useful tool to help a user plan a trip. The maps have been posted on SMART's website at [www.sonomamarintrain.org/smart\\_pathway](http://www.sonomamarintrain.org/smart_pathway).

Comments:

Director Coursey suggested including the definitions of Class 1, 2, 3 and 4 pathways, since most people are not transit orientated. He asked if SMART staff participates/comments on Cities and Counties Bicycle Pedestrian Plans. Ms. Betts responded that staff does participate when asked to provide comments, but SMART does not have any jurisdiction over other cities pathways.

Director Colin asked if there are any liability issues. District Counsel Lyons responded that staff will research and add language in the maps with regard to disclosures and so forth.

Chair Pro Tem Lucan asked if when preliminary engineering or design plans are available can the maps have actual links that they can see the actual specific plans. Ms. Betts responded that staff is hoping to expand on this initial basic pathway site with more updates such as grants, funding, design, and other information for the public to see.

Matthew Hartzell thanked Ms. Betts for her presentation. These maps are a huge improvement from previous SMART pathway maps and users will benefit from this improvement. He noticed that the McInnis Parkway to Smith Ranch Road segment states funded construction plans for 2024. He suggested keeping the maps updated with current information. He also suggested adding routes south of SMART's operating zones.

Eris Weaver stated that the maps are a huge improvement from previous version. The maps show multiple routes where gap areas are shown. She suggested that the line for funded but not constructed pathway projects should be a dotted line.

8. SMART proposes to add 2 weekday roundtrips beginning October 3, 2022, bringing the weekday schedule to 38 trips – *Presented by Emily Betts*

General Manager Cumins stated he is extremely excited about this midday service which is extremely important to people. He is hopeful that we're going to see more ridership with the addition of midday service, which closes that gap between the AM and PM service. We want to make sure that the system meets the needs of the community.

He introduced Principal Planner, Emily Betts. She provided a PowerPoint Presentation which is posted on SMART's website. Highlights include:

- Background
- Public Input
- Weekday Service Changes – 38 Trips
- Midday Service
- Weekend Service Changes
- Next Steps
- Questions

Vice Chair Pahre joined at 2:10pm

#### Comments

Director Fudge stated that the addition of this service is helpful, including meeting 8 of 9 ferry trips.

**MOTION:** Director Rogers moved approve SMART proposed addition of 2 weekday roundtrips beginning October 3, 2022, bringing the weekday schedule to 38 trips as presented. Director Arnold second. The motion carried 9-0. (Directors Bagby, Connolly and Rabbitt absent)

9. Approve a Resolution Authorizing the General Manager to award Contract No. CV-PS-22-002 with American Rail Engineers Corporation for Railroad Bridge Inspection & Engineering Services for a total not-to-exceed contract amount of \$1,600,000 and a term through September 21, 2025, with two one-year options to extend the contract - *Presented by Bill Gamlen*

Chief Engineer, Bill Gamlen, stated that staff is recommending approving a contract for Railroad Bridge Inspection & Engineering Services. The contract will provide bridge inspection services, bridge load ratings, and professional engineering services for SMART facilities on both the main line and the Brazos branch.

SMART is required by the Federal Railroad Administration (FRA) to maintain a Bridge Management Plan that specifies how SMART manages the bridges under its control. The plan includes such information as bridge inventory, annual inspection procedures, emergency response procedures, responsibilities, and other details for the management of our railroad bridges.

This contract will provide specialty bridge inspection and load rating expertise services to supplement SMART staff expertise. In addition, this contract will provide engineering services such as design work for bridge repairs, replacement, and railroad engineering.

SMART issued a Request for Proposals on June 17, 2022 and received three (3) proposals on July 19, 2022. Based on rating method SMART concluded that American Rail Engineers Corporation was the highest-ranking proposer, with good understanding and approach to railroad bridge inspection, bridge evaluations and rail engineering services; and an excellent demonstrated history of providing similar services.

Therefore, staff recommends approving Resolution No. 2022-29 authorizing the General Manager to award Contract No. CV-PS-22-002 with American Rail Engineers Corporation for Railroad Bridge Inspection & Engineering Services for a total not-to-exceed contract amount of \$1,600,000 and a term through September 21, 2025, with two one-year options to extend the contract.

#### Comments

Richard Brand stated that the Golden Gate Railroad Museum has spent approximately \$100k on bridges. He hopes that this contract repays them for the work that has been done and documented.

**MOTION:** Director Coursey moved to Approve a Resolution Authorizing the General Manager to award Contract No. CV-PS-22-002 with American Rail Engineers Corporation for Railroad Bridge Inspection & Engineering Services for a total not-to-exceed contract amount of \$1,600,000 and a term through September 21, 2025, with two one-year options to extend the contract as presented. Director Rogers second. The motion carried 9-0. (Directors Bagby, Connolly and Rabbitt absent)

Chair Pro Term Lucan adjourned the Board to Closed Session at 2:20pm on the following:

10. Closed Session
  - a. Conference with Labor Negotiator General Manager Cumins pursuant to California Government Code Section 54957.6  
*Agency Designated Representative: General Manager*  
*Represented Employees: IAMAW Local Lodge No. 1414 and Teamsters Local 665*
  - b. Conference with Legal Counsel regarding existing litigation pursuant to California Government Code Section 54956.9(a); Filemon Hernandez, et al. V. Sonoma-Marín Area Rail Transit District (SMART) – United States District Court for the Northern District of California – CIV No. 4:21-CV-01782

11. Report Out Closed Session

District Counsel reported out of Closed Session at 4:15pm on the following:

- a. Conference with Labor Negotiator General Manager Cumins pursuant to California Government Code Section 54957.6  
*Agency Designated Representative: General Manager*  
*Represented Employees: IAMAW Local Lodge No. 1414 and Teamsters Local 665*  
*Report Out: No action taken, nothing to report*
  - b. Conference with Legal Counsel regarding existing litigation pursuant to California Government Code Section 54956.9(a); Filemon Hernandez, et al. V. Sonoma-Marín Area Rail Transit District (SMART) – United States District Court for the Northern District of California – CIV No. 4:21-CV-01782  
*Report Out: No action taken, nothing to report*
12. Next Regular Meeting of the Board of Directors, September 21, 2022 – 1:30 PM
13. Adjournment - Meeting adjourned at 4:17pm.

Respectfully submitted,

Leticia Rosas-Mendoza  
Clerk of the Board

Approved on: \_\_\_\_\_





September 21, 2022

**David Rabbitt, Chair**  
Sonoma County Board of Supervisors

**Barbara Pahre, Vice Chair**  
Golden Gate Bridge,  
Highway/Transportation District

**Judy Arnold**  
Marin County Board of Supervisors

**Melanie Bagby**  
Sonoma County Mayors' and  
Councilmembers Association

**Kate Colin**  
Transportation Authority of Marin

**Damon Connolly**  
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Sonoma County Mayors' and  
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**Patty Garbarino**  
Golden Gate Bridge,  
Highway/Transportation District

**Dan Hillmer**  
Marin County Council of Mayors and  
Councilmembers

**Eric Lucan**  
Transportation Authority of Marin

**Chris Rogers**  
Sonoma County Mayors' and  
Councilmembers Association

**Eddy Cumins**  
General Manager

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Petaluma, CA 94954  
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[www.sonomamarintrain.org](http://www.sonomamarintrain.org)

Sonoma-Marin Area Rail Transit Board of Directors  
5401 Old Redwood Highway, Suite 200  
Petaluma, CA 94954

**SUBJECT:** Monthly Ridership Report – August 2022

Dear Board Members:

**RECOMMENDATIONS:** Accept Monthly Ridership Report

**SUMMARY:**

We are presenting the monthly ridership report for activity for the month of August 2022. This report shows trends in ridership for SMART by tracking Totals, Average Weekday riders, and Average Saturday/Holiday riders, Average Sunday riders, as well as bicycles and mobility devices.

As discussed in prior presentations to the Board, both Onboard Counts and Fare-based collection rider counts are shown to give a full picture of ridership. Onboard Counts capture all riders, including the riders who are riding during the Free Fare Days or Programs offered by the Board, riders with passes who neglect to tag on or off, as well as categories of riders such as children under five years old. Clipper + Mobile App paid fare reports do not capture all riders.

This and future reports will compare the most recent month to the same month during the prior year, as is standard industry practice for tracking trends over time. The report also shows progress so far in the Fiscal Year compared to the same time in the last Fiscal Year, to enable tracking of riders relative to budget expectations. These reports also note relevant details associated with fare program discount usage and trends in riders bringing bicycles onboard. As this data collection and reporting process evolves, we will continue to revise data discussion and presentation in the reports.

SMART's rider data for July is posted on the SMART Ridership website (<http://sonomamarintrain.org/RidershipReports>) and SMART's detailed August 2022 data will be posted once validated.

The report covers the gradual return of riders to SMART as Bay Area Counties continue to recover from COVID-19 restrictions schools, restaurants, retail shops, offices, and other places of work.

**FISCAL IMPACT:** None

**REVIEWED BY:** [ x ] Finance       /s/       [ x ] Counsel       /s/      

Respectfully,

      /s/        
Emily Betts  
Principal Planner

Attachment(s): Monthly Ridership Report – August 2022

## AUGUST 2022 SMART RIDERSHIP REPORT

SMART Ridership Report  
Board of Directors,  
September 21, 2022

August 2022 saw a continued ridership increase for SMART, with total boardings 10% over July 2022. The return of students to school brought new riders, with youth ridership increasing from 7% in July to 11% in August. SMART also had 622 Clipper BayPass boardings in August, which is the new free fare program for SRJC students. Ridership recovery post-COVID continues, and August 2022 ridership was nearly double (93%) that of August 2021.

Throughout the region, automobile traffic levels have returned to pre-pandemic levels and transit agencies are seeing gradual ridership recovery. August average weekday ridership (1,802) was the highest since the pandemic began, and ridership exceed 2,000 riders for several days this month. SMART recovered to approximately 75% of pre-pandemic total monthly ridership in August. Weekend ridership continues to strong, though down slightly with summer drawing to a close.

As a reminder, SMART modified services in March 2020 due to the COVID-19 pandemic, with weekend service annulled and weekday service reduced to 16 trips. In May 2021, SMART added back 10 weekday trips, resulting in the current 26 weekday trip schedule. Saturday service was also restored the last two weekends in May 2021, with 3 morning and 3 afternoon round trips. On May 1, 2022, SMART began Sunday service with 12 trips per day, and added 10 additional weekday trips on June 12, 2022. On October 3, 2022, SMART will be adding 2 additional midday trips, for a total of 38 trips per weekday.

The tables below present data for August 2021 and 2022, year-over-year. Ridership for the fiscal year to date is up 85% over the same time period for FY22.

| FISCAL YEAR-TO-DATE (JUL - AUG)                            | Fiscal Year<br>2022 | Fiscal Year<br>2023 | % Change |
|--|---------------------|---------------------|----------|
| <b>Ridership (Onboard Counts)</b>                          | 49,647              | 92,030              | 85%      |
| <b>Paid Ridership (Clipper + App Only)</b>                 | 45,248              | 82,965              | 83%      |
|  |                     |                     |          |
| <b>Average Weekday Ridership (Onboard Counts)</b>          | 994                 | 1,720               | 73%      |
| <b>Average Saturday/Holiday Ridership (Onboard Counts)</b> | 629                 | 1,035               | 65%      |
| <b>Average Sunday Ridership (Onboard Counts)</b>           | 0                   | 873                 | N/A      |
|  |                     |                     |          |
| <b>Bicycles</b>  | 8,296               | 16,085              | 94%      |
| <b>Mobility Devices</b>                                    | 292                 | 370                 | 27%      |

| MONTHLY TOTALS YEAR-OVER-YEAR                              | AUG 2021 | AUG 2022 | % Change |
|--|----------|----------|----------|
| <b>Ridership (Onboard Counts)</b>                          | 25,020   | 48,278   | 93%      |
| <b>Paid Ridership (Clipper + App Only)</b>                 | 22,922   | 44,026   | 92%      |
|  |          |          |          |
| <b>Average Weekday Ridership (Onboard Counts)</b>          | 1,020    | 1,802    | 77%      |
| <b>Average Saturday/Holiday Ridership (Onboard Counts)</b> | 644      | 905      | 41%      |
| <b>Average Sunday Ridership (Onboard Counts)</b>           | 0        | 801      | N/A      |
|  |          |          |          |
| <b>Bicycles</b>  | 4,297    | 8,775    | 104%     |
| <b>Mobility Devices</b>                                    | 140      | 137      | -2%      |

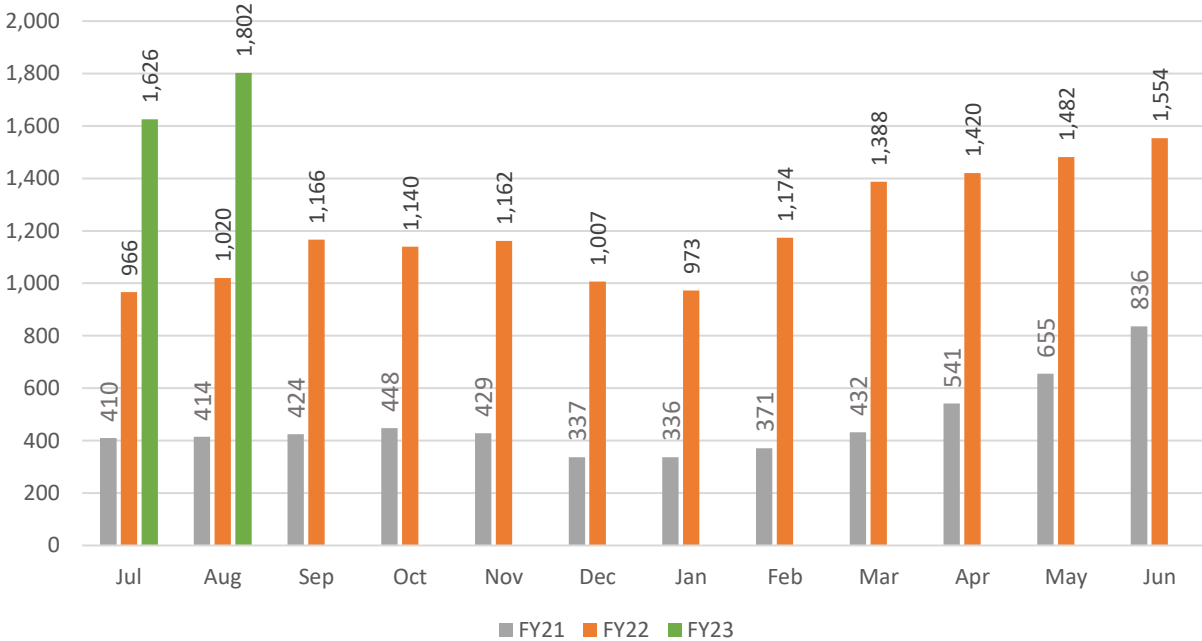
Bicycles on board the train doubled (104%) over last August. The share of passengers bringing bikes on board was 18% in July, which is similar to last summer, up from 12% during the winter months.

## AUGUST 2022 SMART RIDERSHIP REPORT

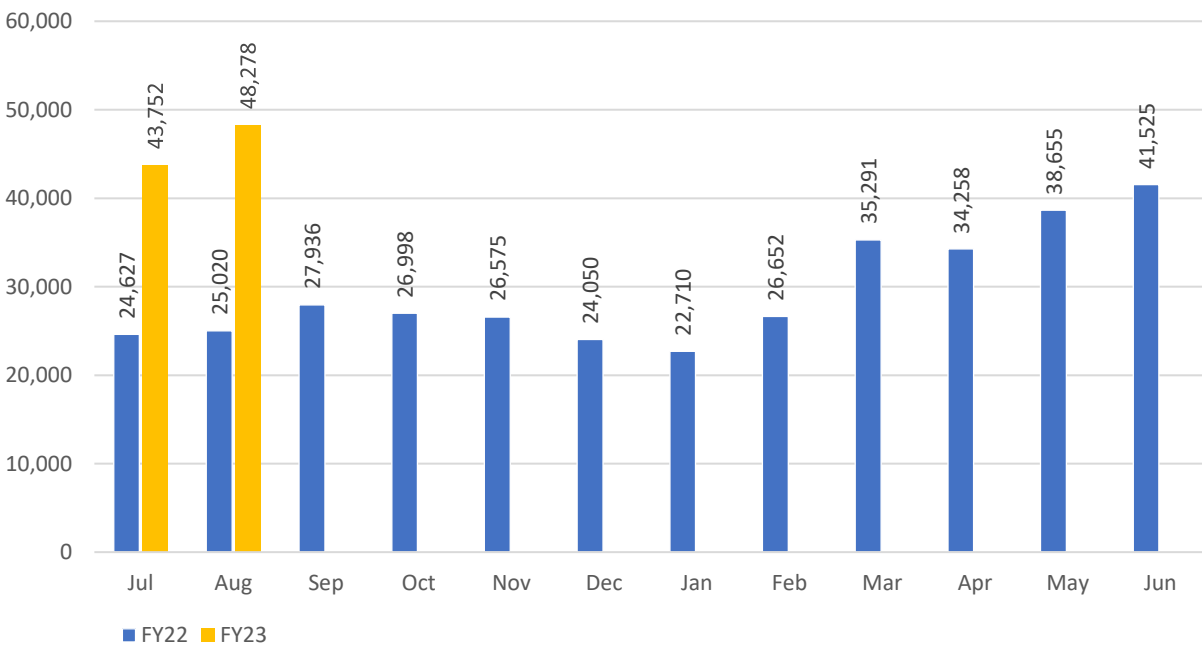
SMART Ridership Report  
Board of Directors,  
September 21, 2022

The following charts compare the average weekday ridership and monthly totals and for FY21-FY23.

### SMART FY21 - FY23 Average Weekday Ridership



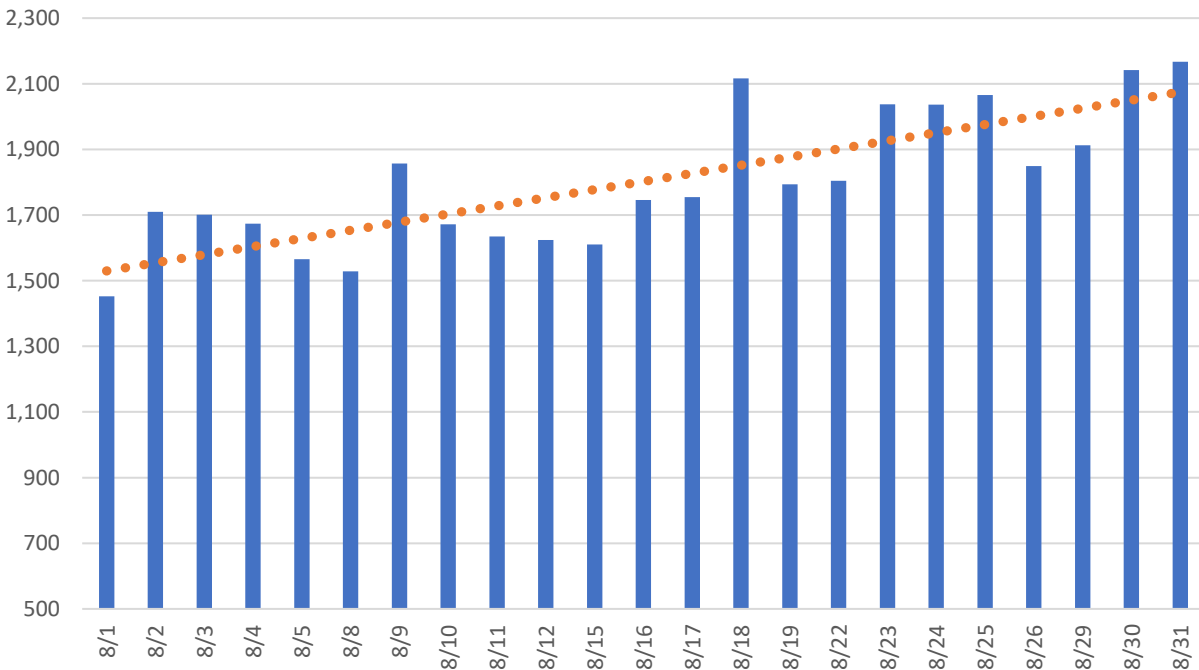
### SMART FY22 - FY23 Monthly Ridership



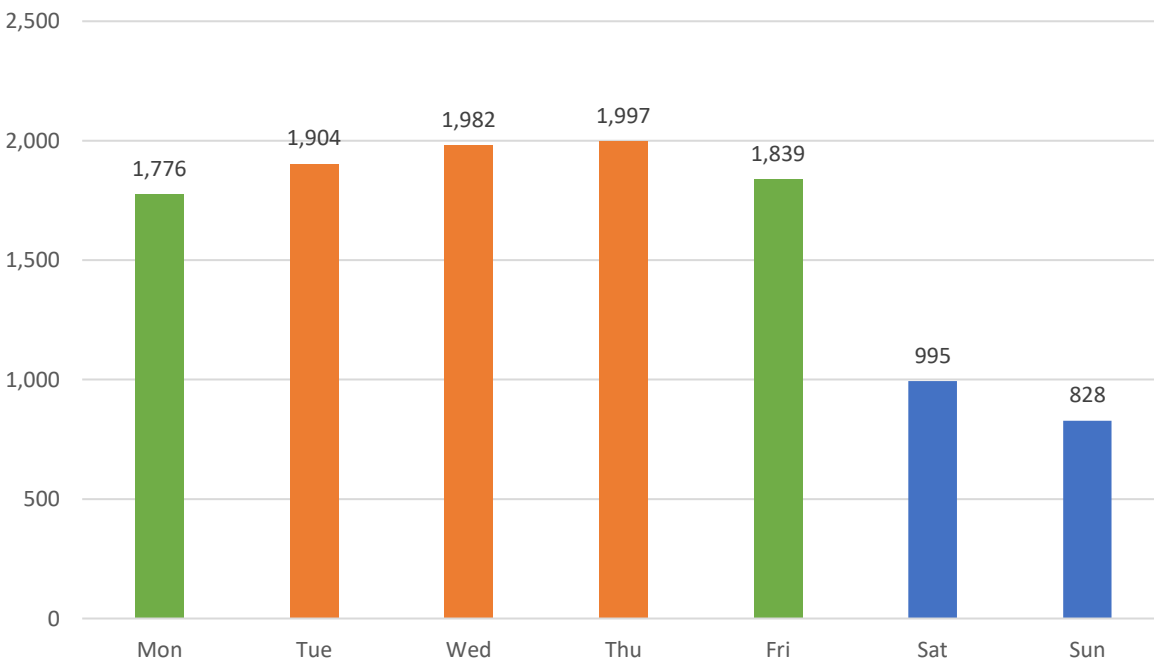
# AUGUST 2022 SMART RIDERSHIP REPORT

SMART Ridership Report  
Board of Directors,  
September 21, 2022

## August Ridership by Weekday



## Average SMART Boardings by Day of Week (last 30 days)





September 21, 2022

**David Rabbitt, Chair**  
Sonoma County Board of Supervisors

**Barbara Pahre, Vice Chair**  
Golden Gate Bridge,  
Highway/Transportation District

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Sonoma-Marin Area Rail Transit Board of Directors  
5401 Old Redwood Highway, Suite 200  
Petaluma, CA 94954

**SUBJECT:** Approval of Murphy, Campbell, Alliston & Quinn Legal Service Contract Amendment No. 1

Dear Board Members:

**RECOMMENDATION:**

Authorize the General Manager to execute Contract Amendment No. 1 with Murphy, Campbell, Alliston & Quinn for legal services in an amount of \$100,000 and extend the term for two (2) years.

**SUMMARY:**

Murphy, Campbell, Alliston & Quinn has assisted SMART with specialized legal services since 2021 and has supported SMART in a number of litigation support matters over the last year including: (1) matters involving incidents and injuries on the SMART right-of-way. (2) matters alleging dangerous condition of public property.

Murphy, Campbell, Alliston & Quinn has specialized legal expertise in a number of areas, including Litigation, wrongful death, tort, dangerous condition of public property, railroad right-of-way, transportation and Federal, State and local governmental agency matters . The District anticipates the continued need for Murphy, Campbell, Alliston & Quinn's expertise and specialized services and for supplemental litigation and legal support on an as-needed basis.

Staff recommends authorizing the General Manager to execute Contract Amendment No. 1 with Murphy, Campbell, Alliston & Quinn contract not-to-exceed amount by \$100,000 and extending the term for an additional 24 months through October 20, 2024.

**FISCAL IMPACT:** Funding is included in the Fiscal Year 2022-23 budget and assumed in subsequent years.

**REVIEWED BY:** [ x ] Finance     /s/     [ x ] Counsel     /s/    

Very truly yours,

    /s/      
Thomas F. Lyons  
General Counsel

Attachment (s): Murphy, Campbell, Alliston & Quinn Contract Amendment No. 1

**FIRST AMENDMENT TO THE LEGAL SERVICES AGREEMENT  
BETWEEN THE SONOMA-MARIN AREA RAIL TRANSIT DISTRICT  
AND MURPHY, CAMPBELL, ALLISTON & QUINN**

This First Amendment dated as of September 21, 2022 (the “First Amendment”), to the Legal Services Agreement by and between **Murphy, Campbell, Alliston & Quinn, a Professional Corporation** (hereinafter “Attorneys”) and the Sonoma-Marín Area Rail Transit District (hereinafter referred to as “SMART”), dated as of October 20, 2021 (the “Original Agreement,” as amended and supplemented by this First Amendment, the “Agreement”).

**RECITALS**

WHEREAS, Attorneys and SMART previously entered the Original Agreement on October 20, 2021, to provide various legal services regarding litigation, rail transit issues, including railroad right of way, construction projects, regulatory proceedings and related issues on a task order basis; and,

WHEREAS, SMART desires to amend the Agreement to increase the not-to-exceed amount by \$100,000 for a total not-to-exceed amount of \$200,000 and to exercise both available one-year options to extend the term by an additional two years to October 20, 2024.

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

**AGREEMENT**

1. **“ARTICLE 4. COMPENSATION”** The last sentence of Article 4 of the Agreement is hereby deleted and replaced in its entirety with the following:

“Total compensation under this Agreement shall not exceed \$200,000.”

2. **“ARTICLE 7. EFFECTIVE DATE AND TERM”** is hereby deleted and replaced in its entirety with the following:

**“ARTICLE 7. EFFECTIVE DATE AND TERM”**. This Agreement shall be effective as of October 20, 2021, and shall expire on October 20, 2024, unless terminated earlier in accordance with the terms herein.

3. Except to the extent the Agreement is specifically amended or supplemented hereby, the Agreement, together with all supplements, amendments and exhibits thereto is, and shall continue to be, in full force and effect as originally executed, and nothing contained herein shall, or shall be construed to, modify, invalidate, or otherwise affect any provision of the Agreement.

**IN WITNESS WHEREOF**, the parties hereto have executed this First Amendment as of the date first set forth above.

**SONOMA-MARIN AREA RAIL TRANSIT  
DISTRICT**

Dated: \_\_\_\_\_

By \_\_\_\_\_  
Eddy Cumins, General Manager

**ATTORNEYS: MURPHY, CAMPBELL,  
ALLISTON & QUINN**

Dated: \_\_\_\_\_

By \_\_\_\_\_  
Stephanie Quinn, Attorney at Law

**APPROVED AS TO FORM:**

Dated: \_\_\_\_\_

By \_\_\_\_\_  
District Counsel





September 21, 2022

**David Rabbitt, Chair**  
Sonoma County Board of Supervisors

**Barbara Pahre, Vice Chair**  
Golden Gate Bridge,  
Highway/Transportation District

**Judy Arnold**  
Marin County Board of Supervisors

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General Manager

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Sonoma-Marin Area Rail Transit Board of Directors  
5401 Old Redwood Highway, Suite 200  
Petaluma, CA 94954

**SUBJECT:** Authorize the Board Chair to Execute a Collective Bargaining Agreement with the International Brotherhood of Teamsters, Local Union Number 665; and Approve a Resolution to Amend Fiscal Year 2022-23 Budget

Dear Board Members:

**RECOMMENDATION:**

Authorize the Board Chair to Execute a Collective Bargaining Agreement with International Brotherhood of Teamsters Local Union Number 665, (Teamsters) and Approve Resolution No. 2022-30 updating Fiscal Year 2022-23, Appendix C Position Authorization.

**SUMMARY:**

This agreement would be the third collective bargaining agreement between SMART and the Teamsters Union which represents the District's Signal Technicians/Trainees (9 FTE), Track Maintainer I (5 FTE), Track Maintainer II (1 FTE), Track Laborers (2 FTE) and Bridge Tenders (3.0 FTE). The total number of staff currently allocated to the three job classes is twenty (20).

The agreement retains the District's current policies with modifications as indicated below. The agreed upon terms include:

Term: September 19, 2022 – September 18, 2025

**Salaries:**

- Wage increases in the first year will be effective September 19, 2022.
- Subsequent wage increases will be effective at the beginning of the pay period following the eligibility date of the increase.

Cost of living adjustments are recommended for each year of the contract as shown in the table below.

| Job Class                 | September 19, 2022 - June 30, 2023 | Hourly Wage | July 1, 2023 - June 30, 2024 | Hourly Wage | July 1, 2024 - September 18, 2025 | Hourly Wage |
|---------------------------|------------------------------------|-------------|------------------------------|-------------|-----------------------------------|-------------|
| Bridge Tender             | 3.90%                              | \$34.07     | 3.00%                        | \$35.09     | 2.10%                             | \$35.83     |
| Signal Technician         | 3.90%                              | \$56.43     | 3.00%                        | \$58.12     | 2.10%                             | \$59.34     |
| Signal Technician Trainee | 3.90%                              | \$42.32     | 3.00%                        | \$43.59     | 2.10%                             | \$44.50     |
| Track Maintainer I        | 3.90%                              | \$42.20     | 3.00%                        | \$43.47     | 2.10%                             | \$44.38     |
| Track Maintainer II       | 3.90%                              | \$46.42     | 3.00%                        | \$47.82     | 2.10%                             | \$48.82     |
| Track Laborer             | 3.90%                              | \$31.86     | 3.00%                        | \$32.81     | 2.10%                             | \$33.50     |

**Safety Equipment:**

- The annual reimbursement amount for a pair of safety boots will be increased from \$200 to \$250 per fiscal year.
- The annual reimbursement amount for a pair of prescription safety glasses will be increased from \$175 to \$250 per fiscal year.

**Training Pay:**

- Adds training pay of \$20 per day for Signal Technician employees who are tasked with training a newly hired Signal Technician/ Trainee on the District's vital signal system.

**Signing Bonus:**

- Provides a one-time signing bonus effective September 19, 2022, for existing employees in these classifications of \$550.

**Work Schedules:**

- If due to operational needs on a workday, a Signals employee is not able to work an eight hour shift the following day due to Federal Railroad Administration hours-of-service restrictions, the employee will be guaranteed forty hours of work or pay during the employee's bid work week at the employer's discretion.

**Probationary Period:**

- Members of the bargaining unit who have completed their initial one-year probationary period, and subsequently apply for and are awarded a higher paid position within the Maintenance of Way division, will only be required to serve an additional six-month probationary period in the new job class. This provision will not apply to the position of Signal Technician Trainee due to training program requirements.

**FISCAL IMPACT:** Funds are included in the Fiscal Year 2022-2023 Board Adopted Budget for these changes. Funds will need to be included in future budgets for the salary adjustments in Fiscal Year 2024 and Fiscal Year 2025.

**REVIEWED BY:** [ x ] Finance       /s/       [ x ] Counsel       /s/      

Very truly yours,

/s/

Lisa Hansley  
Human Resources Manager

Attachment(s):

- 1) Resolution No. 2022-30
- 2) Appendix C – Position Authorizations
- 3) International Brotherhood of Teamsters Local Union Number 665 Collective Bargaining Agreement

**RESOLUTION OF THE BOARD OF DIRECTORS OF THE SONOMA-MARIN AREA RAIL TRANSIT DISTRICT, STATE OF CALIFORNIA, AMENDING RESOLUTION NO. 2022-22 TO REVISE THE ANNUAL BUDGET FOR FISCAL YEAR 2022-2023 TO PROVIDE FOR REVISED POSITION AUTHORITY**

---

**WHEREAS**, as part of its approval of the Annual Budget for Fiscal Year 2022-2023, the Board duly considered the annual expenditures necessary for the Sonoma-Marín Area Rail Transit District; and

**WHEREAS**, on June 15, 2022, the Board adopted Resolution number 2022-22 approving the Annual Budget for Fiscal Year 2022-2023; and

**WHEREAS**, Resolution No. 2022-22 considered the creation of employee positions and fixed the compensation and salary for those positions; and

**WHEREAS**, the Board desires to Amend the Annual Budget to provide the revised position authority for increased salary and benefit expenditures.

**NOW THEREFORE, BE IT RESOLVED THAT THE** Fiscal Year 2022-2023 Budget for the Sonoma-Marín Area Rail Transit District, Appendix C, SMART Position Authorization is hereby amended to reflect position revisions as shown below.

| Position                   | FTE | Salary Annual        | Salary Hourly      |
|----------------------------|-----|----------------------|--------------------|
| Bridge Tender              | 3   | <del>\$68,203</del>  | <del>\$32.79</del> |
|                            |     | \$70,863             | \$34.07            |
| Signal Technician*         | 9   | <del>\$112,965</del> | <del>\$54.31</del> |
|                            |     | \$117,370            | \$56.43            |
| Signal Technician Trainee* | 2   | <del>\$84,718</del>  | <del>\$40.73</del> |
|                            |     | \$88,022             | \$42.32            |
| Track Maintainer I         | 5   | <del>\$84,490</del>  | <del>\$40.62</del> |
|                            |     | \$87,785             | \$42.20            |
| Track Maintainer II        | 1   | <del>\$92,934</del>  | <del>\$44.68</del> |
|                            |     | \$96,559             | \$46.42            |
| Track Laborer              | 2   | <del>\$63,773</del>  | <del>\$30.66</del> |
|                            |     | \$66,260             | \$31.86            |

\*Total Positions cannot exceed 9

**Resolution No. 2022-30  
Sonoma-Marín Area Real Transit District  
September 21, 2022**

**BE IT FURTHER RESOLVED** except as specifically amended or supplemented by this Resolution, Resolution No. 2022-22, together with all supplements, amendments and exhibits thereto is, and shall continue to be, in full force and effect as originally adopted, and nothing contained herein shall, or shall be construed to, modify, invalidate or otherwise affect any provision of Resolution 2022-22.

**PASSED AND ADOPTED** at a regular meeting of the Board of Directors of the Sonoma-Marín Area Rail Transit District held on the 21st day of September 2022, by the following vote:

**DIRECTORS:**

AYES:

NOES:

ABSENT:

ABSTAIN:

\_\_\_\_\_  
David Rabbitt, Chair, Board of Directors  
Sonoma-Marín Area Rail Transit District

ATTEST:

\_\_\_\_\_  
Leticia Rosas-Mendoza, Clerk of the Board of Directors  
Sonoma-Marín Area Rail Transit District

# APPENDIX C - POSITION AUTHORIZATIONS

| Department     | # of Positions | Position Title                       | Hourly    |           | Annual     |            |
|----------------|----------------|--------------------------------------|-----------|-----------|------------|------------|
|                |                |                                      | Min       | Max       | Min        | Max        |
| Administrative | 1              | Accountant I                         | \$ 33.36  | \$ 40.55  | \$ 69,389  | \$ 84,344  |
|                | 1              | Accounts Payable Technician          | \$ 28.29  | \$ 34.40  | \$ 58,843  | \$ 71,552  |
|                | 2              | Administrative Assistant             | \$ 26.97  | \$ 32.78  | \$ 56,098  | \$ 68,182  |
|                | 1              | Assistant General Counsel            | \$ 86.50  | \$ 105.14 | \$ 179,920 | \$ 218,691 |
|                | 1              | Assistant Planner                    | \$ 37.19  | \$ 45.20  | \$ 77,355  | \$ 94,016  |
|                | 1              | Chief Financial Officer              | \$ 115.24 | \$ 140.07 | \$ 239,699 | \$ 291,346 |
|                | 1              | Budget and Grants Analyst            | \$ 50.77  | \$ 61.72  | \$ 105,602 | \$ 128,378 |
|                | 1              | Clerk of the Board                   | \$ 41.02  | \$ 49.86  | \$ 85,322  | \$ 103,709 |
|                | 1              | Communications and Marketing Manager | \$ 70.33  | \$ 85.48  | \$ 146,286 | \$ 177,798 |
|                | 1              | Community Outreach Coordinator       | \$ 38.13  | \$ 46.35  | \$ 79,310  | \$ 96,408  |
|                | 1              | Community Outreach Specialist        | \$ 57.71  | \$ 70.15  | \$ 120,037 | \$ 145,912 |
|                | 1              | Budget and Finance Manager           | \$ 58.87  | \$ 71.57  | \$ 122,450 | \$ 148,866 |
|                | 1              | General Counsel                      | \$ 115.24 | \$ 140.07 | \$ 239,699 | \$ 291,346 |
|                | 1              | General Manager                      | \$ 143.60 |           | \$ 298,688 | -          |
|                | 1              | Human Resources Manager              | \$ 70.08  | \$ 85.19  | \$ 145,766 | \$ 177,195 |
|                | 1              | Human Resources Principal Analyst    | \$ 57.71  | \$ 70.15  | \$ 120,037 | \$ 145,912 |
|                | 1              | Human Resources Technician           | \$ 35.39  | \$ 43.02  | \$ 73,611  | \$ 89,482  |
|                | 1              | Information Systems Analyst          | \$ 44.65  | \$ 54.28  | \$ 92,879  | \$ 112,895 |
|                | 1              | Information Systems Manager          | \$ 73.26  | \$ 89.06  | \$ 152,381 | \$ 185,245 |
|                | 1              | Information Systems Technician       | \$ 39.06  | \$ 47.47  | \$ 81,245  | \$ 98,738  |
|                | 1              | Legal Administrative Assistant       | \$ 35.39  | \$ 43.02  | \$ 73,611  | \$ 89,482  |
|                | 1              | Payroll Technician                   | \$ 28.35  | \$ 34.45  | \$ 58,968  | \$ 71,656  |
|                | 1              | Principal Planner                    | \$ 62.27  | \$ 75.69  | \$ 129,522 | \$ 157,435 |
|                | 1              | Purchasing Assistant                 | \$ 29.49  | \$ 35.85  | \$ 61,339  | \$ 74,568  |
|                | 1              | Procurement Manager                  | \$ 64.32  | \$ 78.19  | \$ 133,786 | \$ 162,635 |
|                | 1              | Programming and Grants Manager       | \$ 73.89  | \$ 89.82  | \$ 153,691 | \$ 186,826 |
|                | 1              | Real Estate Manager                  | \$ 79.56  | \$ 96.72  | \$ 165,485 | \$ 201,178 |
|                | 1              | Risk Manager                         | \$ 62.27  | \$ 75.69  | \$ 129,522 | \$ 157,435 |
|                | 1              | Senior Planner                       | \$ 50.77  | \$ 61.72  | \$ 105,602 | \$ 128,378 |
|                | 1              | Senior Real Estate Officer           | \$ 53.60  | \$ 65.14  | \$ 111,488 | \$ 135,491 |
|                | 1              | Accounting Manager                   | \$ 54.67  | \$ 66.45  | \$ 113,714 | \$ 138,216 |
|                |                | Interns (Multiple)                   |           | \$ 17.00  |            |            |
| Total          | 32             |                                      |           |           |            |            |

| Department | # of Positions | Position Title                | Hourly   |           | Annual     |            |
|------------|----------------|-------------------------------|----------|-----------|------------|------------|
|            |                |                               | Min      | Max       | Min        | Max        |
| Capital    | 1              | Assistant Engineer            | \$ 45.09 | \$ 54.82  | \$ 93,787  | \$ 114,026 |
|            | 2              | Associate Engineer            | \$ 53.60 | \$ 65.14  | \$ 111,488 | \$ 135,491 |
|            | 1              | Chief Engineer                | \$ 94.56 | \$ 114.93 | \$ 196,685 | \$ 239,054 |
|            | 1              | Manager Train Control Systems | \$ 94.56 | \$ 114.93 | \$ 196,685 | \$ 239,054 |
|            | 1              | Principal Engineer            | \$ 70.33 | \$ 85.48  | \$ 146,286 | \$ 177,798 |
|            | 1              | Senior Engineer               | \$ 58.87 | \$ 71.57  | \$ 122,450 | \$ 148,866 |
| Total      | 7              |                               |          |           |            |            |

| Department | # of Positions | Position Title                             | Hourly   |           | Annual     |            |
|------------|----------------|--|----------|-----------|------------|------------|
|            |                |  | Min      | Max       | Min        | Max        |
| Operations | 1              | Administrative Analyst / Purchasing        | \$ 43.13 | \$ 52.42  | \$ 89,710  | \$ 109,034 |
|            | 1              | Administrative Assistant                   | \$ 26.97 | \$ 32.78  | \$ 56,098  | \$ 68,182  |
|            | 1              | Administrative Services Manager            | \$ 45.09 | \$ 54.82  | \$ 93,787  | \$ 114,026 |
|            | 1              | Assistant Superintendent of Transportation | \$ 63.18 | \$ 76.80  | \$ 131,414 | \$ 159,744 |
|            | 3              | Bridge Tender                              |          | \$ 32.79  |            | \$ 68,203  |
|            | 3              | Bridge Tender                              |          | \$ 34.07  |            | \$ 70,863  |
|            | 1              | Chief of Police                            | \$ 85.68 | \$ 104.14 | \$ 178,214 | \$ 216,611 |
|            | 3              | Code Compliance Officer                    | \$ 34.55 | \$ 41.98  | \$ 71,864  | \$ 87,318  |
|            | 12             | Controller / Supervisor                    | \$ 48.33 | \$ 58.74  | \$ 100,526 | \$ 122,179 |
|            | 11             | Conductor *                                | \$ 35.08 | \$ 41.26  | \$ 72,966  | \$ 85,821  |
|            | 20             | Engineer *                                 | \$ 42.17 | \$ 49.61  | \$ 87,714  | \$ 103,189 |
|            | 1              | Facilities Maintenance Supervisor          | \$ 50.25 | \$ 61.09  | \$ 104,526 | \$ 127,066 |
|            | 3              | Facilities Maintenance Technician          |          | \$ 41.02  |            | \$ 85,322  |
|            | 10             | Laborers - Vehicle Maintenance             |          | \$ 31.59  |            | \$ 65,707  |
|            | 2              | Laborers - Track Maintenance               |          | \$ 30.66  |            | \$ 63,773  |
|            | 2              | Laborers - Track Maintenance               |          | \$ 31.86  |            | \$ 66,260  |
|            | 1              | Operations Manager                         | \$ 99.29 | \$ 120.69 | \$ 206,523 | \$ 251,035 |
|            | 2              | Parts Clerk                                | \$ 32.06 | \$ 38.98  | \$ 66,685  | \$ 81,078  |
|            | 1              | Railroad Information Systems Specialist    | \$ 56.31 | \$ 68.45  | \$ 117,125 | \$ 142,376 |
|            | 1              | Safety & Compliance Officer                | \$ 65.31 | \$ 79.39  | \$ 135,845 | \$ 165,131 |
|            | 2              | Signal Supervisor                          | \$ 53.60 | \$ 65.14  | \$ 111,488 | \$ 135,491 |
|            | 9              | Signal Technician **                       |          | \$ 54.31  |            | \$ 112,965 |
|            | 9              | Signal Technician **                       |          | \$ 56.43  |            | \$ 117,370 |
|            |                | Signal Technician Trainee (2) **           |          | \$ 40.73  |            | \$ 84,718  |
|            |                | Signal Technician Trainee (2) **           |          | \$ 42.32  |            | \$ 88,026  |
|            | 1              | Superintendent of Vehicle Maintenance      | \$ 75.11 | \$ 91.29  | \$ 156,229 | \$ 189,883 |

|       |              |  |          |                     |            |                      |
|-------|--------------|--|----------|---------------------|------------|----------------------|
|       | 1            | Superintendent of Transportation         | \$ 75.11 | \$ 91.29            | \$ 156,229 | \$ 189,883           |
|       | 1            | Superintendent Signals and Way           | \$ 75.11 | \$ 91.29            | \$ 156,229 | \$ 189,883           |
|       | <del>5</del> | <del>Track Maintainer I</del>            |          | <del>\$ 40.62</del> |            | <del>\$ 84,490</del> |
|       | 5            | Track Maintainer I                       |          | \$ 42.20            |            | \$ 87,785            |
|       | <del>1</del> | <del>Track Maintainer II</del>           |          | <del>\$ 44.68</del> |            | <del>\$ 92,934</del> |
|       | 1            | Track Maintainer II                      |          | \$ 46.42            |            | \$ 96,559            |
|       | 2            | Track Maintenance Supervisor             | \$ 50.14 | \$ 60.94            | \$ 104,288 | \$ 126,764           |
|       | 4            | Vehicle Maintenance Supervisor           | \$ 51.01 | \$ 62.00            | \$ 106,101 | \$ 128,960           |
|       | 12           | Vehicle Maintenance Technician ***       |          | \$ 49.64            |            | \$ 103,251           |
|       |              | Vehicle Maintenance Tech Trainee (2) *** |          |                     |            |                      |
| Total | 113          |  |          |                     |            |                      |

| Department | # of Positions | Position Title                   | Hourly   |          | Annual     |            |
|------------|----------------|----------------------------------|----------|----------|------------|------------|
| Freight    |                |                                  | Min      | Max      | Min        | Max        |
|            | 0.5            | Freight Administrative Assistant | \$ 26.97 | \$ 32.78 | \$ 56,098  | \$ 68,182  |
|            | 1              | Freight Manager                  | \$ 75.11 | \$ 91.29 | \$ 156,229 | \$ 189,883 |
|            | 4.5            | Freight Utility Worker           | \$ 34.20 | \$ 41.58 | \$ 71,136  | \$ 86,486  |
| Total      | 6              |                                  |          |          |            |            |

Total FTE 158

\* Total positions cannot exceed 31.

\*\* Total positions cannot exceed 9.

\*\*\* Total positions cannot exceed 12.

**MEMORANDUM OF UNDERSTANDING**  
**BETWEEN**  
**INTERNATIONAL BROTHERHOOD OF TEAMSTERS LOCAL NO. 665**  
**AND**  
**SONOMA MARIN AREA RAIL TRANSIT**  
**MAINTENANCE OF WAY TECHNICIANS UNIT**

September 19, 2022 – September 18, 2025



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## **ARTICLE 1            RECOGNITION**

1.1     The SONOMA MARIN AREA RAIL TRANSIT herein after referred to as (SMART) recognizes the INTERNATIONAL BROTHERHOOD OF TEAMSTERS LOCAL UNION NO. 665 herein after referred to as (UNION) as the formally recognized employee organization for the following job classes:

1.1.1   Maintenance of Way Technicians Unit: Track Maintainers, Track Laborers, Signal Technician Trainees, Signal Technicians, Bridge Tenders

### **1.2     SCOPE AND DEFINITIONS**

1.2.1   This Memorandum of Understanding (MOU) will apply to the work performed by the employees specified herein and governs the rates of pay, hours of service, and working conditions of all such employees engaged in the duties of the job classes specified above in section 1.1.1.

1.2.2   SMART may not contract out work normally performed by an employee in a bargaining unit covered by this MOU without the agreement of the Union.

1.2.3   It is understood that the duties and responsibilities of employees in a bargaining unit covered by this MOU between the UNION and SMART will not be assigned to others except in emergencies and when required by temporary operational needs.

## **ARTICLE 2            UNION MEMBERSHIP**

2.1     Pursuant to Government Code Sections 1150-1157 (SB 866) and Janus v. American Federation of State, County and Municipal Employees, Council 31, et al. 585, 138 S. Ct. 2448 (June 27, 2018) all employees working in positions covered by this agreement have the right to become members of the Union and shall remain members in good standing until such time as they notify the Union they no longer wish to be dues paying members.

2.2     The District will rely on a written certification from the Union requesting that the District deduct from employees' wages an amount equal to the Union's monthly dues or fees authorized by the Union Bylaws. The Union has and will obtain and maintain signed employee authorizations for said wage deductions and will represent to the District that each bargaining unit employee is affirmatively consenting to the dues deductions consistent with federal law. After providing the required certification, the Union will not be required to provide a copy of individual authorizations to the District unless a dispute arises about the existence or terms of the authorization. The Union will, however, each month provide the District with a list of all active Union members and non-members in the bargaining unit.

- 2.3 Based on the certification from the Union described above, the District will deduct, monthly, the amount of Union regular and periodic dues and fees and any special membership assessments as may be specified by the Union under the authority of an authorization card signed by the employee. Dues deduction for employees will only be made upon the written certification from the Union. It is the Union's responsibility to provide timely notice of dues deduction authorizations to the District. The District requires ten (10) working days' notice of dues deduction authorization for an employee prior to the date the first deduction is to take effect
- 2.4 Employees wishing to discontinue membership for reasons other than separation from employment shall give notice to the Union that they wish to discontinue dues deductions. It is the Union's responsibility to provide timely notice of dues deduction revocations to the District. Once notification has been received by the District to stop dues deductions for an employee, the change will be implemented at the beginning of the first pay period following the date that the District received notice.
- 2.5 If the employee authorizes dues payment by payroll deduction, the appropriate sum shall be deducted by SMART and paid to the Union on a monthly basis after collection of dues. The amount of dues deductions are determined by the Union and shall be provided in writing to the District on a quarterly basis at minimum or more frequently if changes in membership occur. The District will continue to honor deduction authorizations as specified by the Union until new authorization lists or revocations are provided by the Union.
- 2.6 If the employee joins the Union but chooses not to authorize payroll deductions, payments of dues shall be received by the Union not later than the following:
- (a) For employees who have been employed by SMART for more than thirty (30) days upon the effective date of this Memorandum of Understanding, an appropriate initiation fee shall be paid to the Union no later than ten (10) days after notification of this provision by the Union. For employees with less than thirty (30) days of employment with SMART upon the effective date of this Memorandum of Understanding, an appropriate initiation fee shall be paid to the Union by the thirtieth (30th) day following the commencement of employment;
  - (b) Thereafter, dues shall be paid to the Union in full on or before the first day of each calendar month; and General assessments (relating to costs associated with negotiating collective bargaining agreements, administering same and adjusting grievances. Pursuant to said collective bargaining agreement(s) with SMART shall be paid to the Union in full on or before the date set by the Union at the time of Assessment, or if no date is set, within ten (10) days of the

call of the assessment by the Union. The Union shall be responsible for promptly notifying Union members of such assessments.

- 2.7 The Union and SMART acknowledge the provisions of §3502.5 of the California Government Code and agree that nothing contained in this section shall act to supersede or waive any of the employee's rights contained herein.
- 2.8 The Union agrees to indemnify and hold harmless SMART for any loss or damage sustained which arises from the operation of this section.
- 2.9 In the event there is a change in the law whereby any provision hereof becomes invalid or if for any reason any provision of this Agreement is rendered unlawful by decision of a court of competent jurisdiction, this Agreement shall be either forthwith deemed amended to comply with the change or decision in question and those provisions not affected by the change in law shall remain in full force and effect. If this occurs, at the election of either party this provision may be reopened for further negotiations.

### **ARTICLE 3 DUES CHECKOFF**

- 3.1 The Union will be granted permission by SMART to have regular dues of its member employees deducted from their paychecks, in accordance with the procedures prescribed by SMART.
- 3.2 Dues deductions shall be for a specified amount and shall be made only upon the voluntary written authorization of the Union member, which authorization meets all of the requirements for the assignment of wages as set forth in §300 of the California Labor Code. Dues deduction authorization may be revoked and the dues check-off payroll discontinued at any time by the Union member upon voluntary written notice to the Union. The Union will inform the District within seven (7) calendar days of the revocation.
- 3.3 The member employee's earnings must be regularly sufficient after legal and required deductions are made, to cover the amounts of the dues check off authorized. In the case of a member employee who is in a non-pay status during any part of the pay period and the salary is not sufficient to cover the whole withholding, no deductions shall be made. In this connection, all other legal and required deductions have priority over Union dues.
- 3.4 Neither the Union nor the member employees shall be charged a service fee for the deduction of regular Union dues as hereinabove provided for.
- 3.5 Dues withheld by SMART shall be transmitted to the officer designated in writing by the Union as the person authorized to receive such funds at the address specified. Funds may

also be transmitted by Automatic Clearing House (ACH) or other accepted electronic banking process

- 3.6 The Union shall indemnify, defend and hold SMART harmless against any claim made and against any suit instituted against SMART on account of check-off of Union dues. In addition, the Union shall refund to SMART any amount paid to it in error upon presentation of supporting evidence.

#### **ARTICLE 4 NON-DISCRIMINATION**

- 4.1 SMART is an equal opportunity employer and makes employment decisions on the basis of merit. In accordance with applicable law, the District prohibits discrimination based on race, color, religion, creed, sex, marital status, age, national origin or ancestry, physical or mental disability, medical condition, genetic information, military or veteran status, sexual orientation, gender, gender identity, gender expression, or any other consideration protected by federal, state or local laws. All such discrimination is unlawful. The District's commitment to equal opportunity employment applies to all persons involved in the operations of the District and prohibits unlawful discrimination by any employee of the District, including Supervisors and co-workers.
- 4.2 All references in this Collective Bargaining Agreement that refer specifically to the male or female gender shall be construed to apply equally to the opposite.
- 4.3 The Union agrees it will represent the interests of all employees in the represented job classes equally and fairly, regardless of Union membership status.

#### **ARTICLE 5 MANAGEMENT RIGHTS**

- 5.1 The exclusive rights of the District shall include, but not be limited to, the right to determine the organization of District, as well as its purpose and mission; to set standards of service to be offered to the public; and, through its management officials, to exercise control and discretion over its organization and operations; to establish and effect administrative regulations which are consistent with law and the specific provisions of any collective bargaining agreements that may exist; to direct its employees; to take disciplinary action; to lay off its employees; to determine whether District goods and services shall be made, purchased, or contracted for; to determine the methods, means, and personnel by which the District's services are to be provided, purchased, or contracted; to determine qualifications for employment; to

schedule and assign work and overtime; and to otherwise act in the interest of efficient service to the public.

- 5.2 All matters pertaining to the management of operations, such as the type and kind of service rendered to the public, the equipment used, workload, the administration of discipline and efficiency, the standards of hire, promotion and transfer of employees, and their discipline and discharge for cause are within the discretion of SMART. SMART's rules, policies and procedures, as may be amended from time to time, are necessary for efficient operations and infraction of these rules shall constitute cause for disciplinary action, up to and including discharge. Depending on the facts and circumstances involved in each situation, SMART may choose to begin disciplinary action at any step up to and including termination.

## **ARTICLE 6                      UNION STEWARDS**

- 6.1 SMART agrees to recognize two (2) stewards: one (1) chief steward (Union Representative on Site) and one (1) alternate to represent employees in the bargaining unit covered by this MOU. The Union must inform SMART, in writing, of an employee's designation as a shop steward or alternate.
- 6.2 The shop steward, as much as possible, shall perform their duties as a shop steward when not scheduled to work. If the shop steward must perform any duties during regularly scheduled work hours, it shall be kept to a minimum and shall not interfere with normal operations. The shop steward must request time off in advance to perform his/her duties during regularly scheduled work hours. Absent an emergency, the request must be in writing and submitted at least forty-eight (48) hours in advance. The release of shop stewards from work to perform their duties will depend on SMART'S operational needs as determined by SMART.
- 6.3 SMART will not compensate the shop steward for performing any duties as a shop steward, except as otherwise provided for in this Memorandum of Understanding. The shop steward shall be allowed time off during their normal working hours to handle grievances or meet with SMART representatives concerning matters affecting employees' working conditions without loss of pay, provided that such meetings shall not exceed one (1) hour unless mutually agreed upon by the parties.
- 6.4 A maximum of two (2) employees shall serve on the Union bargaining team. A maximum of one (1) employee shall be released from work by SMART to attend negotiations between the Union and SMART. However, the employee released by SMART will be paid their regular rate of pay for negotiations held during their regularly scheduled work hours.

## **ARTICLE 7                    BULLETIN BOARDS**

- 7.1     SMART agrees to supply and provide suitable space for the Union bulletin board at each work location where bargaining unit members are employed. Postings by the Union on such boards are to be confined to official business of the Union and on the Union's official letterhead. In each location, there shall be a covered bulletin board. Union stewards shall have a key for the Union bulletin boards. SMART shall not remove, tamper with, or alter any notice posted by the Union unless such notice is harmful to SMART. Any such notice removed by SMART shall be re-posted if the Union's position is sustained through the grievance procedure.

## **ARTICLE 8                    UNION ACCESS TO WORK LOCATIONS**

- 8.1     Within reasonable circumstances, a Union business representative shall have access to SMART premises at a mutually agreeable time to investigate or adjust grievances, or conduct other necessary Union business. Except for emergency circumstances, requests for access to the Rail Operations Center (ROC) and other SMART Operations facilities will be made 48 hours in advance.

## **ARTICLE 9                    SENIORITY**

- 9.1     SMART shall maintain a departmental seniority list for the employees in each bargaining unit covered by this agreement. Departmental seniority means the length of time an employee has been employed by SMART in their respective job class within a bargaining unit covered by this agreement. When more than one (1) employee has the same employment date, the employees' relative positions on the seniority list shall be determined by the last four digits of the Social Security Number. The higher number will have seniority.
- 9.2     An employee's seniority shall be terminated for the following reasons:
- 9.2.1    Resignation or termination of employment;
  - 9.2.2    Layoff for a period of more than twelve (12) months;
  - 9.2.3    Failure to respond within ten (10) days to a notice of recall during layoff or failure to return to work within fourteen (14) days after a recall notice;
  - 9.2.4    Failure to return to work following an approved leave of absence.



## **ARTICLE 10          PERFORMANCE EVALUATIONS**

- 10.1    An annual employee evaluation system shall be used for all probationary and regular employees.
- 10.2    SMART shall determine the use and significance, if any, of job performance evaluations in determinations regarding, but not limited, examinations, promotions, demotions, transfers, dismissals and suspensions.
- 10.3    Employees shall have access to their evaluations on file in Human Resources.
- 10.4    All employees will sign the evaluation indicating their receipt of the evaluation within ten (10) calendar days of receipt. An employee who is dissatisfied with his/her review may prepare and submit a written response within thirty (30) calendar days following receipt of the evaluation. The response will be submitted to the General Manager with a copy to the Human Resources Manager. The response will be placed with the evaluation in the employee's personnel file. The employee has no further means of challenging or appealing a performance evaluation. Performance evaluations are not grievable.

## **ARTICLE 11          PROBATIONARY PERIOD**

- 11.1    The probationary period shall be a trial period during which SMART evaluates the employee's ability, competency, fitness and other qualifications to do the work for which they are employed.
- 11.2    All new employees shall be on probation for twelve (12) months immediately following their date of hire. If an employee is absent from work for good cause during the probationary period, probation may, be extended day for day by SMART to allow the employee to complete the full twelve (12) months. New probationary employees may be disciplined or discharged at the total discretion of SMART and such actions shall not be subject to review under any provision of this Memorandum of Understanding.
- 11.3    Members of the bargaining unit who have completed their initial one-year probationary period, and subsequently apply for and are awarded a higher paid position within the Maintenance of Way Technicians bargaining unit, will only be required to serve an additional six-month probationary period in the new job class. This provision will not apply to the position of Signal Technician Trainee. Bargaining unit members who promote to the

job class of Signal Technician Trainee will serve a 12-month probationary period due to training program requirements.

## **ARTICLE 12                    PROMOTIONS, VACANCIES AND TRANSFERS**

- 12.1 Employees who are transferred or promoted out of the bargaining unit, and who fail to successfully complete the probationary period of the new position (for a reason that does not disqualify them from employment in any classification), may elect to return to their original position, if a vacancy exists, in their previous classification within the bargaining unit. Employees shall have a maximum of six (6) months during which they can return to their previous position. Employees shall have no right to return to the bargaining unit after six (6) months. An employee who returns to an available position within the six- (6) month period shall retain his/her departmental seniority.
- 12.2 SMART shall notify the Union of all job openings within the bargaining units covered by this Memorandum of Understanding. The Union may refer qualified applicants for such openings. In interviewing and hiring of such job openings, SMART will not discriminate against any applicant referred by the Union. Applicants referred by the Union will participate in the competitive process as would any other applicant.
- 12.3 Applicants who meet the qualifications of the position descriptions will undergo a selection process as determined by SMART. This process may include, but is not limited to, screening of the most qualified applicant for job suitability and skills assessment, such as written, hands on, video or interview.

## **ARTICLE 13                    TRAINING**

- 13.1 SMART encourages employees to keep their job-related skills current and to look for opportunities to enhance those skills. The Division Superintendent or designee will meet with each employee in a bargaining unit covered by this agreement once a year through the performance evaluation process to assess individual training and career development needs.
- 13.2 When appropriate, SMART will provide employees the opportunity to attend job-related training, including, but not limited to, conferences and seminars. Voluntary training sessions attended after an employee's work hours are unpaid.

- 13.3 Upon approval by SMART, the employee will be reimbursed for expenses related to attending job-related, pre-approved training as allowed per SMART's travel policy, vehicle use guidelines and applicable state and federal law.
- 13.4 Employees in a bargaining unit covered by this agreement will be required to attend training classes and take examinations connected with their duties as required by Federal, State, Local and Agency regulations. Examinations may be written or oral and include physical examinations, geographical qualification examinations and service examinations as required by state and Federal Railroad Administration regulations.

## **ARTICLE 14 SAFETY**

- 14.1 When an employee is injured at work so as to require that he/she be excused from work by an authorized representative of Management, he/she shall be paid for the balance of the shift (regular pay) on which the injury occurred. Subsequent physician's visits, if necessary, will be charged to sick leave if not scheduled outside of the employee's regular working hours. A copy of the accident report shall be given to the employee. Sick leave pay will not commence until the following day after the injury/illness.
- 14.2 In those cases where an employee receives worker's compensation benefits under the District's plan and is granted sick leave during a disability resulting from an on-the-job injury, DISTRICT shall receive credit against any Workers' Compensation Insurance granted to him, until such leave is exhausted. Payments to the employee will not exceed 100% of regular base pay.
- 14.3 When, after the employee returns to work, there is a bona fide re-injury of the original injury on the job and an authorized representative of Management acting on the recommendation of a doctor excuses the employee from work, he/she shall be paid for the balance of the shift.
- 14.4 The employer and employee are required to comply with Cal-OSHA standards and Federal Railroad Administration Regulations.
- 14.5 Employees instructed to perform work that they believe they cannot perform safely should raise such concern with their supervisor at the time of the assignment of the work. If the employee feels the work is in violation of FRA regulations, they should make a "Good Faith Challenge" by submitting a Good Faith Challenge Form to their supervisor. Employees making a good faith challenge will not be required to perform the work in question until the challenge has been resolved but shall perform other work as directed by their supervisor.

## **ARTICLE 15                    GRIEVANCE PROCEDURE**

15.1    A grievance must be in writing and is defined as a complaint that there has been noncompliance with or a misinterpretation or misapplication of this Memorandum of Understanding or a work rule or resolution of SMART. Grievances will be processed in accordance with SMART policy HRM-0011 Grievance Procedure as modified herein.

### **15.2    SCOPE**

15.2.1.   Selection appeals, disciplinary action, examination appeals, release from Probationary period, complaints of discrimination, the content of performance evaluations and reviews are not grievable hereunder.

15.2. 2.   A grievance may be filed by an employee on his/her own behalf or by the Union.

15.2. 3.   If it is asserted that the grievance is outside of the scope of procedures or definitions contained herein, such assertion will be evaluated and ruled upon at each step.   Such claim will not halt the further processing of the grievance until Step 4 of the resolution process is reached, as defined below.   At Step 4, the General Manager will evaluate the assertion, and make a ruling prior to hearing the grievance on its merits.   If the General Manager rules that the matter is not grievable hereunder, the grievance will be dismissed and cannot be processed further.

15.2.4    Disputes concerning the applicability of the Grievance Procedure that persist beyond step 4 may be submitted to step 5, Arbitration, for determination. The Arbitrator will decide the grievability of the issue before taking evidence concerning the merits of the dismissed grievance. If the Arbitrator decides that the dispute is Grievable, the matter will be referred back to Step 4 for consideration of the merits.

15.2.5    For the purposes of this grievance procedure, “Working Days” shall be defined as those in a normal, five-day work week, Monday through Friday.

### **15.3    GRIEVANCE TIME LIMITS**

15.3.1.   Time limits specified in each step of the procedure shall be strictly observed and may only be extended by mutual agreement of the parties in writing.

15.3.2.   Failure of a grievant to observe a time limit shall terminate the grievance. Failure of the party to whom the grievance is submitted to observe the time limits shall give the Union the right to move the grievance to the next level.

#### 15.4 PROCEDURE

- 15.4.1. The District recognizes that disputes should be resolved at the lowest possible administrative level. The grievance procedure shall be followed in its entirety before further actions are taken to seek resolution to the dispute. When the grievance is filed by a bargaining unit employee, the grievant may be represented by the Union or a Union Steward throughout the grievance process.

#### 15.5 INFORMAL GRIEVANCE - STEP 1

- 15.5.1. The employee will discuss the grievance with his/her immediate supervisor within ten (10) working days of the event giving rise to the grievance, or within ten (10) working days of when the employee knew of or could have reasonably discovered such action or occurrence. The supervisor shall give his/her decision to the employee within ten (10) working days following the discussion.
- 15.5.2. Presentation of an informal grievance will be a prerequisite to the institution of a formal grievance.

#### 15.6 FORMAL GRIEVANCE

- 15.6.1. STEP 2 - Grievance Form: Within fifteen (15) working days, if the grievant is not satisfied with the informal response, or he/she has not received a response from the supervisor within the ten (10) working day limit specified above, the employee or the Union may initiate a formal grievance. A formal grievance shall be initiated by completing and filing a Grievance Form with the Human Resources Department with a copy to the supervisor and the Union. The form must contain:
  - i. Name(s) of grievant;
  - ii. Position title(s);
  - iii. Department(s);
  - iv. Mailing Address(es);
  - v. A clear statement of the nature of the grievance, citing applicable rule, regulation, policy or contract language;
  - vi. The date upon which the event giving rise to the alleged grievance occurred;
  - vii. The date upon which the informal discussion with the supervisor took place;
  - viii. A proposed solution to the grievance;

ix. The date of execution of the Grievance Form

15.6.2. Resolution Process: After filing the Grievance Form with Human Resources, the process for resolving the grievance is as follows:

- i. STEP 3: Within twenty (20) working days after a formal grievance is filed, the Department Manager will investigate the grievance, confer with the Union in an attempt to resolve the grievance and make a decision in writing.
- ii. STEP 4: If the grievance is not resolved in Step 3 to the satisfaction of the Union, within ten (10) working days of receipt of the Department Manager's decision, the Union may request consideration of the grievance by the General Manager, by notifying the Human Resources Department in writing.
  - 1) Within twenty (20) working days after such notification, the General Manager will investigate the grievance, conferring with person(s) affected to the extent he or she deems necessary, and will render a decision in writing.
  - 2) If the written decision of the General Manager resolves the grievance to the satisfaction of the Union, it will bind the Parties.
  - 3) If the Union is not satisfied with the decision of the General Manager or has not received a response within the time limits specified in Step 4, the Union may proceed to Step 5.
- iii. STEP 5 Arbitration: Should the matter remain unresolved in Step 4 above, a final consideration of the grievance to Step 5, arbitration, may be filed in writing with the Human Resources Department not more than fifteen (15) working days from receipt of the General Manager's decision.
  - 1) The grievance will be determined by an arbitrator, provided that the District and the grievant agree on the issues to be arbitrated.
  - 2) As soon as possible, but no later than twenty (20) working days, after receipt of the Step 4 request for arbitration, the District and the Union shall select an arbitrator. If the parties are unable to agree upon an arbitrator, they shall request a list of five (5) names from the State Conciliation and Mediation Services and shall strike names until an arbitrator is selected.
  - 3) Both parties will endeavor to submit the grievance to the arbitrator within twenty (20) working days after selection.
  - 4) The Arbitrator(s) will neither add to, detract from, nor modify or ignore the existing language of any District rules, policies or

regulations in considering any issue properly before them. If the arbitrator sustains the grievance, he/she shall fashion an appropriate remedy that does not conflict with any provisions contained in any District rule, policy or regulation.

- 5) The Arbitrator(s) will expressly confine themselves to the precise issues being raised by the grievance and submitted to them, and will have no authority to consider any other issue not so submitted.
- 6) Any monetary award in favor of the grievant is limited to lost wages or benefits suffered measured from the date of the grievance forward. In no event will the Arbitrator(s) award any other type of monetary award, including, but not limited to, attorney's fees.
- 7) The decision will be final and binding on all parties.

#### 15.6.3 General Considerations

- i. The Human Resources Department will act as the central repository for all grievance records.
- ii. All expenses of arbitration will be shared equally by the District and the Union.
- iii. Failure on the part of the District or the grievant to appear in any case before an arbitrator, without good cause, will result in forfeiture of the case and responsibility for payment of all costs of arbitration.
- iv. The grievant's or Union designee's signature is required at each step of the grievance procedure.
- v. A copy of the grievance will be provided to the General Manager at each step of the grievance process.
- vi. There will be no amendments of a grievance without the approval of both parties in writing.
- vii. Mediation may be used by both parties to assist them in resolving grievances. The decision to utilize mediation will be voluntary. Mediation may be held at any step prior to submission of the final appeal under Step 5 of the grievance procedure.

## **ARTICLE 16            DISCIPLINE**

- 16.1 SMART has the right to discipline or discharge regular employees for just cause. SMART employs a progressive disciplinary program, which program may include, but is not limited to, , written warning, suspension, and discharge. Disciplinary action may begin at any step in the program depending upon the seriousness of the infraction.

The District will notify the employee and the Union in writing of the commencement of a disciplinary investigation within ten (10) working days of the District's knowledge that the employee may have engaged in misconduct. The District will notify the employee and the union by email or in-person delivery to arrange an investigatory interview with the employee and his/her Union Representative/Shop Steward, if requested.

- 16.2 The causes for which an employee may be disciplined or discharged shall include, but not be limited to, the following:

16.2.1 Dishonesty

16.2.2 Insubordination

16.2.3 Intoxication or use of alcoholic beverages or illegal drugs while on duty or on SMART property.

16.2.4 Sexual harassment or other harassment of fellow employees.

16.2.5 Violation of SMART rules or policies.

16.2.6 Violation or non-compliance with federal and state operating rules and regulations, including Federal Railroad Administration rules and regulations.

16.2.7 Violence and/or threats of violence in the workplace.

- 16.3 SMART will inform regular employees in writing as to the reasons for a discharge or suspension.

- 16.4 Regular employees (those who have completed the Probation Period) claiming that they were unjustly disciplined or discharged may challenge the discipline or discharge through the Discipline policy and procedures set forth in SMART's Discipline Policy, HRM-0018. HRM-0018 and any modifications thereto are incorporated into this Agreement and are binding on all parties. The Regular employee may be represented by the Union throughout the disciplinary process. Except as provided in paragraph 16.5, no employee in a bargaining unit covered by this agreement will be disciplined, suspended or dismissed from the service until a fair and impartial formal investigation has been conducted by an authorized Department Manager.

- 16.5 Except when a serious act or occurrence is involved, or as required by Federal Railroad Administration regulations, an employee in a bargaining unit covered by this agreement



will not be held out of service in disciplinary matters before a formal investigation is conducted. A serious act or occurrence is defined in section 16.2 above.

- 16.5.1 If an employee in a bargaining unit covered by this agreement is held out of service before a formal investigation, the District may elect to put the employee on Administrative Leave of Absence with pay during the disciplinary process. Holding an employee out of service before a formal investigation or paying the employee for being out of service for less than a serious act or occurrence is not prejudging the employee.
- 16.6 The General Manager's or designee's decision shall only be appealed by the employee or by the Union on the employee's behalf to an Arbitrator selected by the Parties.
  - 16.6.1 The request for arbitration may be filed in writing with the Human Resources Department not more than fifteen (15) working days from receipt of the General Manager's decision, or the right to appeal the decision is forfeited.
  - 16.6.2 The decision will be resolved by an arbitrator, provided that the District and the Union agree on the issues to be arbitrated.
  - 16.6.3 As soon as possible, but no later than twenty (20) working days, after receipt of the request for arbitration, the District and the Union shall select an arbitrator. If the parties are unable to agree upon an arbitrator, they shall request a list of five (5) names from the State Conciliation and Mediation Services and shall strike names until an arbitrator is selected.
  - 16.6.4 Both parties will endeavor to submit the appeal to the arbitrator within twenty (20) working days after selection.
  - 16.6.5 The decision of the arbitrator will be final and binding on all parties.
  - 16.6.6 The Arbitrator(s) will neither add to, detract from nor modify or ignore the existing language of any District rules, policies or regulations in considering any issue properly before them. If the arbitrator sustains the decision, he/she shall fashion an appropriate remedy that does not conflict with any provisions contained in any District rule, policy or regulation.
  - 16.6.7 The Arbitrator(s) will expressly confine themselves to the precise issues being raised by the appeal and submitted to them, and will have no authority to consider any other issue not so submitted.
  - 16.6.8 Any monetary award in favor of the employee is limited to lost wages and benefits suffered measured from the date of the imposed discipline forward. In no event will the Arbitrator(s) award any other type of monetary award, including, but not limited to, attorney's fees.

- 16.6.9 All expenses of arbitration will be shared equally by the District and the employee.
- 16.6.10 Failure on the part of the District or the employee to appear in any matter before an arbitrator, without good cause, will result in forfeiture of the matter and responsibility for payment of all costs of arbitration.
- 16.6.11 Mediation may be used by both parties to assist them in resolving the disciplinary matter. The decision to utilize mediation will be voluntary. Mediation may be held at any step prior to submission of the final appeal to arbitration.

## **ARTICLE 17 LEAVES OF ABSENCE**

- 17.1. All leave time, including Holiday, Sick and Vacation leave will be processed in accordance with District policy HRM-0022. Leaves, except as modified herein. From time to time it may be necessary to modify District leave policy as required by Local, State or Federal law. Should the District be required by law to modify a leave policy, the District will notify the UNION in writing of the required change. Any other proposed changes not required by law will be subject to the provisions of the Meyers-Milias Brown Act.

### **17.2. HOLIDAYS**

- 17.2.1. The DISTRICT observes various District designated holidays each year. Regular full-time and part-time employees are eligible for paid District designated holidays. To be eligible for Holiday pay, employees must work or be on a pre-approved paid absence on the employee's regularly scheduled work days the day before and the day after the holiday.

#### **17.2.2. Paid holidays are as follows:**

- 1. New Year's Day - January 1st
- 2. Martin Luther King, Jr.'s Birthday, third Monday in January.
- 3. President's Day, the third Monday in February
- 4. Memorial Day, the last Monday in May.
- 5. Independence Day, July 4<sup>th</sup>.
- 6. Labor Day, the first Monday in September.
- 7. Veteran's Day, November 11<sup>th</sup>.
- 8. Thanksgiving Day
- 9. The day following Thanksgiving Day
- 10. Christmas Day, December 25<sup>th</sup>.
- 11. Two (2) Floating Holidays
- 12. Each day appointed by the Governor of the State of California and formally recognized by the Board of Directors as a day of mourning or other special observance.

- 17.2.3. Floating Holidays. Effective January 1, 2018, two eight (8) hour days per year will be deemed as floating holidays, which may be taken at any time during the calendar year in which it is accrued provided a written request is made in advance

and the supervisor approves such request in writing. Floating holiday pay will be pro-rated for part-time employees. Employees eligible for floating holidays are regular, Probationary, and at-will. Temporary and contracted employees are not eligible for floating holiday pay. Floating holiday pay must be taken in increments of eight (8) hours. Floating holiday hours must be used in the year that they are accrued and will not carry over from one calendar year to the next. If an eligible employee does not use their floating holiday hours during the calendar year, one eight (8) hour day may be paid out at the straight time rate following the end of the calendar year. Floating holiday hours are not eligible to be paid out upon separation of employment from the District. New hires hired prior to June 1st of each year will receive two floating holidays. New hires hired between June 1st and August 31st of each year will receive one (1) floating holiday. New hires on or after September 1st will not receive the floating holidays for that year. Supervisor approval is needed prior to scheduling a floating holiday.

### 17.3. VACATION ACCRUAL AND PAY

- 17.3.1 All regular full-time employees are eligible to accrue vacation leave based on hours worked on a maximum forty (40) hour workweek. Part-time employees who work a minimum of 20 hours per week shall accrue vacation on a pro-rata basis. Usage and accrual shall be governed by the same rules and regulations applicable to full time employees. Vacation accrual schedules can be found in District policy HRM-0022 – Leaves.
- 17.3.2 Vacation Buyback. Each represented employee may request once a calendar year to receive payment for up to eighty (80) hours of accrued vacation hours, provided that there is a minimum remaining balance of eighty (80) hours following payment. Such requests may be made bi-weekly during any pay period.
- 17.3.3 Vacation Bid - Employees shall bid for vacation leave once every 12 months. The bid period shall run from July 1 – 15<sup>th</sup> for the following calendar year according to seniority order in each respective job class. Employees will be given confirmation for bids submitted by July 31st.
- 17.3.4 Additional Vacation Time – To allow for flexibility throughout the year, after the annual bid process been has completed, employees may request additional vacation or compensatory time off as needed. All such requests must be scheduled in advance and receive Supervisor approval. The District reserves the right to deny additional time off requests due to Operational needs.

### 17.4. SICK LEAVE ACCRUAL AND PAY

- 17.4.1 Regular full-time and part-time employees are eligible to receive sick pay. Each DISTRICT full-time employee will accrue up to 12 sick days (96 hours) per year, with no limit on accumulation. Regular part-time employees who work at least 20 hours per week are eligible to accrue paid sick leave on a pro-rata basis. In

accordance with AB 1522, Article 1.5. Section 246 (b)(1), the minimum sick leave accrual rate for regular part-time employees who work at least 20 hours per week will be one hour per every 30 hours worked. Usage and accrual of said benefits shall be governed by the same rules and regulations applicable to full-time employees. Use of sick leave will be consistent with District policy HRM-0022 Leaves, Section 3, Sick Time Accrual and Pay.

## **17.5. MEDICAL AND OTHER LEGALLY REQUIRED LEAVES OF ABSENCE**

- 17.5.1 Leaves of Absence are periods of time in which an employee is permitted to be away from work without being terminated or considered to have voluntarily resigned. Completion of the Probationary Period is required, except where state or federal laws require otherwise. Examples of circumstances where a leave of absence is appropriate are work-related disabilities, military duty and medical disabilities, (including pregnancy). In instances where the leave occurs during the Probationary Period, the Probationary period is extended by the time an employee is out on a leave. Leaves are generally unpaid time off, unless the employee is eligible for and has accrued time under the sick or vacation plans.
- 17.5.2 The General Manager or designee, on a case-by-case basis, may grant a leave of absence (LOA), depending on the nature of the leave and the business needs at that time. It is the employee's responsibility to maintain contact by phone or in writing, with their supervisor and/or the Human Resources Department while he/she is on a LOA. The employee must provide documentation supporting the need for the leave (e.g., physician's visit certification) and keep it up-to-date. Any holidays that occur while an employee is on a LOA are not paid unless the use of accrued sick, compensatory and/or vacation time on the day prior to and after the District holiday is used. Vacation and sick time does not accrue during a leave if an employee is in unpaid leave status.
- 17.5.3 All legally required leaves will be administered according to SMART policy HRM-0022 – Leaves. SMART reserves the right to update this policy as required to comply with changes in State, Federal or local laws.

## **ARTICLE 18 HEALTH AND LIFE INSURANCE**

- 18.1 SMART provides each employee with a comprehensive group insurance plan as outlined in District Policy HRM-0019 Employee Benefits.
- 18.2 New employees who work a minimum of 20 hours per week are eligible to participate in District health and welfare benefits on the first day of the month following their date of hire. Employer contributions toward benefit premiums for part-time employees will be pro-rated.

- 18.3 At appropriate normal open enrollment periods or other enrollment periods as arranged by the District for initial enrollment, the District's group insurance plans shall be made available to employees covered by this Agreement.
- 18.4 Coordination of Benefits. If an employee and their spouse or other qualified dependent both work for the District, benefits received under group policies will be coordinated with any other Employer-provided benefits an employee or dependent may have. This means an employee and their dependent(s) may not receive double coverage under any plan offered by the District.

## **ARTICLE 19 PENSION PLAN**

### **19.1 CalPERS Retirement Plan**

- 19.1.1 SMART and each employee, who is scheduled to work twenty (20) hours per week or who actually works one thousand (1,000) hours or more in a fiscal year, shall continue to contribute to the Public Employees' Retirement System in accordance with the applicable rules and regulations.
- 19.1.2 Employees hired after January 1, 2013 shall be covered by the terms of 2%@Age 62 retirement plan.

### **19.2 457 B Deferred Compensation**

- 19.2.1 The DISTRICT has available to all employees a 457 b Deferred Compensation Plan. This plan allows employees to make voluntary contributions, on a pre-tax basis, for their own retirement savings. For employees hired after June 1, 2012, the DISTRICT will match employee voluntary contributions up to a maximum of 2% of annual base salary.

## **ARTICLE 20 WAGES**

Wages for this Unit of employees will be as shown below:

| Job Class                 | September 19, 2022 -<br>June 30, 2023 | Hourly Wage | July 1, 2023 -<br>June 30, 2024 | Hourly Wage | July 1, 2024 -<br>September 18, 2025 | Hourly Wage |
|---------------------------|---------------------------------------|-------------|---------------------------------|-------------|--------------------------------------|-------------|
| Bridge Tender             | 3.90%                                 | \$34.07     | 3.00%                           | \$35.09     | 2.10%                                | \$35.83     |
| Signal Technician         | 3.90%                                 | \$56.43     | 3.00%                           | \$58.12     | 2.10%                                | \$59.34     |
| Signal Technician Trainee | 3.90%                                 | \$42.32     | 3.00%                           | \$43.59     | 2.10%                                | \$44.50     |
| Track Maintainer I        | 3.90%                                 | \$42.20     | 3.00%                           | \$43.47     | 2.10%                                | \$44.38     |
| Track Maintainer II       | 3.90%                                 | \$46.42     | 3.00%                           | \$47.82     | 2.10%                                | \$48.82     |
| Track Laborer             | 3.90%                                 | \$31.86     | 3.00%                           | \$32.81     | 2.10%                                | \$33.50     |

20.1 Wage increases for the current fiscal year will be effective September 19, 2022, based upon a ratification date of September 16, 2022. Subsequent wage increases will be effective at the beginning of the next pay period following the eligibility date.

20.1.1 A signing bonus of five hundred fifty dollars (\$550) will be paid on the first pay period following ratification of the agreement.

## 20.2 Training Pay

20.2.1 Training Pay – New Hires - Fully qualified Signal Technicians may also be tasked with training newly hired Signal Technicians on SMART's vital signal system. Signal employees who are tasked with training a new hire Signal Technician by a Manager or Supervisor shall receive a flat rate of \$20 per workday for each workday that they are training on the vital signal system. Only one employee will be assigned per new hire each day. SMART management will select employee trainers at its discretion.

20.2.2 Training Pay Signal Trainees – The District's training program may require fully qualified Signal Technician staff to train Signal Technician Trainees. Signal employees who are tasked with training a Signal Trainee by a Manager or Supervisor shall receive a flat rate of \$20 per workday for each workday that they are training. Only one employee will be assigned per Trainee each day. SMART management will select employee trainers at its discretion.

## ARTICLE 21 TEMPORARY PROMOTION

21.1 When an incumbent in a position is on an extended leave or a position becomes vacant, the General Manager may choose to fill a position by temporarily promoting an existing SMART employee. In order to be considered for temporary promotion, the candidate must meet the minimum qualifications of the higher job class. Only such vacancies expected to last for thirty (30) days or longer may be filled by temporary promotion.

21.2 When a regular employee is temporarily assigned to substantially fulfill the duties of a higher position for thirty (30) or more working days, the employee will be temporarily promoted, in writing, and given a temporary merit increase of *up to* 5% above the employee's current pay level in his/her regular position.

21.3 A single assignment performed in a higher classification does not qualify an employee for temporary promotion pay consideration. Further, when the work associated with a vacant position is redistributed to several employees, rather than given to one individual on an interim basis, no single individual will be deemed to be working in a higher class and eligible for temporary promotion.

- 21.4 When an employee is promoted to a position in which he or she has, immediately preceding the appointment, served in a temporary promotion capacity, the employee will be given time credit for the acting service. Credit shall begin on the effective date of the temporary appointment and will count toward the completion of the Probationary period in the new position.
- 21.5 When the incumbent of the higher-level class returns to work or is replaced on a permanent basis by another individual from an employment list, the individual promoted on a temporary basis will be returned to his/her former job class and rate of pay.

## **ARTICLE 22 UNIFORMS**

- 22.1 SMART will provide uniforms and laundering service for employees covered by this agreement. Uniforms are only to be worn at work or while going to and from the work site.
- 22.2 Uniforms should be clean, pressed, and in good repair and with attention to appropriate personal grooming and hygiene. Visible tattoos will be covered on employees whose jobs require them to interact directly with the public
- 22.3 All employees will wear a SMART provided identification card on the outer most clothing item at all times during working hours.
- 22.4 Employees may be required to wear safety equipment or clothing at certain times while performing specific job functions.
- 22.5 Safety Shoes/Boots: During the term of this agreement, positions whose job duties require the use of safety shoes/boots shall have the option once each fiscal year to submit a request for reimbursement for up to two hundred fifty dollars (\$250) toward the purchase of safety shoes or boots.
- 22.6 Prescription Safety Glasses: Specific positions whose job duties require the use of safety glasses shall be provided with the required protective equipment. During the term of this agreement, employees who wear prescription lenses have the option once each fiscal year to submit a request for reimbursement for up to two hundred fifty dollars (\$250) toward the purchase of prescription safety glasses.

## **ARTICLE 23 TRANSIT VEHICLES**

- 23.1 SMART will provide pool vehicles that will be available for use by employees as needed for the performance of their job functions.
- 23.2 Employees will be responsible for keeping the vehicles reasonably clean and inspected, per the District's Vehicle Use Guidelines but in no case are they responsible for normal wear and tear.

- 23.3 All vehicles will be equipped with an emergency kit.
- 23.4 It is each employee's responsibility to inform SMART if any emergency items are missing.

## **ARTICLE 24            CIVIC DUTY TIME OFF**

- 24.1 SMART encourages employees to serve as jurors or witnesses when called. Full-time and part-time regular employees will receive full pay while serving on jury or witness duty. An employee must notify his/her Supervisor of the need for time off for jury or witness duty as soon as a notice or summons from the court or a subpoena is received. Proof of attendance shall be required when the employee returns to work.
- 24.2 An employee may retain such payment as may be allowed for travel but shall make payable to SMART any and all fees which the employee may receive in payment for service as a juror. Employees are required to provide written verification of having served from the court clerk. If work time remains after any day of jury or witness duty, the employee will be expected to return to work for the remainder of their work schedule.
- 24.3 For positions covered under the FRA Hours of Service law, the employee will not be required to perform any work during the 24 hour period in which the jury duty is served. If the employee is not required to report for jury duty on a given day during the summons week, they will be expected to report for their regularly scheduled shift.

## **ARTICLE 25            OVERTIME AND WORK SCHEDULES**

### **25.1 OVERTIME**

- 25.1.1 Overtime compensation for non-exempt employees will be paid at the rate of one and one-half (1 ½ ) times the regular rate for all hours worked in excess of eight hours worked in a day or forty (40) hours worked in a workweek.
- 25.1.2 Hours spent attending Jury Duty, compensatory time off, sick leave, holiday and vacation leave do not count toward the number of hours needed before an employee is eligible for overtime compensation.
- 25.1.3 Any non-exempt employee in a bargaining unit covered by this agreement who works six (6) consecutive days in a workweek will be paid for work on the seventh (7th) consecutive day at the overtime rate of one and one-half times the regular rate of pay for the first eight hours worked and double the employee's regular rate for all hours worked in excess of eight (8) hours. Work week is defined as Monday through Sunday.



## 25.2 COMPENSATORY TIME

- 25.2.1 Non-exempt employees may elect compensatory time off for any overtime work performed. Compensatory time off is earned at time and one half. Employees may accumulate a maximum of eighty (80) hours of compensatory time off. Once the maximum number of hours has been accrued, the employee shall receive overtime pay as described in Paragraph 25.1. Employees may not elect additional compensatory time off in lieu of overtime until they fall below the maximum eighty (80) hour accumulation.
  - 25.2.2 No employee shall take compensatory time off without the prior approval of the employee's supervisor.
  - 25.2.3 Each employee who is separated from District service shall be entitled to payment for accrued compensatory time at the employee's base hourly rate of pay at the time of the employee's separation or as otherwise required by law.
- 25.3 All employees must submit a timesheet indicating hours worked.

## 25.4 MEAL AND REST PERIODS

- 25.4.1 Two (2) paid breaks are to be allowed during the regular shift, each of which shall be no more than ten (10) minutes. One off duty thirty (30) minutes meal period shall be allowed and shall be unpaid time. Employees in the job class of Bridge Tender, due to the nature of the work, may not be able to have a regularly scheduled meal period, thus one on duty thirty (30) minutes meal period shall be allowed and shall be paid time. If an unpaid meal period is interrupted due to operational concerns by more than 15 minutes, a new thirty-minute meal period will begin as soon as possible.

## 25.5 STANDBY PAY

- 25.5.1 An employee on standby status will be paid the equivalent of four (4) hours pay at the employee's straight time rate for each twenty four (24) hour period the employee is on standby status, or for a period from the end of the employee's work shift and the beginning of the employee's next shift, if the standby assignment is less than 24 hours. Standby pay does not count toward the calculation of overtime. Standby pay will be offset by any actual hours worked if called out to work. While on standby, the employee must be fit for duty.
- 25.5.2 Any employee who is on standby until the next shift or for a twenty four (24) hour period and is called out for work shall be paid at the applicable rate of pay for all actual hours worked. Overtime rates will apply to all hours actually worked in excess of eight (8) hours per day or forty (40) hours per week.

## 25.6 STANDARD WORK WEEK AND SCHEDULE CHANGES

- 25.6.1 With the exception of “Relief” or “Floater” positions, the regular work week for a full-time employee shall consist of five (5) consecutive days of eight (8) hours of work unless otherwise specified per an alternative work schedule agreement. The District work week begins on Monday and ends on Sunday. Alternative work schedules, (i.e. 4/10) may be discussed and implemented at the discretion of the District if required by operational need. Employees working an alternative work schedule will not be eligible for overtime until the employee has worked more than ten (10) hours in a day or forty hours in a work week. Subsequent cessation of an alternative work schedule is not subject to the grievance procedure.
- 25.6.2 If, due to operational needs, an employee’s regular schedule is changed such that the employee does not work a full eight hours on the day the schedule change takes effect, the employee will receive a minimum of eight hours pay at the basic straight time rate.
- 25.6.3 If due to operational needs on a workday, a Signals employee is not able to work an eight hour shift the following day due to Federal Railroad Administration hours-of-service restrictions, the employee will be guaranteed forty hours of work or pay during the employee’s bid work week at the employer’s discretion. If the affected employee refuses the work, the forty-hour guarantee will not apply.

## 25.7 CALL BACK PAY

- 25.7.1 Employees who are called back to their work locations following the completion of their workday and departure from their place of employment, shall be granted one (1) hour of pay at the employee's base hourly rate. This one hour of pay is not considered an hour worked for the purposes of calculating overtime. This section shall not apply to employees who are called in to work when on standby status.

## **ARTICLE 26            SHIFT BIDDING AND EXTRA WORK**

- 26.1 Employees shall bid shifts according to the seniority order in each respective job class. Assignments will be made to employees in seniority order from bids submitted prior to the close of the bid period every six (6) months, in April and October. Employees will be given confirmation for bids submitted. SMART reserves the right to deny a bid if an employee does not have the required skills or training for the position. SMART will distribute shift schedules to be bid upon

at least seven (7) days prior to the day on which the bid process will be held. Actual bidding will take place at least fourteen (14) calendar days prior to the day on which the bid will take effect.

- 26.2 Each employee will submit their bid on the date designated. If an employee is absent for their assigned bid day, the employee may bid by phone or give a proxy bid in writing to a shop steward to bid for the employee. If an employee does not bid on the assigned bid day or fails to submit a proxy bid, the employee will fall to the bottom of the list and bid in the last position. Final results will be posted within 24 hours of the close of the bid in each facility where bargaining unit members report for work.
- 26.3 Employees on a leave of absence who will not be able to work at least two (2) months of the bid period will not be permitted to bid, unless SMART and the Union mutually agree to permit the employee to bid. If the employee returns to work during the bid period, SMART will assign the employee to an open shift.
- 26.4 SMART reserves the right to determine staffing levels of daily assignments. If a shift becomes open between bidding cycles and SMART determines to cover all or part of that shift, the coverage of that shift will be filled based on:
- (a) Availability within the Hours of Service regulations; and
  - (b) By seniority order.
  - (c) If no staff volunteer to take the vacant shift that the District determines to cover, the shift may be force assigned, in reverse seniority order.
- 26.5 An employee returning to duty after being absent more than 30 days by reason of sickness, temporary disability, suspension, leave of absence or vacation, will be returned to the current vacant assignment. Upon the next shift bid period, the employee may exercise their seniority to bid to a new assignment.
- 26.6 The Union shop steward will work with a SMART representative in processing the shift bids. All bids will be submitted in writing to the Division Superintendent or designee. The Superintendent will post copies of all bids submitted.

## **ARTICLE 27            FURLOUGH**

- 27.1 For the purposes of this section, furlough or layoff, is defined as a reduction in force whereby the total number of budgeted position allocations in a job classification is reduced. A furlough may also include establishing unpaid days

off to avoid the reduction of budgeted positions. When SMART determines it necessary to reduce the workforce, employees shall be furloughed in inverse seniority order within classification. A furlough is an involuntary separation and is not subject to the grievance and arbitration procedure.

- 27.2 SMART shall give fourteen (14) days' notice to employees that they will be furloughed. If SMART elects not to give fourteen (14) days' notice, SMART shall pay the employee their regular rate of pay for each day that notice was not given, up to a maximum of two (2) weeks' pay. The provisions for notice shall not apply if notice of furlough is prevented due to fire, storm, major breakdown, labor dispute or other cause beyond the control of SMART.
- 27.3 The DISTRICT will pay for two months of the employee's COBRA medical coverage provided the employee has completed one or more years of service. Note: Under CALPERS medical, the employee is eligible to receive an additional month of medical insurance coverage after separation from employment if the employee is able to pay their portion of the premium (15%) prior to the start of COBRA eligibility.
- 27.4 Employees on furlough will be recalled to work in seniority order within classification. Employees on furlough shall remain on the seniority list for purpose of recall for a period not to exceed twelve (12) months following furlough. Employees who are recalled within twelve (12) months will retain all seniority accrued in prior service. Benefits do not accrue during a furlough period. Employees will have vacation, compensatory and sick leave cashed out per District policies.
- 27.5 Any employee who rejects a recall offer, fails to respond to the notice of recall within ten (10) days of receipt of certified mail, or fails to return to work within fourteen (14) days after a recall notice shall be removed from the seniority list.
- 27.6 In a case of a reduction in force, SMART will meet and confer with the Union over the effects of the furlough.
- 27.7 As required per AB 2224, Section 150143, the District agrees that it will not contract out the performance of services performed by or fairly claimable by the employees of the bargaining unit without the agreement of the accredited representative of that bargaining unit's employees.

## **ARTICLE 28                   EMPLOYEE ASSISTANCE PROGRAMS**

- 28.1 The DISTRICT and UNION are committed to protecting the safety, health and well-being of all employees, the public and other individuals in the workplace. The District provides an Employee Assistance Program (EAP) to all Employees as part of its benefits program.

- 28.2 Substance Abuse: As required by District policy and Federal Railroad Administration Regulations, the District has developed a Drug and Alcohol-Free Workplace Policy and 49 CFR Part 219 Compliance Plan (APPENDIX A). All Employees in the bargaining unit are covered under the provisions of this plan.
- 28.3 Employees in the bargaining unit are also eligible to seek help for substance abuse or mental health issues with the Teamsters Assistance Program (TAP). Employees are encouraged to seek assistance through the District's EAP or the TAP when needed.

## **ARTICLE 29 NO STRIKES, WORK STOPPAGES OR LOCKOUTS**

- 29.1 The Union agrees that during the life of this Memorandum of Understanding there shall be no strikes, slowdowns, or any other form of work stoppage, including sympathy strikes and SMART agrees that there shall be no lockouts.

## **ARTICLE 30 ACCESS TO NEW HIRE INFORMATION**

- 30.1 The DISTRICT will notify the UNION of all new hires at least one week prior to the new employee's start date. All new hires will receive a new employee orientation on their first day of employment. The DISTRICT agrees to allocate a thirty (30) minute timeframe during the new hire orientation schedule for UNION representatives to meet with the new employee(s). A UNION representative will contact a representative of the District's human resources department at least twenty four hours in advance of the new hire orientation date to arrange a time to meet with the new employee during the orientation process. If UNION does not wish to send a representative to the new hire orientation, they may provide written materials to the DISTRICT Human Resources Department which will be provided to the new employee.
- 30.2 The DISTRICT will provide the UNION with EMPLOYEE contact information within 30 days of the date of hire pursuant to AB 119, Section 3558 of the government code.

## **ARTICLE 31 TERM OF AGREEMENT**

- 31.1 This Memorandum of Understanding shall become effective September 19, 2022 and remain in effect to and including September 18, 2025, and thereafter shall automatically be renewed from year to year unless either party shall give notice in writing to the other party at least sixty (60) days prior to the end of the initial term of a desire to amend, modify, or terminate this Memorandum of Understanding. If such notice or notices are not given, the Memorandum of Understanding shall be deemed to be renewed for the succeeding year.

IN WITNESS WHEREOF, the duly authorized representatives of the parties hereto, having met and conferred in good faith, have caused their names to be subscribed this \_\_\_\_\_ day of a \_\_\_\_\_. 2022.

FOR SMART

FORTHE UNION

APPROVED

RATIFIED

---

David Rabbitt  
Chair, Board of Directors

---

Tom Woods  
Business Representative

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Attest  
Leticia Rosas-Mendoza, Clerk of the  
Board

SMART NEGOTIATORS

TEAMSTERS NEGOTIATORS

---

Diane O'Malley  
Chief Negotiator

---

Shawn Fonnest  
Employee Representative

---

Lisa Hansley  
SMART Human Resources

---

Nicholas Derenzi  
Employee Representative



September 21, 2022

**David Rabbitt, Chair**  
Sonoma County Board of Supervisors

**Barbara Pahre, Vice Chair**  
Golden Gate Bridge,  
Highway/Transportation District

**Judy Arnold**  
Marin County Board of Supervisors

**Melanie Bagby**  
Sonoma County Mayors' and  
Councilmembers Association

**Kate Colin**  
Transportation Authority of Marin

**Damon Connolly**  
Marin County Board of Supervisors

**Chris Coursey**  
Sonoma County Board of Supervisors

**Debora Fudge**  
Sonoma County Mayors' and  
Councilmembers Association

**Patty Garbarino**  
Golden Gate Bridge,  
Highway/Transportation District

**Dan Hillmer**  
Marin County Council of Mayors and  
Councilmembers

**Eric Lucan**  
Transportation Authority of Marin

**Chris Rogers**  
Sonoma County Mayors' and  
Councilmembers Association

**Eddy Cumins**  
General Manager

5401 Old Redwood Highway  
Suite 200  
Petaluma, CA 94954  
Phone: 707-794-3330  
Fax: 707-794-3037  
www.sonomamarintrain.org

Sonoma- Marin Area Rail Transit Board of Directors  
5401 Old Redwood Highway, Suite 200  
Petaluma, CA 94954

**SUBJECT:** Fiscal Year (FY) 22 Year End Investment Report

Dear Board Members:

**RECOMMENDATIONS:** Information Item

**SUMMARY:**

In accordance with the SMART's Board of Directors Investment Policy that is adopted each year with the annual budget, the Chief Financial Officer is required to submit to the Board a report that indicates the types of investment by fund, institution, date of maturity, amount of deposit, current market value, rates of interest, and expected yield to maturity.

As a reminder, the Board policy has three main objectives for investments:

- Safety of Principal – Safety of principal is the foremost objective of the investment program. Investments of the District shall be undertaken in a manner that seeks to ensure that preservation of capital in the overall portfolio.
- Liquidity – Investments shall be made whose maturity date is compatible with cash flow requirements and which will permit easy and rapid conversion into cash without substantial loss of value.
- Return on Investment – The District's investment portfolio shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, considering the district's investment risk constraints and the cash flow characteristics of the portfolio.

As of June 30, 2022, SMART's cash and investments on hand were \$102,816,959.

|                                | Available    | Held by<br>Trustee | Total         |
|--------------------------------|--------------|--------------------|---------------|
| Sonoma County Treasury<br>Pool | \$67,174,267 | \$5,221,473        | \$72,395,740  |
| Bank of Marin                  | \$30,421,219 | \$0                | \$30,421,219  |
| Total                          | \$97,595,486 | \$5,221,473        | \$102,816,959 |

Funds that are needed for short-term use are held with the Bank of Marin. The remaining funds are held in the Sonoma County Treasury Pool administered by the Sonoma County Treasurer. The funds that are held by the Trustee are for debt service payments. Total investment earnings for FY 22 were \$428,235 of which \$390,178 were unrestricted and \$38,057 was restricted.

The Sonoma County Treasury Pool is a pooled fund which is an authorized investment under the Board approved investment policy. This pool tends to earn more interest than the funds kept in our operating accounts at the Bank of Marin.

For funds invested in the Sonoma County Investment Pool, interest is allocated at the end of each quarter. SMART's account is credited with a pro-rata share of the earned income during the quarter based on the average balance during the quarter as a percentage of the average balanced of the fund during the same quarter. All members of the fund are allocated interest the same way.

Attached is the year-end investment statement provided by the Sonoma County Treasury Pool which details the required investment reporting per Board policy.

**FISCAL IMPACT:** No fiscal impact.

Very Truly Yours,

/s/  
Heather McKillop  
Chief Financial Officer

Attachment(s):        1) GASB 31 Compliance Detail – Sonoma County Treasurer  
                                 2) GASB 40 Credit & Interest Rate Risk – Sonoma County Treasurer



**Sonoma County Inv Pool Funds**  
**GASB 31 Compliance Detail**  
**Sorted by Fund - Investment Number**  
**July 1, 2021 - June 30, 2022**

| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 94984B793       | 28383        | 104  | Amortized        |               | 15,000,252.47            | 0.00                  | 47,014,389.88         | 61,014,642.35           | 0.00                    | 0.00                   | 1,000,000.00          |
| 594918BQ6       | 29986        | 104  | Fair Value       | 08/08/2023    | 8,258,880.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -337,760.00            | 7,921,120.00          |
| 89233P7F7       | 29991        | 104  | Fair Value       | 01/10/2023    | 13,453,700.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -492,570.00            | 12,961,130.00         |
| 742718EQ8       | 30004        | 104  | Fair Value       | 11/03/2021    | 10,385,547.68            | 0.00                  | 0.00                  | 10,331,000.00           | 0.00                    | -54,547.68             | 0.00                  |
| 084670BR8       | 30006        | 104  | Fair Value       | 03/15/2023    | 12,779,152.35            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -465,507.00            | 12,313,645.35         |
| 3135G0U92       | 30007        | 104  | Fair Value       | 01/11/2022    | 10,134,500.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -134,500.00            | 0.00                  |
| 68389XBB0       | 30033        | 104  | Fair Value       | 05/15/2022    | 10,154,500.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -154,500.00            | 0.00                  |
| 037833AK6       | 30048        | 104  | Fair Value       | 05/03/2023    | 7,713,663.80             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -321,638.10            | 7,392,025.70          |
| 89236TFX8       | 30049        | 104  | Fair Value       | 04/12/2022    | 15,286,200.00            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -286,200.00            | 0.00                  |
| 3133EKTG1       | 30095        | 104  | Fair Value       | 07/01/2022    | 15,246,450.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -246,450.00            | 15,000,000.00         |
| 68389XBK0       | 30116        | 104  | Fair Value       | 09/15/2021    | 15,031,650.00            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -31,650.00             | 0.00                  |
| 68389XAP0       | 30121        | 104  | Fair Value       | 10/15/2022    | 10,271,900.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -293,300.00            | 9,978,600.00          |
| 45905U4A9       | 30135        | 104  | Fair Value       | 09/17/2024    | 10,422,300.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -422,300.00            | 0.00                  |
| 594918BH6       | 30185        | 104  | Fair Value       | 11/03/2022    | 17,836,791.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -484,653.00            | 17,352,138.00         |
| 459058GH0       | 30194        | 104  | Fair Value       | 07/23/2021    | 10,015,500.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -15,500.00             | 0.00                  |
| 13063DLY2       | 30195        | 104  | Fair Value       | 04/01/2022    | 3,694,571.11             | 0.00                  | 0.00                  | 3,680,000.00            | 0.00                    | -14,571.11             | 0.00                  |
| 594918BP8       | 30206        | 104  | Fair Value       | 08/08/2021    | 7,891,893.60             | 0.00                  | 0.00                  | 7,890,000.00            | 0.00                    | -1,893.60              | 0.00                  |
| 313378JP7       | 30215        | 104  | Fair Value       | 09/10/2021    | 3,214,144.00             | 0.00                  | 0.00                  | 3,200,000.00            | 0.00                    | -14,144.00             | 0.00                  |
| 45950V NK8      | 30221        | 104  | Fair Value       | 12/15/2024    | 10,039,100.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -39,100.00             | 0.00                  |
| 459058GH0       | 30225        | 104  | Fair Value       | 07/23/2021    | 9,698,008.65             | 0.00                  | 0.00                  | 9,683,000.00            | 0.00                    | -15,008.65             | 0.00                  |
| 3133ELEF7       | 30226        | 104  | Fair Value       | 09/20/2021    | 15,052,650.00            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -52,650.00             | 0.00                  |
| 594918BW3       | 30229        | 104  | Fair Value       | 02/06/2022    | 20,236,000.00            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -236,000.00            | 0.00                  |
| 3133EJZU6       | 30236        | 104  | Fair Value       | 09/20/2021    | 1,006,070.00             | 0.00                  | 0.00                  | 1,000,000.00            | 0.00                    | -6,070.00              | 0.00                  |
| 3133EG6F7       | 30237        | 104  | Fair Value       | 10/07/2021    | 1,256,312.50             | 0.00                  | 0.00                  | 1,250,000.00            | 0.00                    | -6,312.50              | 0.00                  |
| 459058DY6       | 30246        | 104  | Fair Value       | 02/10/2022    | 8,070,640.00             | 0.00                  | 0.00                  | 8,000,000.00            | 0.00                    | -70,640.00             | 0.00                  |
| 931142EL3       | 30297        | 104  | Fair Value       | 07/08/2024    | 10,672,800.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -720,700.00            | 9,952,100.00          |
| 037833CG3       | 30300        | 104  | Fair Value       | 02/09/2024    | 10,612,100.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -637,200.00            | 9,974,900.00          |
| 037833AK6       | 30305        | 104  | Fair Value       | 05/03/2023    | 20,749,600.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -865,200.00            | 19,884,400.00         |
| 13063BF56       | 30308        | 104  | Fair Value       | 09/01/2022    | 2,480,136.03             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -50,332.08             | 2,429,803.95          |
| 13063DFT0       | 30309        | 104  | Fair Value       | 10/01/2024    | 4,910,936.70             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -20,842.50             | 4,890,094.20          |
| 13063C5T3       | 30310        | 104  | Fair Value       | 08/01/2024    | 1,919,624.90             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -9,662.90              | 1,909,962.00          |

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**Sonoma County Inv Pool Funds**  
**GASB 31 Compliance Detail**  
**Sorted by Fund - Investment Number**

| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 13063DSU3       | 30311        | 104  | Fair Value       | 03/01/2023    | 5,526,198.69             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -26,446.79             | 5,499,751.90          |
| 5446465H1       | 30314        | 104  | Fair Value       | 07/01/2023    | 1,748,065.40             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -48,928.45             | 1,699,136.95          |
| 478160CJ1       | 30316        | 104  | Fair Value       | 01/15/2025    | 12,006,702.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -886,259.00            | 11,120,443.00         |
| 48305QAA1       | 30319        | 104  | Fair Value       | 04/01/2022    | 1,022,720.00             | 0.00                  | 0.00                  | 1,000,000.00            | 0.00                    | -22,720.00             | 0.00                  |
| 19416QEC0       | 30328        | 104  | Fair Value       | 05/01/2023    | 5,155,750.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -188,850.00            | 4,966,900.00          |
| 037833CU2       | 30329        | 104  | Fair Value       | 05/11/2024    | 4,969,287.52             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -321,091.56            | 4,648,195.96          |
| 13077CB52       | 30330        | 104  | Fair Value       | 11/01/2021    | 1,011,244.80             | 0.00                  | 0.00                  | 1,000,000.00            | 0.00                    | -11,244.80             | 0.00                  |
| 037833DM9       | 30332        | 104  | Fair Value       | 09/11/2024    | 3,420,364.54             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -236,986.32            | 3,183,378.22          |
| 79730WBJ8       | 30342        | 104  | Fair Value       | 09/01/2021    | 1,000,832.75             | 0.00                  | 0.00                  | 1,000,000.00            | 0.00                    | -832.75                | 0.00                  |
| 544587C48       | 30343        | 104  | Fair Value       | 11/01/2021    | 1,592,709.06             | 0.00                  | 0.00                  | 1,585,000.00            | 0.00                    | -7,709.06              | 0.00                  |
| 79765RN54       | 30345        | 104  | Fair Value       | 11/01/2022    | 4,254,385.50             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -48,460.40             | 4,205,925.10          |
| 544552ZF2       | 30349        | 104  | Fair Value       | 08/01/2024    | 2,192,301.10             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -82,241.10             | 2,110,060.00          |
| 91412GXP5       | 30354        | 104  | Fair Value       | 05/15/2024    | 244,051.59               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -10,184.29             | 233,867.30            |
| 76913ALC5       | 30356        | 104  | Fair Value       | 10/01/2021    | 1,362,692.56             | 0.00                  | 0.00                  | 1,360,000.00            | 0.00                    | -2,692.56              | 0.00                  |
| 76913ALD3       | 30357        | 104  | Fair Value       | 10/01/2022    | 1,009,755.80             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -8,665.80              | 1,001,090.00          |
| 79876CBS6       | 30358        | 104  | Fair Value       | 10/01/2022    | 755,490.86               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -5,535.86              | 749,955.00            |
| 54465AFS6       | 30359        | 104  | Fair Value       | 08/01/2023    | 1,560,002.43             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -56,192.43             | 1,503,810.00          |
| 544587C48       | 30364        | 104  | Fair Value       | 11/01/2021    | 452,188.69               | 0.00                  | 0.00                  | 450,000.00              | 0.00                    | -2,188.69              | 0.00                  |
| 544587C55       | 30365        | 104  | Fair Value       | 11/01/2022    | 1,279,100.46             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -24,487.96             | 1,254,612.50          |
| 786134VD5       | 30366        | 104  | Fair Value       | 12/01/2023    | 414,998.29               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -14,990.29             | 400,008.00            |
| 544495ZE7       | 30376        | 104  | Fair Value       | 07/01/2024    | 938,887.12               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -50,989.62             | 887,897.50            |
| 5446462Y7       | 30377        | 104  | Fair Value       | 07/01/2022    | 903,603.37               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -33,603.37             | 870,000.00            |
| 786005TJ7       | 30381        | 104  | Fair Value       | 08/15/2023    | 264,049.33               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -12,999.33             | 251,050.00            |
| 630360CN3       | 30382        | 104  | Fair Value       | 08/01/2024    | 2,495,583.66             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -37,132.56             | 2,458,451.10          |
| 91412GD85       | 30384        | 104  | Fair Value       | 05/15/2024    | 104,603.97               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -6,484.97              | 98,119.00             |
| 91412GWZ4       | 30386        | 104  | Fair Value       | 05/15/2023    | 3,093,931.05             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -98,851.05             | 2,995,080.00          |
| 562785FP5       | 30387        | 104  | Fair Value       | 09/01/2022    | 296,339.79               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | 3,000.21               | 299,340.00            |
| 79730CJE5       | 30390        | 104  | Fair Value       | 08/01/2021    | 750,082.19               | 0.00                  | 0.00                  | 750,000.00              | 0.00                    | -82.19                 | 0.00                  |
| 79730CJF2       | 30391        | 104  | Fair Value       | 08/01/2022    | 1,002,253.10             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -2,953.10              | 999,300.00            |
| 79730CJG0       | 30392        | 104  | Fair Value       | 08/01/2023    | 602,529.34               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -15,177.34             | 587,352.00            |
| 79730CJH8       | 30393        | 104  | Fair Value       | 08/01/2024    | 1,009,341.77             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -51,011.77             | 958,330.00            |
| 51724UAA9       | 30396        | 104  | Fair Value       | 07/01/2022    | 615,709.80               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -20,709.80             | 595,000.00            |
| 51724UAB7       | 30397        | 104  | Fair Value       | 07/01/2023    | 768,288.07               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -35,011.27             | 733,276.80            |
| 51724UAC5       | 30398        | 104  | Fair Value       | 07/01/2024    | 932,238.87               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -56,704.87             | 875,534.00            |
| 91412HEZ2       | 30399        | 104  | Fair Value       | 05/15/2025    | 568,597.94               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -37,475.54             | 531,122.40            |
| 91412GD93       | 30400        | 104  | Fair Value       | 05/15/2025    | 311,004.57               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -19,479.57             | 291,525.00            |
| 91412GXQ3       | 30401        | 104  | Fair Value       | 05/15/2025    | 311,001.86               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -28,421.51             | 282,580.35            |

**Sonoma County Inv Pool Funds**  
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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 62451FKE9       | 30403        | 104  | Fair Value       | 09/01/2021    | 401,712.25               | 0.00                  | 0.00                  | 400,000.00              | 0.00                    | -1,712.25              | 0.00                  |
| 62451FKG4       | 30404        | 104  | Fair Value       | 09/01/2023    | 225,543.52               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -5,088.52              | 220,455.00            |
| 62451FKH2       | 30405        | 104  | Fair Value       | 09/01/2024    | 226,239.55               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -10,064.05             | 216,175.50            |
| 079113FT8       | 30406        | 104  | Fair Value       | 08/01/2022    | 984,029.91               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -27,158.11             | 956,871.80            |
| 079113FS0       | 30407        | 104  | Fair Value       | 08/01/2021    | 977,439.40               | 0.00                  | 0.00                  | 975,000.00              | 0.00                    | -2,439.40              | 0.00                  |
| 79765RN70       | 30408        | 104  | Fair Value       | 11/01/2024    | 2,424,075.23             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -162,513.38            | 2,261,561.85          |
| 79770GBX3       | 30409        | 104  | Fair Value       | 08/01/2024    | 499,016.33               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -19,085.83             | 479,930.50            |
| 812880FC1       | 30413        | 104  | Fair Value       | 08/01/2022    | 258,100.23               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -7,595.23              | 250,505.00            |
| 13032UUZ9       | 30417        | 104  | Fair Value       | 06/01/2022    | 502,444.97               | 0.00                  | 0.00                  | 500,000.00              | 0.00                    | -2,444.97              | 0.00                  |
| 13032UQV3       | 30420        | 104  | Fair Value       | 11/15/2022    | 410,820.32               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -15,898.52             | 394,921.80            |
| 84247PLH2       | 30425        | 104  | Fair Value       | 07/01/2022    | 1,273,860.93             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -53,860.93             | 1,220,000.00          |
| 84247PLJ8       | 30426        | 104  | Fair Value       | 07/01/2023    | 2,169,760.54             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -104,940.54            | 2,064,820.00          |
| 459058GH0       | 30427        | 104  | Fair Value       | 07/23/2021    | 11,372,600.25            | 0.00                  | 0.00                  | 11,355,000.00           | 0.00                    | -17,600.25             | 0.00                  |
| 68304FAE6       | 30431        | 104  | Fair Value       | 06/01/2025    | 1,026,459.69             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -61,479.69             | 964,980.00            |
| 68304FAE6       | 30432        | 104  | Fair Value       | 06/01/2025    | 1,539,689.54             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -92,219.54             | 1,447,470.00          |
| 459058FY4       | 30435        | 104  | Fair Value       | 01/26/2022    | 682,006.50               | 0.00                  | 0.00                  | 675,000.00              | 0.00                    | -7,006.50              | 0.00                  |
| 3133ELE75       | 30436        | 104  | Fair Value       | 06/02/2022    | 25,035,500.00            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -35,500.00             | 0.00                  |
| 374406CJ3       | 30437        | 104  | Fair Value       | 08/01/2022    | 408,209.47               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -12,411.57             | 395,797.90            |
| 45818WCJ3       | 30438        | 104  | Fair Value       | 04/09/2024    | 1,578,825.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -96,870.00             | 1,481,955.00          |
| 95604PAB0       | 30439        | 104  | Fair Value       | 08/01/2022    | 103,770.53               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -3,594.53              | 100,176.00            |
| 95604PAA2       | 30440        | 104  | Fair Value       | 08/01/2021    | 100,304.57               | 0.00                  | 0.00                  | 100,000.00              | 0.00                    | -304.57                | 0.00                  |
| 459058GH0       | 30445        | 104  | Fair Value       | 07/23/2021    | 2,558,960.25             | 0.00                  | 0.00                  | 2,555,000.00            | 0.00                    | -3,960.25              | 0.00                  |
| 459058GU1       | 30446        | 104  | Fair Value       | 07/01/2022    | 1,528,620.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -28,620.00             | 1,500,000.00          |
| 923078CR8       | 30455        | 104  | Fair Value       | 11/01/2021    | 500,079.89               | 0.00                  | 0.00                  | 500,000.00              | 0.00                    | -79.89                 | 0.00                  |
| 923078CS6       | 30456        | 104  | Fair Value       | 11/01/2022    | 250,322.81               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,505.31              | 248,817.50            |
| 923078CT4       | 30457        | 104  | Fair Value       | 11/01/2023    | 230,515.03               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -6,840.03              | 223,675.00            |
| 923078CU1       | 30458        | 104  | Fair Value       | 11/01/2024    | 504,083.81               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -28,593.81             | 475,490.00            |
| 3133ELWD2       | 30459        | 104  | Fair Value       | 04/08/2022    | 4,259,477.50             | 0.00                  | 0.00                  | 4,250,000.00            | 0.00                    | -9,477.50              | 0.00                  |
| 3135G0S38       | 30460        | 104  | Fair Value       | 01/05/2022    | 11,506,671.00            | 0.00                  | 0.00                  | 11,395,000.00           | 0.00                    | -111,671.00            | 0.00                  |
| 3133ELKN3       | 30463        | 104  | Fair Value       | 01/28/2022    | 20,168,800.00            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -168,800.00            | 0.00                  |
| 3135G0Q89       | 30466        | 104  | Fair Value       | 10/07/2021    | 20,069,800.00            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -69,800.00             | 0.00                  |
| 3133ELYR9       | 30468        | 104  | Fair Value       | 05/06/2022    | 6,407,296.00             | 0.00                  | 0.00                  | 6,400,000.00            | 0.00                    | -7,296.00              | 0.00                  |
| 3133ELZN7       | 30469        | 104  | Fair Value       | 05/18/2022    | 5,292,437.85             | 0.00                  | 0.00                  | 5,289,000.00            | 0.00                    | -3,437.85              | 0.00                  |
| 3130AJPU7       | 30470        | 104  | Fair Value       | 06/03/2022    | 20,026,400.00            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -26,400.00             | 0.00                  |
| 3130AF5B9       | 30471        | 104  | Fair Value       | 10/12/2021    | 2,530,782.80             | 0.00                  | 0.00                  | 2,510,000.00            | 0.00                    | -20,782.80             | 0.00                  |
| 313379Q69       | 30472        | 104  | Fair Value       | 06/10/2022    | 10,191,200.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -191,200.00            | 0.00                  |
| 3133ELN26       | 30473        | 104  | Fair Value       | 06/22/2022    | 20,032,400.00            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -32,400.00             | 0.00                  |

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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 3130AF5B9       | 30477        | 104  | Fair Value       | 10/12/2021    | 6,175,715.00             | 0.00                  | 0.00                  | 6,125,000.00            | 0.00                    | -50,715.00             | 0.00                  |
| 3133EGWJ0       | 30478        | 104  | Fair Value       | 09/29/2022    | 1,015,800.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -16,920.00             | 998,880.00            |
| 4581X0CZ9       | 30479        | 104  | Fair Value       | 09/14/2022    | 10,188,300.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -195,400.00            | 9,992,900.00          |
| 3133ELYR9       | 30481        | 104  | Fair Value       | 05/06/2022    | 1,001,140.00             | 0.00                  | 0.00                  | 1,000,000.00            | 0.00                    | -1,140.00              | 0.00                  |
| 3135GOW33       | 30482        | 104  | Fair Value       | 09/06/2022    | 7,878,689.46             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -117,048.69            | 7,761,640.77          |
| 3130AJSW0       | 30483        | 104  | Fair Value       | 08/26/2022    | 1,174,835.50             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -2,514.50              | 1,172,321.00          |
| 4581X0CZ9       | 30484        | 104  | Fair Value       | 09/14/2022    | 1,477,303.50             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -28,333.00             | 1,448,970.50          |
| 313378WG2       | 30485        | 104  | Fair Value       | 03/11/2022    | 10,167,200.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -167,200.00            | 0.00                  |
| 4581X0CZ9       | 30486        | 104  | Fair Value       | 09/14/2022    | 18,899,296.50            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -362,467.00            | 18,536,829.50         |
| 3133ELT95       | 30487        | 104  | Fair Value       | 07/13/2022    | 28,026,040.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -38,080.00             | 27,987,960.00         |
| 594918BP8       | 30488        | 104  | Fair Value       | 08/08/2021    | 3,170,760.80             | 0.00                  | 0.00                  | 3,170,000.00            | 0.00                    | -760.80                | 0.00                  |
| 83369XEQ7       | 30489        | 104  | Fair Value       | 07/06/2021    | 25,001,457.50            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -1,457.50              | 0.00                  |
| 63873QJM7       | 30490        | 104  | Fair Value       | 07/01/2021    | 15,000,145.80            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -145.80                | 0.00                  |
| 13048VQA9       | 30491        | 104  | Fair Value       | 11/01/2022    | 473,560.86               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -4,919.16              | 468,641.70            |
| 13048VQB7       | 30492        | 104  | Fair Value       | 11/01/2023    | 354,598.55               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -12,473.55             | 342,125.00            |
| 89236THA6       | 30493        | 104  | Fair Value       | 08/25/2023    | 7,415,112.96             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -305,424.00            | 7,109,688.96          |
| 459058GU1       | 30494        | 104  | Fair Value       | 07/01/2022    | 1,752,817.60             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -32,817.60             | 1,720,000.00          |
| 3135G05H2       | 30496        | 104  | Fair Value       | 07/13/2023    | 15,283,916.98            | 0.00                  | 0.00                  | 15,283,000.00           | 0.00                    | -916.98                | 0.00                  |
| 89114NP41       | 30497        | 104  | Fair Value       | 07/06/2021    | 24,001,199.28            | 0.00                  | 0.00                  | 24,000,000.00           | 0.00                    | -1,199.28              | 0.00                  |
| 45950VNY8       | 30498        | 104  | Fair Value       | 07/15/2025    | 19,719,400.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,231,000.00          | 18,488,400.00         |
| 3135G0T78       | 30499        | 104  | Fair Value       | 10/05/2022    | 20,254,410.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -463,716.00            | 19,790,694.00         |
| 3133ELW67       | 30502        | 104  | Fair Value       | 07/21/2022    | 15,001,200.00            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -1,200.00              | 0.00                  |
| 3134GV6H6       | 30503        | 104  | Fair Value       | 10/20/2022    | 16,000,000.00            | 0.00                  | 0.00                  | 16,000,000.00           | 0.00                    | 0.00                   | 0.00                  |
| 797412DL4       | 30505        | 104  | Fair Value       | 05/01/2024    | 1,002,041.47             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -51,021.47             | 951,020.00            |
| 459058GU1       | 30506        | 104  | Fair Value       | 07/01/2022    | 19,362,520.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -362,520.00            | 19,000,000.00         |
| 3136G4ZS5       | 30507        | 104  | Fair Value       | 07/20/2023    | 16,076,446.75            | 0.00                  | 0.00                  | 16,075,000.00           | 0.00                    | -1,446.75              | 0.00                  |
| 89236THD0       | 30509        | 104  | Fair Value       | 07/22/2022    | 1,002,790.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -3,560.00              | 999,230.00            |
| 3133ELX33       | 30510        | 104  | Fair Value       | 07/22/2025    | 19,966,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,388,800.00          | 18,577,200.00         |
| 459200JX0       | 30511        | 104  | Fair Value       | 05/13/2022    | 25,570,750.00            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -570,750.00            | 0.00                  |
| 89236THD0       | 30512        | 104  | Fair Value       | 07/22/2022    | 5,013,950.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -17,800.00             | 4,996,150.00          |
| 3133ELW67       | 30513        | 104  | Fair Value       | 07/21/2022    | 15,001,200.00            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -1,200.00              | 0.00                  |
| 68304FAE6       | 30517        | 104  | Fair Value       | 06/01/2025    | 384,922.38               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -23,054.88             | 361,867.50            |
| 63873QKL7       | 30518        | 104  | Fair Value       | 07/30/2021    | 25,004,997.00            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -4,997.00              | 0.00                  |
| 088013FF9       | 30520        | 104  | Fair Value       | 06/01/2024    | 225,447.20               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -11,109.95             | 214,337.25            |
| 088013FG7       | 30521        | 104  | Fair Value       | 06/01/2025    | 205,543.54               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -14,592.19             | 190,951.35            |
| 3135G05R0       | 30523        | 104  | Fair Value       | 08/10/2023    | 19,987,800.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -521,000.00            | 19,466,800.00         |
| 65558TG25       | 30524        | 104  | Fair Value       | 08/27/2021    | 25,006,842.75            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -6,842.75              | 0.00                  |

**Sonoma County Inv Pool Funds**  
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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 459058DY6       | 30526        | 104  | Fair Value       | 02/10/2022    | 12,105,960.00            | 0.00                  | 0.00                  | 12,000,000.00           | 0.00                    | -105,960.00            | 0.00                  |
| 45950KCJ7       | 30527        | 104  | Fair Value       | 07/20/2021    | 3,001,680.00             | 0.00                  | 0.00                  | 3,000,000.00            | 0.00                    | -1,680.00              | 0.00                  |
| 3136G4H63       | 30529        | 104  | Fair Value       | 08/19/2025    | 24,793,750.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,662,000.00          | 23,131,750.00         |
| 13048VQA9       | 30530        | 104  | Fair Value       | 11/01/2022    | 503,788.15               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -5,233.15              | 498,555.00            |
| 13034PZP5       | 30531        | 104  | Fair Value       | 08/01/2024    | 3,495,348.43             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -227,573.18            | 3,267,775.25          |
| 3134GWQC3       | 30532        | 104  | Fair Value       | 11/18/2022    | 17,003,230.00            | 0.00                  | 0.00                  | 17,000,000.00           | 0.00                    | -3,230.00              | 0.00                  |
| 45950VLH7       | 30533        | 104  | Fair Value       | 10/24/2022    | 9,544,590.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -225,972.60            | 9,318,617.40          |
| 3136G4Y80       | 30534        | 104  | Fair Value       | 08/25/2023    | 19,940,800.00            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | 59,200.00              | 0.00                  |
| 3130AJYU7       | 30535        | 104  | Fair Value       | 08/24/2023    | 11,367,043.80            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -350,764.50            | 11,016,279.30         |
| 89114NRE7       | 30536        | 104  | Fair Value       | 08/31/2021    | 20,005,851.80            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -5,851.80              | 0.00                  |
| 3130AJZJ1       | 30537        | 104  | Fair Value       | 08/25/2023    | 8,885,422.22             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -283,200.00            | 8,602,222.22          |
| 3136G4M83       | 30538        | 104  | Fair Value       | 08/28/2025    | 19,857,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,335,000.00          | 18,522,000.00         |
| 22550L2A8       | 30539        | 104  | Fair Value       | 11/12/2021    | 15,480,165.00            | 0.00                  | 0.00                  | 15,375,000.00           | 0.00                    | -105,165.00            | 0.00                  |
| 54465AGR7       | 30540        | 104  | Fair Value       | 09/01/2025    | 264,204.27               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -26,864.27             | 237,340.00            |
| 91412GU94       | 30541        | 104  | Fair Value       | 07/01/2025    | 6,918,210.73             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -636,285.13            | 6,281,925.60          |
| 4581X0DP0       | 30544        | 104  | Fair Value       | 11/15/2023    | 19,938,800.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -642,800.00            | 19,296,000.00         |
| 83369XF45       | 30545        | 104  | Fair Value       | 08/03/2021    | 20,003,964.40            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -3,964.40              | 0.00                  |
| 3133EL4K7       | 30546        | 104  | Fair Value       | 02/24/2023    | 17,998,740.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -299,700.00            | 17,699,040.00         |
| 3137EAEW5       | 30547        | 104  | Fair Value       | 09/08/2023    | 12,987,130.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -390,520.00            | 12,596,610.00         |
| 83369XF78       | 30548        | 104  | Fair Value       | 09/01/2021    | 20,007,695.40            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -7,695.40              | 0.00                  |
| 83050PMV8       | 30549        | 104  | Fair Value       | 07/21/2021    | 25,002,623.50            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -2,623.50              | 0.00                  |
| 797299LU6       | 30550        | 104  | Fair Value       | 10/15/2022    | 1,035,896.84             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -33,826.84             | 1,002,070.00          |
| 90520EAK7       | 30552        | 104  | Fair Value       | 12/09/2022    | 5,723,573.10             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -151,792.35            | 5,571,780.75          |
| 13034PA98       | 30553        | 104  | Fair Value       | 04/01/2025    | 628,494.91               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -50,700.31             | 577,794.60            |
| 189168AR8       | 30554        | 104  | Fair Value       | 08/01/2021    | 160,006.70               | 0.00                  | 0.00                  | 160,000.00              | 0.00                    | -6.70                  | 0.00                  |
| 189168AS6       | 30555        | 104  | Fair Value       | 08/01/2022    | 180,048.01               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -238.81                | 179,809.20            |
| 189168AS6       | 30556        | 104  | Fair Value       | 08/01/2022    | 180,048.01               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -238.81                | 179,809.20            |
| 189168AT4       | 30557        | 104  | Fair Value       | 08/01/2023    | 365,188.14               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -9,805.89              | 355,382.25            |
| 189168AT4       | 30558        | 104  | Fair Value       | 08/01/2023    | 370,190.71               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -9,940.21              | 360,250.50            |
| 13077DMK5       | 30559        | 104  | Fair Value       | 11/01/2024    | 2,653,020.34             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -168,406.84            | 2,484,613.50          |
| 13077DMK5       | 30560        | 104  | Fair Value       | 11/01/2024    | 6,006,838.50             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -381,298.50            | 5,625,540.00          |
| 13077DMJ8       | 30561        | 104  | Fair Value       | 11/01/2023    | 4,254,897.23             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -156,792.23            | 4,098,105.00          |
| 13077DMH2       | 30562        | 104  | Fair Value       | 11/01/2022    | 1,751,135.02             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -12,580.02             | 1,738,555.00          |
| 13077DNB4       | 30563        | 104  | Fair Value       | 11/01/2023    | 800,921.85               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -29,513.85             | 771,408.00            |
| 13077DNC2       | 30564        | 104  | Fair Value       | 11/01/2024    | 1,252,053.93             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -80,066.43             | 1,171,987.50          |
| 65558TN43       | 30565        | 104  | Fair Value       | 09/28/2021    | 20,009,993.80            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -9,993.80              | 0.00                  |
| 4581X0CV8       | 30566        | 104  | Fair Value       | 09/14/2021    | 4,460,546.50             | 0.00                  | 0.00                  | 4,450,000.00            | 0.00                    | -10,546.50             | 0.00                  |

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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 4581X0CV8       | 30567        | 104  | Fair Value       | 09/14/2021    | 1,002,370.00             | 0.00                  | 0.00                  | 1,000,000.00            | 0.00                    | -2,370.00              | 0.00                  |
| 459058FP3       | 30568        | 104  | Fair Value       | 09/20/2021    | 3,850,368.00             | 0.00                  | 0.00                  | 3,840,000.00            | 0.00                    | -10,368.00             | 0.00                  |
| 22549L4G7       | 30569        | 104  | Fair Value       | 10/27/2021    | 25,020,643.50            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -20,643.50             | 0.00                  |
| 06406RAC1       | 30570        | 104  | Fair Value       | 05/16/2023    | 2,550,700.00             | 0.00                  | 0.00                  | 2,500,000.00            | 0.00                    | -50,700.00             | 0.00                  |
| 842475P74       | 30571        | 104  | Fair Value       | 07/01/2025    | 6,417,991.87             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -492,423.87            | 5,925,568.00          |
| 65558TP33       | 30572        | 104  | Fair Value       | 07/30/2021    | 25,003,331.50            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -3,331.50              | 0.00                  |
| 459058GU1       | 30573        | 104  | Fair Value       | 07/01/2022    | 1,120,988.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -20,988.00             | 1,100,000.00          |
| 90520EAK7       | 30575        | 104  | Fair Value       | 12/09/2022    | 5,728,688.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -151,928.00            | 5,576,760.00          |
| 90520EAH4       | 30576        | 104  | Fair Value       | 04/01/2022    | 2,584,280.40             | 0.00                  | 0.00                  | 2,535,000.00            | 0.00                    | -49,280.40             | 0.00                  |
| 13606CGX6       | 30577        | 104  | Fair Value       | 07/28/2021    | 25,003,303.75            | 0.00                  | 0.00                  | 25,000,000.00           | 0.00                    | -3,303.75              | 0.00                  |
| 742718EB1       | 30578        | 104  | Fair Value       | 08/15/2023    | 3,353,365.40             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -171,678.64            | 3,181,686.76          |
| 857477AM5       | 30579        | 104  | Fair Value       | 11/20/2023    | 7,451,788.50             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -490,251.06            | 6,961,537.44          |
| 06406RAJ6       | 30580        | 104  | Fair Value       | 08/11/2023    | 3,125,921.75             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -183,496.20            | 2,942,425.55          |
| 594918AW4       | 30581        | 104  | Fair Value       | 12/15/2023    | 6,036,678.72             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -371,670.42            | 5,665,008.30          |
| 13048VQA9       | 30582        | 104  | Fair Value       | 11/01/2022    | 2,015,152.58             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -20,932.58             | 1,994,220.00          |
| 13048VQB7       | 30583        | 104  | Fair Value       | 11/01/2023    | 3,191,386.94             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -112,261.94            | 3,079,125.00          |
| 45950KCJ7       | 30588        | 104  | Fair Value       | 07/20/2021    | 2,701,512.00             | 0.00                  | 0.00                  | 2,700,000.00            | 0.00                    | -1,512.00              | 0.00                  |
| 45950KCP3       | 30589        | 104  | Fair Value       | 07/31/2023    | 1,053,090.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -53,220.00             | 999,870.00            |
| 4581X0DH8       | 30590        | 104  | Fair Value       | 07/23/2021    | 1,201,344.00             | 0.00                  | 0.00                  | 1,200,000.00            | 0.00                    | -1,344.00              | 0.00                  |
| 22549L5U5       | 30591        | 104  | Fair Value       | 07/07/2021    | 15,000,583.05            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -583.05                | 0.00                  |
| 459058DY6       | 30592        | 104  | Fair Value       | 02/10/2022    | 2,506,942.55             | 0.00                  | 0.00                  | 2,485,000.00            | 0.00                    | -21,942.55             | 0.00                  |
| 037833CQ1       | 30593        | 104  | Fair Value       | 05/11/2022    | 8,009,232.00             | 0.00                  | 0.00                  | 7,880,000.00            | 0.00                    | -129,232.00            | 0.00                  |
| 623040LY6       | 30594        | 104  | Fair Value       | 08/01/2024    | 322,217.66               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -24,824.66             | 297,393.00            |
| 623040LG5       | 30595        | 104  | Fair Value       | 08/01/2024    | 268,514.70               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -20,687.20             | 247,827.50            |
| 623040LZ3       | 30596        | 104  | Fair Value       | 08/01/2025    | 273,377.93               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -27,240.43             | 246,137.50            |
| 80168FNV4       | 30597        | 104  | Fair Value       | 06/01/2023    | 525,000.00               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -12,290.25             | 512,709.75            |
| 80168FNW2       | 30598        | 104  | Fair Value       | 06/01/2024    | 780,000.00               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -39,062.40             | 740,937.60            |
| 80168FNX0       | 30599        | 104  | Fair Value       | 06/01/2025    | 3,115,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -223,812.75            | 2,891,187.25          |
| 738855K20       | 30600        | 104  | Fair Value       | 09/01/2024    | 355,794.35               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -20,393.90             | 335,400.45            |
| 738855K38       | 30601        | 104  | Fair Value       | 09/01/2025    | 271,556.74               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -22,065.94             | 249,490.80            |
| 798153NV0       | 30602        | 104  | Fair Value       | 06/01/2023    | 700,756.20               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -15,400.20             | 685,356.00            |
| 798153NW8       | 30603        | 104  | Fair Value       | 06/01/2024    | 651,673.44               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -30,442.44             | 621,231.00            |
| 3137EAEY1       | 30604        | 104  | Fair Value       | 10/16/2023    | 16,933,020.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -535,330.00            | 16,397,690.00         |
| 459056LD7       | 30605        | 104  | Fair Value       | 01/19/2023    | 103,442.40               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | 717,373.60             | 820,816.00            |
| 91412GUZ6       | 30606        | 104  | Fair Value       | 05/15/2022    | 410,317.34               | 0.00                  | 0.00                  | 400,000.00              | 0.00                    | -10,317.34             | 0.00                  |
| 45950VPD2       | 30607        | 104  | Fair Value       | 10/15/2025    | 19,691,200.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,322,000.00          | 18,369,200.00         |
| 835569GS7       | 30608        | 104  | Fair Value       | 08/01/2025    | 1,410,295.58             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -125,111.83            | 1,285,183.75          |

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**Sonoma County Inv Pool Funds**  
**GASB 31 Compliance Detail**  
**Sorted by Fund - Investment Number**

| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 368079HX0       | 30609        | 104  | Fair Value       | 08/01/2023    | 500,454.03               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -13,909.03             | 486,545.00            |
| 368079HY8       | 30610        | 104  | Fair Value       | 08/01/2024    | 150,222.96               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -7,964.46              | 142,258.50            |
| 368079KD0       | 30611        | 104  | Fair Value       | 08/01/2025    | 1,248,417.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -90,828.45             | 1,157,588.55          |
| 91412GD85       | 30612        | 104  | Fair Value       | 05/15/2024    | 1,569,059.51             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -97,274.51             | 1,471,785.00          |
| 79771FAD9       | 30613        | 104  | Fair Value       | 11/01/2023    | 700,647.86               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -24,650.86             | 675,997.00            |
| 79771FAE7       | 30614        | 104  | Fair Value       | 11/01/2024    | 700,915.92               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -42,523.92             | 658,392.00            |
| 79771FAW7       | 30615        | 104  | Fair Value       | 11/01/2023    | 1,000,925.50             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -35,215.50             | 965,710.00            |
| 79771FAX5       | 30616        | 104  | Fair Value       | 11/01/2024    | 1,001,308.46             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -60,748.46             | 940,560.00            |
| 79771FBM8       | 30617        | 104  | Fair Value       | 11/01/2023    | 350,323.94               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -12,325.44             | 337,998.50            |
| 79771FBN6       | 30618        | 104  | Fair Value       | 11/01/2024    | 690,902.81               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -41,916.41             | 648,986.40            |
| 58933YAF2       | 30619        | 104  | Fair Value       | 05/18/2023    | 13,607,620.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -654,550.00            | 12,953,070.00         |
| 378460YW3       | 30620        | 104  | Fair Value       | 09/01/2025    | 576,340.01               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -48,927.01             | 527,413.00            |
| 45950VPF7       | 30621        | 104  | Fair Value       | 10/27/2023    | 10,933,356.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -366,934.50            | 10,566,421.50         |
| 3134GW5P7       | 30622        | 104  | Fair Value       | 10/27/2025    | 9,901,400.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -732,700.00            | 9,168,700.00          |
| 835588AY0       | 30623        | 104  | Fair Value       | 03/01/2025    | 5,018,174.55             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -348,574.55            | 4,669,600.00          |
| 3134GW3H7       | 30624        | 104  | Fair Value       | 10/29/2025    | 13,657,446.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -973,590.00            | 12,683,856.00         |
| 45950VPE0       | 30625        | 104  | Fair Value       | 10/15/2025    | 11,782,200.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -783,360.00            | 10,998,840.00         |
| 13077DND0       | 30628        | 104  | Fair Value       | 11/01/2025    | 1,038,287.85             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -89,927.70             | 948,360.15            |
| 13077CTN4       | 30629        | 104  | Fair Value       | 11/01/2022    | 713,298.65               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -36,799.65             | 676,499.00            |
| 54473EUW2       | 30630        | 104  | Fair Value       | 12/01/2023    | 4,591,840.14             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -275,288.04            | 4,316,552.10          |
| 13063DYU6       | 30631        | 104  | Fair Value       | 11/01/2022    | 5,007,004.62             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -165,509.22            | 4,841,495.40          |
| 697511EZ2       | 30632        | 104  | Fair Value       | 08/01/2022    | 700,309.46               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,093.46              | 699,216.00            |
| 3135G06C2       | 30633        | 104  | Fair Value       | 10/29/2025    | 19,825,800.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,382,600.00          | 18,443,200.00         |
| 91412HGE7       | 30634        | 104  | Fair Value       | 05/15/2025    | 505,094.68               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -39,564.68             | 465,530.00            |
| 13032UXM5       | 30635        | 104  | Fair Value       | 06/01/2025    | 1,000,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -73,510.00             | 926,490.00            |
| 13032UXL7       | 30636        | 104  | Fair Value       | 06/01/2024    | 1,000,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -50,380.00             | 949,620.00            |
| 13032UXK9       | 30637        | 104  | Fair Value       | 06/01/2023    | 1,500,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -35,130.00             | 1,464,870.00          |
| 3135G06A6       | 30639        | 104  | Fair Value       | 10/20/2025    | 12,882,350.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -894,530.00            | 11,987,820.00         |
| 3133EMDZ2       | 30640        | 104  | Fair Value       | 10/21/2025    | 14,820,750.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,082,700.00          | 13,738,050.00         |
| 037833BU3       | 30641        | 104  | Fair Value       | 02/23/2023    | 7,810,257.66             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -286,474.89            | 7,523,782.77          |
| 54465AGR7       | 30642        | 104  | Fair Value       | 09/01/2025    | 1,056,817.06             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -107,457.06            | 949,360.00            |
| 3130AKCT1       | 30643        | 104  | Fair Value       | 10/29/2025    | 11,564,484.75            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -823,915.75            | 10,740,569.00         |
| 987388GK5       | 30644        | 104  | Fair Value       | 08/01/2023    | 770,965.84               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -20,200.44             | 750,765.40            |
| 54438CYG1       | 30645        | 104  | Fair Value       | 08/01/2022    | 3,575,562.67             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -4,924.17              | 3,570,638.50          |
| 54438CYK2       | 30646        | 104  | Fair Value       | 08/01/2025    | 5,001,083.25             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -391,533.25            | 4,609,550.00          |
| 3134GWUG9       | 30647        | 104  | Fair Value       | 09/24/2025    | 2,968,200.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -207,120.00            | 2,761,080.00          |
| 45818WCW4       | 30648        | 104  | Fair Value       | 10/15/2024    | 9,921,300.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -551,200.00            | 9,370,100.00          |

**Sonoma County Inv Pool Funds**  
**GASB 31 Compliance Detail**  
**Sorted by Fund - Investment Number**

| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 3134GXAP9       | 30649        | 104  | Fair Value       | 11/12/2025    | 9,962,400.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -698,800.00            | 9,263,600.00          |
| 594918BX1       | 30650        | 104  | Fair Value       | 02/06/2024    | 12,880,388.61            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -741,092.15            | 12,139,296.46         |
| 91412GXQ3       | 30651        | 104  | Fair Value       | 05/15/2025    | 1,364,043.25             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -124,655.75            | 1,239,387.50          |
| 144393MX0       | 30652        | 104  | Fair Value       | 08/01/2022    | 240,128.57               | 0.00                  | -0.00                 | 0.00                    | 0.00                    | -358.97                | 239,769.60            |
| 3133EMER9       | 30653        | 104  | Fair Value       | 01/27/2025    | 3,995,640.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -265,240.00            | 3,730,400.00          |
| 3135GA4P3       | 30654        | 104  | Fair Value       | 11/18/2025    | 9,927,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -701,900.00            | 9,225,100.00          |
| 231237Q92       | 30655        | 104  | Fair Value       | 08/01/2024    | 4,290,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -233,290.20            | 4,056,709.80          |
| 7973913M9       | 30656        | 104  | Fair Value       | 10/01/2025    | 1,001,241.02             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -72,901.02             | 928,340.00            |
| 190335KY4       | 30657        | 104  | Fair Value       | 08/01/2024    | 721,183.20               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -36,499.20             | 684,684.00            |
| 190335KZ1       | 30658        | 104  | Fair Value       | 08/01/2025    | 235,506.71               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -16,745.21             | 218,761.50            |
| 798189RW8       | 30659        | 104  | Fair Value       | 09/01/2024    | 500,951.02               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -27,840.02             | 473,111.00            |
| 3133EMEC2       | 30660        | 104  | Fair Value       | 10/22/2025    | 16,415,360.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,136,179.20          | 15,279,180.80         |
| 3136G45C3       | 30661        | 104  | Fair Value       | 10/27/2025    | 5,933,580.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -412,080.00            | 5,521,500.00          |
| 89236TGZ2       | 30662        | 104  | Fair Value       | 05/26/2022    | 9,876,730.15             | 0.00                  | 0.00                  | 9,793,000.00            | 0.00                    | -83,730.15             | 0.00                  |
| 06406FAD5       | 30663        | 104  | Fair Value       | 08/16/2023    | 7,198,587.44             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -319,931.04            | 6,878,656.40          |
| 89236THD0       | 30664        | 104  | Fair Value       | 07/22/2022    | 7,032,566.27             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -24,966.28             | 7,007,599.99          |
| 91412GTF2       | 30665        | 104  | Fair Value       | 05/15/2024    | 965,938.69               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -74,610.94             | 891,327.75            |
| 365471AC5       | 30666        | 104  | Fair Value       | 04/01/2023    | 401,745.71               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -7,173.71              | 394,572.00            |
| 3134GXB5        | 30667        | 104  | Fair Value       | 11/12/2025    | 9,957,600.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -790,700.00            | 9,166,900.00          |
| 3135GA2Z3       | 30668        | 104  | Fair Value       | 11/17/2025    | 9,888,600.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -691,800.00            | 9,196,800.00          |
| 3135GA4V0       | 30669        | 104  | Fair Value       | 11/25/2025    | 1,982,900.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -140,440.00            | 1,842,460.00          |
| 3135G06H1       | 30670        | 104  | Fair Value       | 11/27/2023    | 9,981,200.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -345,700.00            | 9,635,500.00          |
| 45950VPH3       | 30672        | 104  | Fair Value       | 11/15/2025    | 9,863,700.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -661,700.00            | 9,202,000.00          |
| 13067WRC8       | 30675        | 104  | Fair Value       | 12/01/2025    | 1,762,182.25             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -154,865.50            | 1,607,316.75          |
| 3133EMHF2       | 30676        | 104  | Fair Value       | 11/24/2025    | 9,641,703.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -689,068.95            | 8,952,634.05          |
| 4581X0DA3       | 30677        | 104  | Fair Value       | 01/18/2023    | 9,876,226.64             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -339,766.40            | 9,536,460.24          |
| 735000TK7       | 30678        | 104  | Fair Value       | 05/01/2023    | 430,553.08               | 0.00                  | 0.00                  | 70,415.87               | 0.00                    | -7,330.01              | 352,807.20            |
| 735000TL5       | 30679        | 104  | Fair Value       | 05/01/2024    | 1,001,413.26             | 0.00                  | 0.00                  | 176,384.77              | 0.00                    | -36,196.49             | 788,832.00            |
| 250375JU7       | 30680        | 104  | Fair Value       | 08/01/2024    | 194,506.88               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -10,604.33             | 183,902.55            |
| 26442CAW4       | 30681        | 104  | Fair Value       | 05/15/2022    | 4,214,390.00             | 0.00                  | 0.00                  | 4,100,000.00            | 0.00                    | -114,390.00            | 0.00                  |
| 63254ABA5       | 30682        | 104  | Fair Value       | 06/20/2023    | 3,806,477.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -194,147.20            | 3,612,329.80          |
| 3137EAS4        | 30683        | 104  | Fair Value       | 06/26/2023    | 1,256,836.59             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -33,423.63             | 1,223,412.96          |
| 3135G05G4       | 30684        | 104  | Fair Value       | 07/10/2023    | 19,997,800.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -528,000.00            | 19,469,800.00         |
| 3137EAEV7       | 30685        | 104  | Fair Value       | 08/24/2023    | 21,549,826.80            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -647,727.75            | 20,902,099.05         |
| 3135G06J7       | 30686        | 104  | Fair Value       | 12/10/2025    | 9,917,300.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -706,700.00            | 9,210,600.00          |
| 3133EMJP8       | 30687        | 104  | Fair Value       | 03/10/2025    | 9,955,200.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -649,500.00            | 9,305,700.00          |
| 89236THD0       | 30688        | 104  | Fair Value       | 07/22/2022    | 3,815,615.95             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -13,545.80             | 3,802,070.15          |



**Sonoma County Inv Pool Funds**  
**GASB 31 Compliance Detail**  
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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 3133EMJS2       | 30689        | 104  | Fair Value       | 12/09/2024    | 9,939,400.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -539,100.00            | 9,400,300.00          |
| 45950VPH3       | 30690        | 104  | Fair Value       | 11/15/2025    | 1,232,962.50             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -82,712.50             | 1,150,250.00          |
| 046558ET5       | 30691        | 104  | Fair Value       | 08/01/2024    | 345,777.06               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -20,031.51             | 325,745.55            |
| 91412GU94       | 30692        | 104  | Fair Value       | 07/01/2025    | 1,003,904.40             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -92,331.60             | 911,572.80            |
| 3134GXBD5       | 30693        | 104  | Fair Value       | 05/15/2024    | 11,967,120.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -532,800.00            | 11,434,320.00         |
| 3134GXFC3       | 30694        | 104  | Fair Value       | 06/14/2024    | 11,687,490.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -519,937.50            | 11,167,552.50         |
| 21684XLP9       | 30695        | 104  | Fair Value       | 05/02/2022    | 20,027,152.60            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -27,152.60             | 0.00                  |
| 3130AKJW7       | 30696        | 104  | Fair Value       | 12/15/2025    | 14,790,750.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,047,750.00          | 13,743,000.00         |
| 3134GXFX7       | 30697        | 104  | Fair Value       | 09/16/2024    | 3,081,214.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -155,062.00            | 2,926,152.00          |
| 3135G06M0       | 30698        | 104  | Fair Value       | 12/16/2024    | 9,967,100.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -580,700.00            | 9,386,400.00          |
| 3130AKJR8       | 30699        | 104  | Fair Value       | 12/16/2025    | 4,761,156.40             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -292,384.40            | 4,468,772.00          |
| 13034AL57       | 30700        | 104  | Fair Value       | 10/01/2024    | 3,050,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -179,889.00            | 2,870,111.00          |
| 13034AL65       | 30701        | 104  | Fair Value       | 10/01/2025    | 1,480,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -119,983.60            | 1,360,016.40          |
| 91412HGE7       | 30702        | 104  | Fair Value       | 05/15/2025    | 15,152,840.40            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,186,940.40          | 13,965,900.00         |
| 492279CR3       | 30703        | 104  | Fair Value       | 08/15/2025    | 2,157,782.61             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -94,613.61             | 2,063,169.00          |
| 3134GXBD5       | 30704        | 104  | Fair Value       | 05/15/2024    | 9,972,600.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -444,000.00            | 9,528,600.00          |
| 3130AKHR0       | 30705        | 104  | Fair Value       | 12/18/2025    | 18,656,568.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,175,580.00          | 17,480,988.00         |
| 3137EAEN5       | 30706        | 104  | Fair Value       | 06/19/2023    | 13,940,042.16            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -683,268.96            | 13,256,773.20         |
| 3130AKJ49       | 30707        | 104  | Fair Value       | 12/23/2024    | 9,945,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -514,800.00            | 9,430,200.00          |
| 3134GXHD9       | 30708        | 104  | Fair Value       | 12/23/2025    | 9,918,100.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -760,200.00            | 9,157,900.00          |
| 3134GXJK1       | 30709        | 104  | Fair Value       | 09/30/2024    | 4,869,865.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -251,272.00            | 4,618,593.00          |
| 3135GABA8       | 30710        | 104  | Fair Value       | 12/30/2025    | 9,901,600.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -710,200.00            | 9,191,400.00          |
| 3134GXJX3       | 30711        | 104  | Fair Value       | 01/05/2026    | 1,819,705.05             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -119,519.10            | 1,700,185.95          |
| 65558TY82       | 30714        | 104  | Fair Value       | 07/05/2022    | 25,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -5,750.00              | 24,994,250.00         |
| 06406RAK3       | 30715        | 104  | Fair Value       | 08/23/2022    | 22,165,642.50            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -427,822.50            | 21,737,820.00         |
| 3134GXKG8       | 30716        | 104  | Fair Value       | 04/15/2025    | 9,844,500.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -561,900.00            | 9,282,600.00          |
| 3130AKM29       | 30717        | 104  | Fair Value       | 07/15/2025    | 9,834,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -555,200.00            | 9,278,800.00          |
| 088006JN2       | 30718        | 104  | Fair Value       | 06/01/2025    | 417,879.81               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -35,733.11             | 382,146.70            |
| 3134GXKJ2       | 30719        | 104  | Fair Value       | 01/22/2026    | 8,069,712.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -499,257.50            | 7,570,454.50          |
| 3130AKQ74       | 30720        | 104  | Fair Value       | 01/22/2026    | 9,868,300.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -732,500.00            | 9,135,800.00          |
| 13080SZJ6       | 30721        | 104  | Fair Value       | 02/01/2023    | 875,620.58               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -15,513.08             | 860,107.50            |
| 13080SZK3       | 30722        | 104  | Fair Value       | 02/01/2024    | 751,229.54               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -37,544.54             | 713,685.00            |
| 13080SZL1       | 30723        | 104  | Fair Value       | 02/01/2025    | 849,543.58               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -65,937.08             | 783,606.50            |
| 374288AB4       | 30724        | 104  | Fair Value       | 01/01/2024    | 6,974,240.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -253,540.00            | 6,720,700.00          |
| 3135G06R9       | 30725        | 104  | Fair Value       | 01/28/2026    | 14,785,350.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,062,300.00          | 13,723,050.00         |
| 13080SZM9       | 30726        | 104  | Fair Value       | 02/01/2026    | 747,735.20               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -78,792.25             | 668,942.95            |
| 13034PZR1       | 30729        | 104  | Fair Value       | 08/01/2025    | 185,870.31               | 0.00                  | 0.00                  | 25,000.00               | 0.00                    | -15,734.01             | 145,136.30            |

**Sonoma County Inv Pool Funds**  
**GASB 31 Compliance Detail**  
**Sorted by Fund - Investment Number**

| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 742718EP0       | 30730        | 104  | Fair Value       | 02/02/2026    | 7,417,794.18             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -670,059.92            | 6,747,734.26          |
| 63873QNB6       | 30731        | 104  | Fair Value       | 02/01/2022    | 15,009,885.00            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -9,885.00              | 0.00                  |
| 679502FN9       | 30732        | 104  | Fair Value       | 08/01/2023    | 138,837.09               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,963.29              | 136,873.80            |
| 63254ABA5       | 30733        | 104  | Fair Value       | 06/20/2023    | 5,301,500.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -270,400.00            | 5,031,100.00          |
| 90275DNC0       | 30734        | 104  | Fair Value       | 08/16/2022    | 10,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -23,000.00             | 9,977,000.00          |
| 797686EL2       | 30735        | 104  | Fair Value       | 03/01/2024    | 1,851,962.13             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -89,134.13             | 1,762,828.00          |
| 797686EM0       | 30736        | 104  | Fair Value       | 03/01/2025    | 1,322,385.54             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -98,547.54             | 1,223,838.00          |
| 56781RKB2       | 30737        | 104  | Fair Value       | 08/01/2024    | 2,000,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -122,260.00            | 1,877,740.00          |
| 56781RKC0       | 30738        | 104  | Fair Value       | 08/01/2025    | 1,000,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -84,650.00             | 915,350.00            |
| 037833BU3       | 30739        | 104  | Fair Value       | 02/23/2023    | 5,857,952.86             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -214,865.69            | 5,643,087.17          |
| 797686EN8       | 30740        | 104  | Fair Value       | 03/01/2026    | 1,227,229.14             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -118,898.14            | 1,108,331.00          |
| 63873QNL4       | 30741        | 104  | Fair Value       | 03/01/2022    | 20,014,889.00            | 0.00                  | 0.00                  | 20,000,000.00           | 0.00                    | -14,889.00             | 0.00                  |
| 91412HJJ3       | 30744        | 104  | Fair Value       | 05/15/2023    | 1,000,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -23,930.00             | 976,070.00            |
| 91412HKX0       | 30745        | 104  | Fair Value       | 05/15/2023    | 2,000,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -47,640.00             | 1,952,360.00          |
| 91412HKY8       | 30746        | 104  | Fair Value       | 05/15/2024    | 5,000,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -262,900.00            | 4,737,100.00          |
| 91412HKZ5       | 30747        | 104  | Fair Value       | 05/15/2025    | 4,433,084.92             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -323,772.62            | 4,109,312.30          |
| 13034PYP6       | 30748        | 104  | Fair Value       | 08/01/2023    | 1,046,458.74             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -45,718.74             | 1,000,740.00          |
| 899141AY1       | 30749        | 104  | Fair Value       | 05/01/2024    | 1,040,765.03             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -58,445.03             | 982,320.00            |
| 5445872R8       | 30750        | 104  | Fair Value       | 11/01/2023    | 2,001,800.48             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -72,960.48             | 1,928,840.00          |
| 5445872S6       | 30751        | 104  | Fair Value       | 11/01/2024    | 2,502,695.05             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -156,170.05            | 2,346,525.00          |
| 5445872T4       | 30752        | 104  | Fair Value       | 11/01/2025    | 2,254,455.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -181,800.00            | 2,072,655.00          |
| 3130ALGJ7       | 30753        | 104  | Fair Value       | 03/23/2026    | 8,116,875.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -794,560.89            | 7,322,314.11          |
| 91412HKZ5       | 30754        | 104  | Fair Value       | 05/15/2025    | 4,933,433.10             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -360,315.80            | 4,573,117.30          |
| 89114W5P6       | 30755        | 104  | Fair Value       | 02/24/2022    | 10,005,966.90            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -5,966.90              | 0.00                  |
| 684100AB6       | 30756        | 104  | Fair Value       | 06/01/2023    | 1,621,261.59             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -41,534.79             | 1,579,726.80          |
| 3133EMUP5       | 30757        | 104  | Fair Value       | 04/01/2025    | 10,003,200.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -646,300.00            | 9,356,900.00          |
| 45950VPT7       | 30758        | 104  | Fair Value       | 03/15/2026    | 1,975,751.60             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -138,046.30            | 1,837,705.30          |
| 63873QNY6       | 30761        | 104  | Fair Value       | 03/29/2022    | 13,998,922.00            | 0.00                  | 0.00                  | 14,000,000.00           | 0.00                    | 1,078.00               | 0.00                  |
| 928346PU3       | 30762        | 104  | Fair Value       | 08/01/2023    | 1,916,691.80             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -52,218.20             | 1,864,473.60          |
| 928346PW9       | 30763        | 104  | Fair Value       | 08/01/2025    | 1,486,492.64             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -102,697.64            | 1,383,795.00          |
| 63873QPA6       | 30764        | 104  | Fair Value       | 11/09/2021    | 15,006,597.15            | 0.00                  | 0.00                  | 15,000,000.00           | 0.00                    | -6,597.15              | 0.00                  |
| 83369X2B3       | 30765        | 104  | Fair Value       | 07/27/2022    | 25,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -30,000.00             | 24,970,000.00         |
| 89236THF5       | 30766        | 104  | Fair Value       | 08/14/2023    | 5,009,100.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -160,750.00            | 4,848,350.00          |
| 3130ALBE3       | 30767        | 104  | Fair Value       | 09/10/2025    | 3,158,503.20             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -228,292.20            | 2,930,211.00          |
| 3130ALCV4       | 30768        | 104  | Fair Value       | 02/24/2026    | 2,496,800.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -216,175.00            | 2,280,625.00          |
| 3133EMUK6       | 30769        | 104  | Fair Value       | 03/25/2026    | 10,002,500.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -669,900.00            | 9,332,600.00          |
| 459058GX5       | 30770        | 104  | Fair Value       | 06/19/2023    | 23,708,630.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -939,550.00            | 22,769,080.00         |

**Sonoma County Inv Pool Funds**  
**GASB 31 Compliance Detail**  
**Sorted by Fund - Investment Number**

| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 13077DML3       | 30771        | 104  | Fair Value       | 11/01/2025    | 499,045.04               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -40,900.04             | 458,145.00            |
| 594918BJ2       | 30772        | 104  | Fair Value       | 11/03/2025    | 8,494,757.04             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -716,906.40            | 7,777,850.64          |
| 789636HF7       | 30773        | 104  | Fair Value       | 08/01/2022    | 102,370.18               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -2,322.18              | 100,048.00            |
| 54465AGN6       | 30774        | 104  | Fair Value       | 09/01/2022    | 305,652.06               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -5,817.06              | 299,835.00            |
| 89236THD0       | 30775        | 104  | Fair Value       | 07/22/2022    | 12,288,188.66            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -43,624.24             | 12,244,564.42         |
| 459200JY8       | 30776        | 104  | Fair Value       | 05/15/2024    | 8,412,517.26             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -582,740.19            | 7,829,777.07          |
| 54465AFT4       | 30777        | 104  | Fair Value       | 08/01/2024    | 4,369,175.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -408,303.80            | 3,960,871.20          |
| 923078CU1       | 30778        | 104  | Fair Value       | 11/01/2024    | 579,696.38               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -32,882.88             | 546,813.50            |
| 91412HGE7       | 30779        | 104  | Fair Value       | 05/15/2025    | 505,094.68               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -39,564.68             | 465,530.00            |
| 91412GU94       | 30780        | 104  | Fair Value       | 07/01/2025    | 916,608.36               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -84,302.76             | 832,305.60            |
| 3130ALSQ8       | 30781        | 104  | Fair Value       | 10/14/2025    | 15,002,250.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,003,950.00          | 13,998,300.00         |
| 3130ALV35       | 30782        | 104  | Fair Value       | 04/13/2026    | 10,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -610,500.00            | 9,389,500.00          |
| 90275DNW6       | 30783        | 104  | Fair Value       | 08/29/2022    | 20,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -63,660.00             | 19,936,340.00         |
| 5445872Q0       | 30784        | 104  | Fair Value       | 11/01/2022    | 750,187.86               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -4,995.36              | 745,192.50            |
| 50420BDD1       | 30785        | 104  | Fair Value       | 09/01/2024    | 1,553,052.06             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -106,468.06            | 1,446,584.00          |
| 3130ALT46       | 30786        | 104  | Fair Value       | 04/15/2025    | 3,315,066.30             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -208,712.40            | 3,106,353.90          |
| 91412GXQ3       | 30787        | 104  | Fair Value       | 05/15/2025    | 545,617.30               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -49,862.30             | 495,755.00            |
| 891371AU0       | 30788        | 104  | Fair Value       | 06/01/2025    | 1,472,326.33             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -107,781.38            | 1,364,544.95          |
| 296065EK7       | 30789        | 104  | Fair Value       | 08/01/2025    | 241,796.11               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -16,851.31             | 224,944.80            |
| 50420BDE9       | 30790        | 104  | Fair Value       | 09/01/2025    | 2,269,960.83             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -209,163.33            | 2,060,797.50          |
| 21684XPY6       | 30791        | 104  | Fair Value       | 07/19/2022    | 20,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -15,600.00             | 19,984,400.00         |
| 89236THF5       | 30792        | 104  | Fair Value       | 08/14/2023    | 4,982,050.86             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -159,881.95            | 4,822,168.91          |
| 3133EMWL2       | 30793        | 104  | Fair Value       | 07/19/2023    | 11,728,027.50            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -307,732.50            | 11,420,295.00         |
| 54438CYJ5       | 30794        | 104  | Fair Value       | 08/01/2024    | 4,354,582.89             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -255,666.99            | 4,098,915.90          |
| 91412GU94       | 30795        | 104  | Fair Value       | 07/01/2025    | 381,920.15               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -35,126.15             | 346,794.00            |
| 91412GTF2       | 30796        | 104  | Fair Value       | 05/15/2024    | 1,091,456.15             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -84,306.15             | 1,007,150.00          |
| 3130ALY32       | 30797        | 104  | Fair Value       | 04/22/2026    | 10,000,300.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -300.00                | 0.00                  |
| 3130ALXC3       | 30798        | 104  | Fair Value       | 04/27/2026    | 10,000,300.00            | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | -300.00                | 0.00                  |
| 3130ALXJ8       | 30799        | 104  | Fair Value       | 04/29/2026    | 20,003,400.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,306,800.00          | 18,696,600.00         |
| 835588AW4       | 30800        | 104  | Fair Value       | 03/01/2023    | 4,532,569.47             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -106,324.47            | 4,426,245.00          |
| 13080SZL1       | 30801        | 104  | Fair Value       | 02/01/2025    | 999,463.03               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -77,573.03             | 921,890.00            |
| GOLDRCD27       | 30804        | 104  | Amortized        | 07/29/2021    | 400,000.00               | 0.00                  | 0.00                  | 400,000.00              | 0.00                    | 0.00                   | 0.00                  |
| 359796JU4       | 30805        | 104  | Fair Value       | 08/01/2024    | 1,494,306.45             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -131,089.95            | 1,363,216.50          |
| 359796JV2       | 30806        | 104  | Fair Value       | 08/01/2025    | 1,666,002.01             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -165,661.51            | 1,500,340.50          |
| 13048VPA0       | 30807        | 104  | Fair Value       | 12/01/2025    | 1,423,597.95             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -119,727.85            | 1,303,870.10          |
| 023135BV7       | 30808        | 104  | Fair Value       | 05/12/2023    | 998,950.00               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -19,930.00             | 979,020.00            |
| 023135BW5       | 30809        | 104  | Fair Value       | 05/12/2024    | 1,996,260.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -94,660.00             | 1,901,600.00          |

**Sonoma County Inv Pool Funds**  
**GASB 31 Compliance Detail**  
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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 03254CGF1       | 30810        | 104  | Fair Value       | 08/01/2022    | 1,035,906.97             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -34,756.97             | 1,001,150.00          |
| 91412HJL8       | 30811        | 104  | Fair Value       | 05/15/2025    | 398,932.98               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -29,840.98             | 369,092.00            |
| 21976THH8       | 30812        | 104  | Fair Value       | 09/01/2025    | 2,503,315.26             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -201,478.16            | 2,301,837.10          |
| 65558UBE1       | 30813        | 104  | Fair Value       | 05/17/2023    | 10,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -218,900.00            | 9,781,100.00          |
| 4581X0DM7       | 30814        | 104  | Fair Value       | 05/24/2023    | 6,596,805.60             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -167,994.90            | 6,428,810.70          |
| 037833BU3       | 30815        | 104  | Fair Value       | 02/23/2023    | 7,150,835.56             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -262,287.74            | 6,888,547.82          |
| 459200HU8       | 30816        | 104  | Fair Value       | 02/12/2024    | 26,350,070.88            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,840,219.92          | 24,509,850.96         |
| 037833AS9       | 30817        | 104  | Fair Value       | 05/06/2024    | 10,742,483.34            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -791,158.86            | 9,951,324.48          |
| 83369X3H9       | 30818        | 104  | Fair Value       | 07/29/2022    | 15,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -18,000.00             | 14,982,000.00         |
| 62451FKW9       | 30819        | 104  | Fair Value       | 09/01/2025    | 390,903.29               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -28,136.99             | 362,766.30            |
| 4581X0CC0       | 30820        | 104  | Fair Value       | 10/04/2023    | 13,766,090.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -776,880.00            | 12,989,210.00         |
| 3130AMDD1       | 30821        | 104  | Fair Value       | 05/20/2026    | 4,947,624.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -326,403.00            | 4,621,221.00          |
| 3137EAEW5       | 30822        | 104  | Fair Value       | 09/08/2023    | 11,988,120.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -360,480.00            | 11,627,640.00         |
| 3130A2UW4       | 30823        | 104  | Fair Value       | 09/13/2024    | 12,060,140.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -881,611.50            | 11,178,528.50         |
| 3135G0W66       | 30824        | 104  | Fair Value       | 10/15/2024    | 14,502,600.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -936,040.00            | 13,566,560.00         |
| 3130AMJN3       | 30825        | 104  | Fair Value       | 05/26/2026    | 10,003,700.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -709,100.00            | 9,294,600.00          |
| 801686TD8       | 30826        | 104  | Fair Value       | 08/01/2024    | 1,860,527.31             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -102,306.51            | 1,758,220.80          |
| 801686TE6       | 30827        | 104  | Fair Value       | 08/01/2025    | 2,500,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -182,400.00            | 2,317,600.00          |
| 76886PJN4       | 30828        | 104  | Fair Value       | 08/01/2025    | 1,500,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -119,460.00            | 1,380,540.00          |
| 786089JE3       | 30829        | 104  | Fair Value       | 09/01/2025    | 902,653.14               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -79,199.09             | 823,454.05            |
| 3130AMFH0       | 30830        | 104  | Fair Value       | 05/27/2026    | 9,977,600.00             | 0.00                  | 0.00                  | 10,000,000.00           | 0.00                    | 22,400.00              | 0.00                  |
| 3130AML91       | 30831        | 104  | Fair Value       | 05/27/2026    | 9,992,600.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -643,500.00            | 9,349,100.00          |
| 45950VPY6       | 30833        | 104  | Fair Value       | 05/15/2026    | 9,986,700.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -603,200.00            | 9,383,500.00          |
| 802615J70       | 30836        | 104  | Fair Value       | 08/01/2024    | 725,657.90               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -42,816.65             | 682,841.25            |
| 802598NU2       | 30837        | 104  | Fair Value       | 08/01/2024    | 725,657.90               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -42,236.65             | 683,421.25            |
| 802598NV0       | 30838        | 104  | Fair Value       | 08/01/2025    | 235,279.11               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -16,679.76             | 218,599.35            |
| SYS30839        | 30839        | 104  | Amortized        |               | 100,000,000.00           | 0.00                  | 1,951,368,163.26      | 1,993,368,163.26        | 0.00                    | 0.00                   | 58,000,000.00         |
| 63254ABA5       | 30840        | 104  | Fair Value       | 06/20/2023    | 10,603,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -540,800.00            | 10,062,200.00         |
| 06367CGA9       | 30841        | 104  | Fair Value       | 07/07/2022    | 25,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -6,750.00              | 24,993,250.00         |
| 544290JH3       | 30842        | 104  | Fair Value       | 10/01/2024    | 1,015,771.22             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -61,971.22             | 953,800.00            |
| 446222UN9       | 30843        | 104  | Fair Value       | 08/01/2022    | 1,000,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,350.00              | 998,650.00            |
| 446222UQ2       | 30845        | 104  | Fair Value       | 08/01/2024    | 4,310,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -264,935.70            | 4,045,064.30          |
| 446222UR0       | 30846        | 104  | Fair Value       | 08/01/2025    | 2,500,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -199,925.00            | 2,300,075.00          |
| 83050PVU0       | 30847        | 104  | Fair Value       | 07/29/2022    | 25,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -30,750.00             | 24,969,250.00         |
| 446222UP4       | 30848        | 104  | Fair Value       | 08/01/2023    | 1,480,000.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -46,072.40             | 1,433,927.60          |
| 79730WBN9       | 30849        | 104  | Fair Value       | 09/01/2025    | 382,976.41               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -40,483.91             | 342,492.50            |
| 459056HV2       | 30850        | 104  | Fair Value       | 08/28/2024    | 20,592,600.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -1,232,200.00          | 19,360,400.00         |

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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 05028XAB0       | 30851        | 104  | Fair Value       | 06/01/2024    | 460,740.08               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -23,500.88             | 437,239.20            |
| 22050TAC3       | 30852        | 104  | Fair Value       | 06/01/2024    | 475,520.42               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -25,035.17             | 450,485.25            |
| SYS30853        | 30853        | 104  | Fair Value       | 06/15/2022    | 840,000.00               | 0.00                  | 0.00                  | 840,000.00              | 0.00                    | 0.00                   | 0.00                  |
| 797010MX0       | 30854        | 104  | Fair Value       | 10/01/2024    | 826,583.18               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -45,836.18             | 780,747.00            |
| 797010MY8       | 30855        | 104  | Fair Value       | 10/01/2025    | 1,006,227.87             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -72,427.87             | 933,800.00            |
| 45950KCP3       | 30856        | 104  | Fair Value       | 07/31/2023    | 1,347,955.20             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -68,121.60             | 1,279,833.60          |
| 801686TD8       | 30857        | 104  | Fair Value       | 08/01/2024    | 500,141.75               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -27,501.75             | 472,640.00            |
| 03255LJA8       | 30858        | 104  | Fair Value       | 07/01/2024    | 500,471.13               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -26,636.13             | 473,835.00            |
| 03255LJB6       | 30859        | 104  | Fair Value       | 07/01/2025    | 962,099.07               | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -71,055.87             | 891,043.20            |
| 45906M2L4       | 30860        | 104  | Fair Value       | 02/24/2026    | 9,871,400.00             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -725,400.00            | 9,146,000.00          |
| 21684XRR9       | 30861        | 104  | Fair Value       | 08/02/2022    | 25,000,000.00            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -32,750.00             | 24,967,250.00         |
| 110122CX4       | 30862        | 104  | Fair Value       | 08/15/2022    | 2,962,044.80             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -98,469.80             | 2,863,575.00          |
| 3130ABHA7       | 30863        | 104  | Fair Value       | 08/15/2023    | 6,136,516.75             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -279,365.45            | 5,857,151.30          |
| 54438CYK2       | 30864        | 104  | Fair Value       | 08/01/2025    | 1,700,368.31             | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -133,121.31            | 1,567,247.00          |
| 54438CYK2       | 30865        | 104  | Fair Value       | 08/01/2025    | 10,502,274.83            | 0.00                  | 0.00                  | 0.00                    | 0.00                    | -822,219.83            | 9,680,055.00          |
| 459056LD7       | 30868        | 104  | Fair Value       | 01/19/2023    | 0.00                     | 2,504,814.75          | 0.00                  | 0.00                    | 0.00                    | -196,269.75            | 2,308,545.00          |
| 3130ALRG1       | 30869        | 104  | Fair Value       | 03/17/2023    | 0.00                     | 998,397.00            | 0.00                  | 0.00                    | 0.00                    | -16,277.00             | 982,120.00            |
| 06406RAJ6       | 30870        | 104  | Fair Value       | 08/11/2023    | 0.00                     | 13,905,036.00         | 0.00                  | 0.00                    | 0.00                    | -822,019.50            | 13,083,016.50         |
| 13048VQB7       | 30871        | 104  | Fair Value       | 11/01/2023    | 0.00                     | 704,214.00            | 0.00                  | 0.00                    | 0.00                    | -29,739.00             | 674,475.00            |
| 03255LJC4       | 30872        | 104  | Fair Value       | 07/01/2026    | 0.00                     | 662,732.40            | 0.00                  | 0.00                    | 0.00                    | -59,941.20             | 602,791.20            |
| 13077DQC9       | 30873        | 104  | Fair Value       | 11/01/2024    | 0.00                     | 2,000,000.00          | 0.00                  | 0.00                    | 0.00                    | -130,220.00            | 1,869,780.00          |
| 13077DQD7       | 30874        | 104  | Fair Value       | 11/01/2025    | 0.00                     | 2,500,000.00          | 0.00                  | 0.00                    | 0.00                    | -211,050.00            | 2,288,950.00          |
| 89114WE50       | 30876        | 104  | Fair Value       | 08/30/2022    | 0.00                     | 15,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -43,350.00             | 14,956,650.00         |
| 89236THF5       | 30877        | 104  | Fair Value       | 08/14/2023    | 0.00                     | 10,029,900.00         | 0.00                  | 0.00                    | 0.00                    | -333,200.00            | 9,696,700.00          |
| 3133EM2E1       | 30878        | 104  | Fair Value       | 08/10/2023    | 0.00                     | 14,978,220.00         | 0.00                  | 0.00                    | 0.00                    | -426,570.00            | 14,551,650.00         |
| 630362EP2       | 30879        | 104  | Fair Value       | 08/01/2024    | 0.00                     | 851,666.00            | 0.00                  | 0.00                    | 0.00                    | -45,101.00             | 806,565.00            |
| 630362EQ0       | 30880        | 104  | Fair Value       | 08/01/2025    | 0.00                     | 927,294.00            | 0.00                  | 0.00                    | 0.00                    | -70,041.00             | 857,253.00            |
| 630362ER8       | 30881        | 104  | Fair Value       | 08/01/2026    | 0.00                     | 767,723.40            | 0.00                  | 0.00                    | 0.00                    | -75,046.50             | 692,676.90            |
| 83369X5C8       | 30882        | 104  | Fair Value       | 02/01/2023    | 0.00                     | 25,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -290,750.00            | 24,709,250.00         |
| 130658RB5       | 30883        | 104  | Fair Value       | 06/01/2024    | 0.00                     | 500,685.00            | 0.00                  | 0.00                    | 0.00                    | -27,355.00             | 473,330.00            |
| 130658RD1       | 30884        | 104  | Fair Value       | 06/01/2025    | 0.00                     | 751,492.50            | 0.00                  | 0.00                    | 0.00                    | -57,247.50             | 694,245.00            |
| 130658RF6       | 30885        | 104  | Fair Value       | 06/01/2026    | 0.00                     | 280,568.40            | 0.00                  | 0.00                    | 0.00                    | -26,443.20             | 254,125.20            |
| 3133834G3       | 30886        | 104  | Fair Value       | 06/09/2023    | 0.00                     | 10,342,080.00         | 0.00                  | 0.00                    | 0.00                    | -425,180.00            | 9,916,900.00          |
| 3133EMS37       | 30887        | 104  | Fair Value       | 07/14/2023    | 0.00                     | 14,977,950.00         | 0.00                  | 0.00                    | 0.00                    | -404,100.00            | 14,573,850.00         |
| 45950VQC3       | 30888        | 104  | Fair Value       | 09/25/2023    | 0.00                     | 20,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -651,400.00            | 19,348,600.00         |
| 3133ECZP2       | 30889        | 104  | Fair Value       | 09/12/2023    | 0.00                     | 1,014,980.00          | 0.00                  | 0.00                    | 0.00                    | -57,932.50             | 957,932.50            |
| 3133EMV25       | 30890        | 104  | Fair Value       | 07/23/2024    | 0.00                     | 10,517,944.20         | 0.00                  | 0.00                    | 0.00                    | -538,893.75            | 9,979,050.45          |

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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 798189RW8       | 30891        | 104  | Fair Value       | 09/01/2024    | 0.00                     | 754,305.00            | 0.00                  | 0.00                    | 0.00                    | -44,638.50             | 709,666.50            |
| 65558UFV9       | 30892        | 104  | Fair Value       | 02/14/2023    | 0.00                     | 25,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -316,500.00            | 24,683,500.00         |
| 45950VQD1       | 30895        | 104  | Fair Value       | 09/03/2024    | 0.00                     | 19,992,920.00         | 0.00                  | 0.00                    | 0.00                    | -1,147,920.00          | 18,845,000.00         |
| 361582AD1       | 30896        | 104  | Fair Value       | 07/15/2023    | 0.00                     | 1,988,943.84          | 0.00                  | 0.00                    | 0.00                    | -168,598.14            | 1,820,345.70          |
| 56781RKR7       | 30897        | 104  | Fair Value       | 08/01/2023    | 0.00                     | 1,575,000.00          | 0.00                  | 0.00                    | 0.00                    | -46,147.50             | 1,528,852.50          |
| 56781RKS5       | 30898        | 104  | Fair Value       | 08/01/2024    | 0.00                     | 1,000,000.00          | 0.00                  | 0.00                    | 0.00                    | -57,200.00             | 942,800.00            |
| 56781RKU0       | 30899        | 104  | Fair Value       | 08/01/2026    | 0.00                     | 1,000,000.00          | 0.00                  | 0.00                    | 0.00                    | -98,400.00             | 901,600.00            |
| 21684XTH9       | 30900        | 104  | Fair Value       | 03/14/2023    | 0.00                     | 20,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -297,800.00            | 19,702,200.00         |
| 130658RC3       | 30901        | 104  | Fair Value       | 12/01/2024    | 0.00                     | 1,001,260.00          | 0.00                  | 0.00                    | 0.00                    | -67,970.00             | 933,290.00            |
| 45950VQH2       | 30902        | 104  | Fair Value       | 09/27/2023    | 0.00                     | 9,996,000.00          | 0.00                  | 0.00                    | 0.00                    | -318,000.00            | 9,678,000.00          |
| 9523472G6       | 30903        | 104  | Fair Value       | 08/01/2026    | 0.00                     | 1,049,310.00          | 0.00                  | 0.00                    | 0.00                    | -109,690.00            | 939,620.00            |
| 13063DRD2       | 30906        | 104  | Fair Value       | 10/01/2026    | 0.00                     | 11,744,480.00         | 0.00                  | 0.00                    | 0.00                    | -1,224,850.00          | 10,519,630.00         |
| 702282QD9       | 30907        | 104  | Fair Value       | 05/01/2026    | 0.00                     | 1,776,687.00          | 0.00                  | 0.00                    | 0.00                    | -176,290.00            | 1,600,397.00          |
| 22972PCJ9       | 30908        | 104  | Fair Value       | 09/01/2025    | 0.00                     | 3,300,511.70          | 0.00                  | 0.00                    | 0.00                    | -289,561.80            | 3,010,949.90          |
| 916544EU9       | 30909        | 104  | Fair Value       | 08/01/2025    | 0.00                     | 2,008,500.00          | 0.00                  | 0.00                    | 0.00                    | -156,180.00            | 1,852,320.00          |
| 21969AAD4       | 30910        | 104  | Fair Value       | 05/01/2025    | 0.00                     | 1,101,562.00          | 0.00                  | 0.00                    | 0.00                    | -79,024.00             | 1,022,538.00          |
| 912828ZY9       | 30911        | 104  | Fair Value       | 07/15/2023    | 0.00                     | 9,964,062.50          | 0.00                  | 0.00                    | 0.00                    | -251,962.50            | 9,712,100.00          |
| 76913DFW2       | 30912        | 104  | Fair Value       | 11/01/2025    | 0.00                     | 1,248,950.00          | 0.00                  | 0.00                    | 0.00                    | -97,825.00             | 1,151,125.00          |
| 735000TN1       | 30913        | 104  | Fair Value       | 05/01/2026    | 0.00                     | 2,572,910.55          | 0.00                  | 0.00                    | 0.00                    | -222,080.60            | 2,350,829.95          |
| 912828ZY9       | 30914        | 104  | Fair Value       | 07/15/2023    | 0.00                     | 14,939,648.44         | 0.00                  | 0.00                    | 0.00                    | -371,498.44            | 14,568,150.00         |
| 91282CCN9       | 30915        | 104  | Fair Value       | 07/31/2023    | 0.00                     | 24,870,117.19         | 0.00                  | 0.00                    | 0.00                    | -620,117.19            | 24,250,000.00         |
| 612193AB8       | 30916        | 104  | Fair Value       | 06/01/2024    | 0.00                     | 915,466.65            | 0.00                  | 0.00                    | 0.00                    | -42,355.35             | 873,111.30            |
| 612193AC6       | 30917        | 104  | Fair Value       | 06/01/2025    | 0.00                     | 1,000,690.00          | 0.00                  | 0.00                    | 0.00                    | -64,340.00             | 936,350.00            |
| 612193AD4       | 30918        | 104  | Fair Value       | 06/01/2026    | 0.00                     | 1,000,880.00          | 0.00                  | 0.00                    | 0.00                    | -79,100.00             | 921,780.00            |
| 797683HH5       | 30919        | 104  | Fair Value       | 06/15/2025    | 0.00                     | 997,010.00            | 0.00                  | 0.00                    | 0.00                    | -69,640.00             | 927,370.00            |
| 4581X0CF3       | 30920        | 104  | Fair Value       | 02/21/2024    | 0.00                     | 1,920,934.60          | 0.00                  | 0.00                    | 0.00                    | -100,993.46            | 1,819,941.14          |
| 13032UXM5       | 30921        | 104  | Fair Value       | 06/01/2025    | 0.00                     | 1,987,260.00          | 0.00                  | 0.00                    | 0.00                    | -134,280.00            | 1,852,980.00          |
| 76913DFX0       | 30922        | 104  | Fair Value       | 11/01/2026    | 0.00                     | 1,005,000.00          | 0.00                  | 0.00                    | 0.00                    | -102,310.00            | 902,690.00            |
| 91282CBE0       | 30925        | 104  | Fair Value       | 01/15/2024    | 0.00                     | 14,859,375.00         | 0.00                  | 0.00                    | 0.00                    | -500,925.00            | 14,358,450.00         |
| 9128285Z9       | 30926        | 104  | Fair Value       | 01/31/2024    | 0.00                     | 15,652,734.38         | 0.00                  | 0.00                    | 0.00                    | -765,234.38            | 14,887,500.00         |
| 91282CBM2       | 30927        | 104  | Fair Value       | 02/15/2024    | 0.00                     | 14,850,000.00         | 0.00                  | 0.00                    | 0.00                    | -518,550.00            | 14,331,450.00         |
| 91282CBR1       | 30928        | 104  | Fair Value       | 03/15/2024    | 0.00                     | 14,892,187.50         | 0.00                  | 0.00                    | 0.00                    | -570,037.50            | 14,322,150.00         |
| 801495D33       | 30929        | 104  | Fair Value       | 07/01/2024    | 0.00                     | 2,444,970.00          | 0.00                  | 0.00                    | 0.00                    | -172,854.00            | 2,272,116.00          |
| 13063DYW2       | 30930        | 104  | Fair Value       | 11/01/2025    | 0.00                     | 1,076,420.00          | 0.00                  | 0.00                    | 0.00                    | -88,280.00             | 988,140.00            |
| 801495D41       | 30931        | 104  | Fair Value       | 07/01/2025    | 0.00                     | 3,839,569.00          | 0.00                  | 0.00                    | 0.00                    | -323,174.50            | 3,516,394.50          |
| 13063DK23       | 30932        | 104  | Fair Value       | 10/01/2025    | 0.00                     | 4,732,723.50          | 0.00                  | 0.00                    | 0.00                    | -348,982.50            | 4,383,741.00          |
| 45906M2S9       | 30933        | 104  | Fair Value       | 11/17/2026    | 0.00                     | 9,977,000.00          | 0.00                  | 0.00                    | 0.00                    | -763,100.00            | 9,213,900.00          |

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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 3133ENEY2       | 30935        | 104  | Fair Value       | 07/24/2023    | 0.00                     | 19,998,180.00         | 0.00                  | 0.00                    | 0.00                    | -452,980.00            | 19,545,200.00         |
| 45950VQQ2       | 30938        | 104  | Fair Value       | 03/06/2024    | 0.00                     | 14,998,350.00         | 0.00                  | 0.00                    | 0.00                    | -537,450.00            | 14,460,900.00         |
| 21684LCJ9       | 30939        | 104  | Fair Value       | 07/10/2023    | 0.00                     | 20,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -441,400.00            | 19,558,600.00         |
| 786089JF0       | 30940        | 104  | Fair Value       | 09/01/2026    | 0.00                     | 1,789,392.50          | 0.00                  | 0.00                    | 0.00                    | -142,590.00            | 1,646,802.50          |
| 459058KB8       | 30941        | 104  | Fair Value       | 11/06/2026    | 0.00                     | 14,985,000.00         | 0.00                  | 0.00                    | 0.00                    | -1,120,500.00          | 13,864,500.00         |
| 3133ENDR8       | 30942        | 104  | Fair Value       | 11/09/2023    | 0.00                     | 671,112.00            | 0.00                  | 0.00                    | 0.00                    | -18,306.00             | 652,806.00            |
| 3133ENAL4       | 30943        | 104  | Fair Value       | 10/12/2023    | 0.00                     | 4,967,100.00          | 0.00                  | 0.00                    | 0.00                    | -135,400.00            | 4,831,700.00          |
| 3130A8ZQ9       | 30944        | 104  | Fair Value       | 09/12/2025    | 0.00                     | 9,025,862.56          | 0.00                  | 0.00                    | 0.00                    | -538,023.66            | 8,487,838.90          |
| 3135G06G3       | 30945        | 104  | Fair Value       | 11/07/2025    | 0.00                     | 1,459,162.50          | 0.00                  | 0.00                    | 0.00                    | -82,012.50             | 1,377,150.00          |
| 17275RAW2       | 30946        | 104  | Fair Value       | 06/15/2025    | 0.00                     | 9,687,780.00          | 0.00                  | 0.00                    | 0.00                    | -656,730.00            | 9,031,050.00          |
| 742718FL8       | 30947        | 104  | Fair Value       | 10/29/2025    | 0.00                     | 9,740,021.13          | 0.00                  | 0.00                    | 0.00                    | -541,662.45            | 9,198,358.68          |
| 912828XT2       | 30948        | 104  | Fair Value       | 05/31/2024    | 0.00                     | 10,282,812.50         | 0.00                  | 0.00                    | 0.00                    | -463,712.50            | 9,819,100.00          |
| 3135G0X24       | 30949        | 104  | Fair Value       | 01/07/2025    | 0.00                     | 11,035,740.05         | 0.00                  | 0.00                    | 0.00                    | -573,572.40            | 10,462,167.65         |
| 742718EP0       | 30950        | 104  | Fair Value       | 02/02/2026    | 0.00                     | 4,582,663.20          | 0.00                  | 0.00                    | 0.00                    | -322,486.92            | 4,260,176.28          |
| 91282CCL3       | 30951        | 104  | Fair Value       | 07/15/2024    | 0.00                     | 24,658,203.13         | 0.00                  | 0.00                    | 0.00                    | -945,203.13            | 23,713,000.00         |
| 91282CCC3       | 30952        | 104  | Fair Value       | 05/15/2024    | 0.00                     | 24,662,109.38         | 0.00                  | 0.00                    | 0.00                    | -901,359.38            | 23,760,750.00         |
| 91282CCG4       | 30953        | 104  | Fair Value       | 06/15/2024    | 0.00                     | 24,625,000.00         | 0.00                  | 0.00                    | 0.00                    | -918,000.00            | 23,707,000.00         |
| 3133EMS37       | 30954        | 104  | Fair Value       | 07/14/2023    | 0.00                     | 6,593,317.62          | 0.00                  | 0.00                    | 0.00                    | -140,988.43            | 6,452,329.19          |
| 9128282N9       | 30955        | 104  | Fair Value       | 07/31/2024    | 0.00                     | 25,794,921.88         | 0.00                  | 0.00                    | 0.00                    | -1,232,421.88          | 24,562,500.00         |
| 446222UR0       | 30956        | 104  | Fair Value       | 08/01/2025    | 0.00                     | 1,392,939.00          | 0.00                  | 0.00                    | 0.00                    | -95,696.70             | 1,297,242.30          |
| 796720NR7       | 30957        | 104  | Fair Value       | 08/01/2025    | 0.00                     | 1,454,773.20          | 0.00                  | 0.00                    | 0.00                    | -92,432.60             | 1,362,340.60          |
| 797508HE1       | 30958        | 104  | Fair Value       | 08/01/2025    | 0.00                     | 1,201,850.70          | 0.00                  | 0.00                    | 0.00                    | -70,649.70             | 1,131,201.00          |
| 05252WTE3       | 30961        | 104  | Fair Value       | 07/03/2023    | 0.00                     | 25,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -595,500.00            | 24,404,500.00         |
| 797356DF6       | 30962        | 104  | Fair Value       | 07/01/2026    | 0.00                     | 490,925.00            | 0.00                  | 0.00                    | 0.00                    | -33,110.00             | 457,815.00            |
| 65558ULS9       | 30963        | 104  | Fair Value       | 07/05/2023    | 0.00                     | 20,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -466,400.00            | 19,533,600.00         |
| 3133ENAL4       | 30964        | 104  | Fair Value       | 10/12/2023    | 0.00                     | 4,952,780.00          | 0.00                  | 0.00                    | 0.00                    | -121,080.00            | 4,831,700.00          |
| 797669ZL5       | 30965        | 104  | Fair Value       | 07/01/2026    | 0.00                     | 1,027,970.00          | 0.00                  | 0.00                    | 0.00                    | -64,560.00             | 963,410.00            |
| 02079KAB3       | 30966        | 104  | Fair Value       | 02/25/2024    | 0.00                     | 4,546,734.99          | 0.00                  | 0.00                    | 0.00                    | -161,357.00            | 4,385,377.99          |
| 02079KAH0       | 30967        | 104  | Fair Value       | 08/15/2025    | 0.00                     | 4,804,550.00          | 0.00                  | 0.00                    | 0.00                    | -209,100.00            | 4,595,450.00          |
| 801686TF3       | 30970        | 104  | Fair Value       | 08/01/2026    | 0.00                     | 1,200,175.00          | 0.00                  | 0.00                    | 0.00                    | -58,600.00             | 1,141,575.00          |
| 4581X0DZ8       | 30971        | 104  | Fair Value       | 09/23/2024    | 0.00                     | 9,738,700.00          | 0.00                  | 0.00                    | 0.00                    | -288,500.00            | 9,450,200.00          |
| 76913CAY5       | 30972        | 104  | Fair Value       | 02/15/2024    | 0.00                     | 5,503,248.00          | 0.00                  | 0.00                    | 0.00                    | -193,698.00            | 5,309,550.00          |
| 45818WDQ6       | 30973        | 104  | Fair Value       | 02/10/2025    | 0.00                     | 9,994,390.00          | 0.00                  | 0.00                    | 0.00                    | -304,190.00            | 9,690,200.00          |
| 874857KM6       | 30974        | 104  | Fair Value       | 08/01/2026    | 0.00                     | 3,365,716.40          | 0.00                  | 0.00                    | 0.00                    | -196,112.40            | 3,169,604.00          |
| 544587Y51       | 30975        | 104  | Fair Value       | 11/01/2025    | 0.00                     | 1,413,681.05          | 0.00                  | 0.00                    | 0.00                    | -59,918.50             | 1,353,762.55          |
| 835569GT5       | 30976        | 104  | Fair Value       | 08/01/2026    | 0.00                     | 151,749.00            | 0.00                  | 0.00                    | 0.00                    | -6,961.50              | 144,787.50            |
| 752147HJ0       | 30977        | 104  | Fair Value       | 09/01/2025    | 0.00                     | 860,193.00            | 0.00                  | 0.00                    | 0.00                    | -36,306.00             | 823,887.00            |

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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 738855K46       | 30978        | 104  | Fair Value       | 09/01/2026    | 0.00                     | 397,860.50            | 0.00                  | 0.00                    | 0.00                    | -21,924.45             | 375,936.05            |
| 13077DND0       | 30981        | 104  | Fair Value       | 11/01/2025    | 0.00                     | 1,438,605.00          | 0.00                  | 0.00                    | 0.00                    | -64,170.00             | 1,374,435.00          |
| 899141BA2       | 30982        | 104  | Fair Value       | 05/01/2026    | 0.00                     | 505,970.00            | 0.00                  | 0.00                    | 0.00                    | -22,555.00             | 483,415.00            |
| 91412GM51       | 30983        | 104  | Fair Value       | 05/15/2026    | 0.00                     | 655,513.50            | 0.00                  | 0.00                    | 0.00                    | -35,894.25             | 619,619.25            |
| 91412GT62       | 30984        | 104  | Fair Value       | 05/15/2026    | 0.00                     | 777,517.50            | 0.00                  | 0.00                    | 0.00                    | -40,192.50             | 737,325.00            |
| 91412HDN0       | 30985        | 104  | Fair Value       | 05/15/2026    | 0.00                     | 903,431.00            | 0.00                  | 0.00                    | 0.00                    | -51,527.00             | 851,904.00            |
| 63873QSZ8       | 30986        | 104  | Fair Value       | 10/05/2022    | 0.00                     | 10,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -36,000.00             | 9,964,000.00          |
| 3130AR3G4       | 30987        | 104  | Fair Value       | 03/10/2027    | 0.00                     | 5,265,000.00          | 0.00                  | 0.00                    | 0.00                    | -130,835.25            | 5,134,164.75          |
| 532457AM0       | 30988        | 104  | Fair Value       | 06/01/2025    | 0.00                     | 20,919,598.51         | 0.00                  | 0.00                    | 0.00                    | -1,103,583.88          | 19,816,014.63         |
| 3133ENSB7       | 30989        | 104  | Fair Value       | 06/17/2024    | 0.00                     | 9,995,100.00          | 0.00                  | 0.00                    | 0.00                    | -200,200.00            | 9,794,900.00          |
| 89114WSR7       | 30990        | 104  | Fair Value       | 01/27/2023    | 0.00                     | 15,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -128,700.00            | 14,871,300.00         |
| 3137EAER6       | 30991        | 104  | Fair Value       | 05/05/2023    | 0.00                     | 2,827,513.63          | 0.00                  | 0.00                    | 0.00                    | -13,664.88             | 2,813,848.75          |
| 313383BC4       | 30992        | 104  | Fair Value       | 05/30/2023    | 0.00                     | 8,021,040.00          | 0.00                  | 0.00                    | 0.00                    | -79,760.00             | 7,941,280.00          |
| 3135G05G4       | 30995        | 104  | Fair Value       | 07/10/2023    | 0.00                     | 3,856,929.46          | 0.00                  | 0.00                    | 0.00                    | -14,564.43             | 3,842,365.03          |
| 797686EM0       | 30996        | 104  | Fair Value       | 03/01/2025    | 0.00                     | 1,295,390.50          | 0.00                  | 0.00                    | 0.00                    | -11,287.75             | 1,284,102.75          |
| 13032UC22       | 30997        | 104  | Fair Value       | 06/01/2025    | 0.00                     | 2,500,000.00          | 0.00                  | 0.00                    | 0.00                    | -44,475.00             | 2,455,525.00          |
| 4581X0DK1       | 30998        | 104  | Fair Value       | 03/14/2025    | 0.00                     | 4,178,176.70          | 0.00                  | 0.00                    | 0.00                    | -28,848.70             | 4,149,328.00          |
| 797686EP3       | 30999        | 104  | Fair Value       | 03/01/2027    | 0.00                     | 1,172,899.00          | 0.00                  | 0.00                    | 0.00                    | -18,993.00             | 1,153,906.00          |
| 3133ENEY2       | 31000        | 104  | Fair Value       | 07/24/2023    | 0.00                     | 1,956,740.00          | 0.00                  | 0.00                    | 0.00                    | -2,220.00              | 1,954,520.00          |
| 4581X0DN5       | 31001        | 104  | Fair Value       | 07/15/2025    | 0.00                     | 17,107,742.53         | 0.00                  | 0.00                    | 0.00                    | -126,213.57            | 16,981,528.96         |
| 21684LCX8       | 31002        | 104  | Fair Value       | 07/28/2023    | 0.00                     | 15,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -61,950.00             | 14,938,050.00         |
| 91282CCN9       | 31003        | 104  | Fair Value       | 07/31/2023    | 0.00                     | 14,600,390.63         | 0.00                  | 0.00                    | 0.00                    | -50,390.63             | 14,550,000.00         |
| 14913R2S5       | 31004        | 104  | Fair Value       | 01/10/2024    | 0.00                     | 4,382,280.00          | 0.00                  | 0.00                    | 0.00                    | -36,540.00             | 4,345,740.00          |
| 91282CDV0       | 31005        | 104  | Fair Value       | 01/31/2024    | 0.00                     | 9,726,171.88          | 0.00                  | 0.00                    | 0.00                    | -49,571.88             | 9,676,600.00          |
| 91282CCL3       | 31006        | 104  | Fair Value       | 07/15/2024    | 0.00                     | 23,786,132.81         | 0.00                  | 0.00                    | 0.00                    | -73,132.81             | 23,713,000.00         |
| 912828D56       | 31007        | 104  | Fair Value       | 08/15/2024    | 0.00                     | 24,878,906.25         | 0.00                  | 0.00                    | 0.00                    | -209,906.25            | 24,669,000.00         |
| 06406RAL1       | 31008        | 104  | Fair Value       | 10/24/2024    | 0.00                     | 4,926,350.00          | 0.00                  | 0.00                    | 0.00                    | -88,100.00             | 4,838,250.00          |
| 4581X0DN5       | 31009        | 104  | Fair Value       | 07/15/2025    | 0.00                     | 934,295.00            | 0.00                  | 0.00                    | 0.00                    | -8,215.00              | 926,080.00            |
| 91282CCX7       | 31010        | 104  | Fair Value       | 09/15/2024    | 0.00                     | 18,960,156.25         | 0.00                  | 0.00                    | 0.00                    | -83,556.25             | 18,876,600.00         |
| 3133ECSF2       | 31011        | 104  | Fair Value       | 06/17/2024    | 0.00                     | 2,014,764.00          | 0.00                  | 0.00                    | 0.00                    | -17,504.00             | 1,997,260.00          |
| 91282CDB4       | 31012        | 104  | Fair Value       | 10/15/2024    | 0.00                     | 19,006,250.00         | 0.00                  | 0.00                    | 0.00                    | -57,050.00             | 18,949,200.00         |
| 835569GT5       | 31013        | 104  | Fair Value       | 08/01/2026    | 0.00                     | 1,047,028.50          | 0.00                  | 0.00                    | 0.00                    | -9,384.75              | 1,037,643.75          |
| 91282CDH1       | 31014        | 104  | Fair Value       | 11/15/2024    | 0.00                     | 19,021,875.00         | 0.00                  | 0.00                    | 0.00                    | -57,875.00             | 18,964,000.00         |
| 735000TN1       | 31015        | 104  | Fair Value       | 05/01/2026    | 0.00                     | 1,356,544.40          | 0.00                  | 0.00                    | 0.00                    | -13,213.00             | 1,343,331.40          |
| 912828Y87       | 31016        | 104  | Fair Value       | 07/31/2024    | 0.00                     | 24,472,656.25         | 0.00                  | 0.00                    | 0.00                    | -99,656.25             | 24,373,000.00         |
| 91282CDS7       | 31017        | 104  | Fair Value       | 01/15/2025    | 0.00                     | 19,074,218.75         | 0.00                  | 0.00                    | 0.00                    | -818.75                | 19,073,400.00         |
| 13032UC22       | 31018        | 104  | Fair Value       | 06/01/2025    | 0.00                     | 1,197,589.25          | 0.00                  | 0.00                    | 0.00                    | -14,026.20             | 1,183,563.05          |

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| CUSIP           | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-----------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|                 |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: Main Pool |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| 798135E96       | 31019        | 104  | Fair Value       | 09/01/2026    | 0.00                     | 8,965,801.00          | 0.00                  | 0.00                    | 0.00                    | 8,228.05               | 8,974,029.05          |
| 912828Z52       | 31020        | 104  | Fair Value       | 01/31/2025    | 0.00                     | 19,201,562.50         | 0.00                  | 0.00                    | 0.00                    | -19,562.50             | 19,182,000.00         |
| 857477AT0       | 31021        | 104  | Fair Value       | 08/18/2025    | 0.00                     | 7,319,805.52          | 0.00                  | 0.00                    | 0.00                    | -102,975.16            | 7,216,830.36          |
| 17275RAW2       | 31022        | 104  | Fair Value       | 06/15/2025    | 0.00                     | 1,013,990.00          | 0.00                  | 0.00                    | 0.00                    | -10,540.00             | 1,003,450.00          |
| 89114VW76       | 31023        | 104  | Fair Value       | 06/02/2023    | 0.00                     | 12,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -95,880.00             | 11,904,120.00         |
| 3130A1XJ2       | 31026        | 104  | Fair Value       | 06/14/2024    | 0.00                     | 10,037,950.00         | 0.00                  | 0.00                    | 0.00                    | -73,850.00             | 9,964,100.00          |
| 45950KCT5       | 31027        | 104  | Fair Value       | 07/16/2025    | 0.00                     | 6,469,260.00          | 0.00                  | 0.00                    | 0.00                    | -14,770.00             | 6,454,490.00          |
| 91412HGF4       | 31028        | 104  | Fair Value       | 05/15/2027    | 0.00                     | 3,442,579.70          | 0.00                  | 0.00                    | 0.00                    | -21,325.85             | 3,421,253.85          |
| 544647FC9       | 31029        | 104  | Fair Value       | 07/01/2026    | 0.00                     | 2,299,975.00          | 0.00                  | 0.00                    | 0.00                    | -9,400.00              | 2,290,575.00          |
| 89114WY82       | 31032        | 104  | Fair Value       | 07/03/2023    | 0.00                     | 10,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -80,400.00             | 9,919,600.00          |
| 3130A1XJ2       | 31033        | 104  | Fair Value       | 06/14/2024    | 0.00                     | 8,522,429.30          | 0.00                  | 0.00                    | 0.00                    | -82,836.60             | 8,439,592.70          |
| 3133ENYH7       | 31034        | 104  | Fair Value       | 06/10/2024    | 0.00                     | 9,988,200.00          | 0.00                  | 0.00                    | 0.00                    | -66,300.00             | 9,921,900.00          |
| 06367CVV6       | 31035        | 104  | Fair Value       | 06/26/2023    | 0.00                     | 18,000,000.00         | 0.00                  | 0.00                    | 0.00                    | -5,940.00              | 17,994,060.00         |
| 3133ENYX2       | 31036        | 104  | Fair Value       | 06/17/2024    | 0.00                     | 10,004,700.00         | 0.00                  | 0.00                    | 0.00                    | 36,100.00              | 10,040,800.00         |
| 459058GQ0       | 31037        | 104  | Fair Value       | 03/19/2024    | 0.00                     | 9,887,400.00          | 0.00                  | 0.00                    | 0.00                    | 26,100.00              | 9,913,500.00          |
| SYS31038        | 31038        | 104  | Amortized        |               | 0.00                     | 54,000,000.00         | 0.00                  | 0.00                    | 0.00                    | 0.00                   | 54,000,000.00         |
| 45950VRF5       | 31039        | 104  | Fair Value       | 06/24/2024    | 0.00                     | 7,994,800.00          | 0.00                  | 0.00                    | 0.00                    | 7,760.00               | 8,002,560.00          |
| 87612EBD7       | 31040        | 104  | Fair Value       | 07/01/2024    | 0.00                     | 9,977,561.50          | 0.00                  | 0.00                    | 0.00                    | 1,393.00               | 9,978,954.50          |
| Subtotal        |              |      |                  |               | 3,090,703,308.10         | 1,256,196,841.61      | 1,998,382,553.14      | 3,051,048,606.25        | 0.00                    | -126,334,694.50        | 3,167,899,402.10      |
| Fund: SCEIP     |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| SYS28288        | 28288        | 300  | Fair Value       | 09/02/2029    | 55,905.67 *              | 0.00                  | 0.00                  | 4,001.89                | 0.00                    | -1,008.79 *            | 50,894.99             |
| SYS28313        | 28313        | 300  | Fair Value       | 09/02/2029    | 29,644.28 *              | 0.00                  | 55.80                 | 0.00                    | 0.00                    | -2,712.79 *            | 26,987.29             |
| SYS28352        | 28352        | 300  | Fair Value       | 09/02/2029    | 164,373.65 *             | 0.00                  | 0.00                  | 31,220.39               | 0.00                    | -4,680.11 *            | 128,473.15            |
| SYS28387        | 28387        | 300  | Fair Value       | 09/02/2029    | 1,621,548.75 *           | 0.00                  | 0.00                  | 153,793.20              | 0.00                    | -6,646.52 *            | 1,461,109.03          |
| SYS28427        | 28427        | 300  | Fair Value       | 09/02/2029    | 419,314.51 *             | 0.00                  | 0.00                  | 111,465.08              | 0.00                    | -7,000.77 *            | 300,848.66            |
| SYS28477        | 28477        | 300  | Fair Value       | 09/02/2030    | 183,166.16 *             | 0.00                  | 0.00                  | 35,902.65               | 0.00                    | -9,002.64 *            | 138,260.87            |
| SYS28551        | 28551        | 300  | Fair Value       | 09/02/2030    | 732,331.00 *             | 0.00                  | 0.00                  | 160,345.52              | 0.00                    | -7,779.90 *            | 564,205.58            |
| SYS28577        | 28577        | 300  | Fair Value       | 09/02/2030    | 632,671.53 *             | 0.00                  | 0.00                  | 106,180.29              | 0.00                    | -12,543.95 *           | 513,947.29            |
| SYS28595        | 28595        | 300  | Fair Value       | 09/02/2030    | 590,946.58 *             | 0.00                  | 0.00                  | 55,698.92               | 0.00                    | -9,422.76 *            | 525,824.90            |
| SYS28620        | 28620        | 300  | Fair Value       | 09/02/2030    | 376,076.04 *             | 0.00                  | 0.00                  | 139,324.90              | 0.00                    | -8,619.60 *            | 228,131.54            |
| SYS28680        | 28680        | 300  | Fair Value       | 09/02/2030    | 359,476.76 *             | 0.00                  | 0.00                  | 38,256.05               | 0.00                    | -11,566.85 *           | 309,653.86            |
| SYS28707        | 28707        | 300  | Fair Value       | 09/02/2030    | 282,353.50 *             | 0.00                  | 0.00                  | 118,113.18              | 0.00                    | -7,772.29 *            | 156,468.03            |
| SYS28739        | 28739        | 300  | Fair Value       | 09/02/2030    | 548,649.46 *             | 0.00                  | 0.00                  | 132,259.65              | 0.00                    | -7,762.89 *            | 408,626.92            |
| SYS28764        | 28764        | 300  | Fair Value       | 09/02/2030    | 301,703.58 *             | 0.00                  | 0.00                  | 33,684.65               | 0.00                    | -9,460.37 *            | 258,558.56            |
| SYS28770        | 28770        | 300  | Fair Value       | 09/02/2030    | 204,960.26 *             | 0.00                  | 0.00                  | 71,523.83               | 0.00                    | -11,547.98 *           | 121,888.45            |
| SYS28798        | 28798        | 300  | Fair Value       | 09/02/2030    | 231,832.93 *             | 0.00                  | 0.00                  | 58,260.35               | 0.00                    | -7,552.08 *            | 166,020.50            |

\* - Adjustment and Market Value cannot be calculated due to missing or outdated Market price data.

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| CUSIP       | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|             |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: SCEIP |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| SYS28822    | 28822        | 300  | Fair Value       | 09/02/2031    | 197,217.22 *             | 0.00                  | 0.00                  | 22,891.92               | 0.00                    | -9,320.18 *            | 165,005.12            |
| SYS28823    | 28823        | 300  | Fair Value       | 09/02/2021    | 1,109.50                 | 0.00                  | 0.00                  | 1,109.50                | 0.00                    | 0.00                   | 0.00                  |
| SYS28843    | 28843        | 300  | Fair Value       | 09/02/2031    | 182,608.95 *             | 0.00                  | 0.00                  | 26,724.80               | 0.00                    | -7,896.79 *            | 147,987.36            |
| SYS28855    | 28855        | 300  | Fair Value       | 09/02/2021    | 6,702.86                 | 0.00                  | 0.00                  | 6,702.86                | 0.00                    | 0.00                   | 0.00                  |
| SYS28856    | 28856        | 300  | Fair Value       | 09/02/2031    | 340,043.98 *             | 0.00                  | 0.00                  | 60,681.40               | 0.00                    | -8,095.69 *            | 271,266.89            |
| SYS28880    | 28880        | 300  | Fair Value       | 09/02/2021    | 777.46                   | 0.00                  | 0.00                  | 777.46                  | 0.00                    | 0.00                   | 0.00                  |
| SYS28881    | 28881        | 300  | Fair Value       | 09/02/2031    | 229,330.26 *             | 0.00                  | 0.00                  | 47,376.59               | 0.00                    | -7,607.85 *            | 174,345.82            |
| SYS28901    | 28901        | 300  | Fair Value       | 09/02/2031    | 368,357.19 *             | 0.00                  | 0.00                  | 27,037.79               | 0.00                    | -4,871.19 *            | 336,448.21            |
| SYS28909    | 28909        | 300  | Fair Value       | 09/02/2021    | 3,117.45                 | 0.00                  | 0.00                  | 3,117.45                | 0.00                    | 0.00                   | 0.00                  |
| SYS28910    | 28910        | 300  | Fair Value       | 09/02/2031    | 154,081.28 *             | 0.00                  | 0.00                  | 32,114.65               | 0.00                    | -4,934.06 *            | 117,032.57            |
| SYS28928    | 28928        | 300  | Fair Value       | 09/02/2021    | 18,553.10                | 0.00                  | 0.00                  | 18,553.10               | 0.00                    | 0.00                   | 0.00                  |
| SYS28929    | 28929        | 300  | Fair Value       | 09/02/2031    | 174,633.78 *             | 0.00                  | 0.00                  | 2,899.14                | 0.00                    | -8,841.40 *            | 162,893.24            |
| SYS28948    | 28948        | 300  | Fair Value       | 09/02/2021    | 1,910.57                 | 0.00                  | 0.00                  | 1,910.57                | 0.00                    | 0.00                   | 0.00                  |
| SYS28949    | 28949        | 300  | Fair Value       | 09/02/2031    | 160,241.70 *             | 0.00                  | 0.00                  | 53,791.75               | 0.00                    | -3,741.11 *            | 102,708.84            |
| SYS28963    | 28963        | 300  | Fair Value       | 09/02/2031    | 177,454.84 *             | 0.00                  | 0.00                  | 8,708.59                | 0.00                    | -3,221.60 *            | 165,524.65            |
| SYS28964    | 28964        | 300  | Fair Value       | 09/02/2021    | 1,158.00                 | 0.00                  | 0.00                  | 1,158.00                | 0.00                    | 0.00                   | 0.00                  |
| SYS28975    | 28975        | 300  | Fair Value       | 09/02/2031    | 269,730.14 *             | 0.00                  | 0.00                  | 45,599.78               | 0.00                    | -6,398.29 *            | 217,732.07            |
| SYS28976    | 28976        | 300  | Fair Value       | 09/02/2021    | 856.02                   | 0.00                  | 0.00                  | 856.02                  | 0.00                    | 0.00                   | 0.00                  |
| SYS28995    | 28995        | 300  | Fair Value       | 09/02/2021    | 3,180.39                 | 0.00                  | 0.00                  | 3,180.39                | 0.00                    | 0.00                   | 0.00                  |
| SYS29026    | 29026        | 300  | Fair Value       | 09/02/2022    | 963.47 *                 | 0.00                  | 217.56                | 0.00                    | 0.00                    | -848.82 *              | 332.21                |
| SYS29089    | 29089        | 300  | Fair Value       | 09/02/2022    | 1,029.01 *               | 0.00                  | 0.00                  | 521.79                  | 0.00                    | -152.31 *              | 354.91                |
| SYS29102    | 29102        | 300  | Fair Value       | 09/02/2022    | 2,041.39 *               | 0.00                  | 0.00                  | 1,270.90                | 0.00                    | -66.46 *               | 704.03                |
| SYS29120    | 29120        | 300  | Fair Value       | 09/02/2022    | 2,439.88 *               | 0.00                  | 0.00                  | 1,598.37                | 0.00                    | 0.00 *                 | 841.51                |
| SYS29136    | 29136        | 300  | Fair Value       | 09/02/2022    | 2,581.80 *               | 0.00                  | 0.00                  | 1,585.32                | 0.00                    | -106.13 *              | 890.35                |
| SYS29188    | 29188        | 300  | Fair Value       | 09/02/2022    | 0.04                     | 0.00                  | 0.00                  | 0.04                    | 0.00                    | 0.00                   | 0.00                  |
| SYS29220    | 29220        | 300  | Fair Value       | 09/02/2023    | 7,399.44 *               | 0.00                  | 0.00                  | 2,636.38                | 0.00                    | -171.63 *              | 4,591.43              |
| SYS29254    | 29254        | 300  | Fair Value       | 09/02/2023    | 3,313.29 *               | 0.00                  | 0.00                  | 1,257.37                | 0.00                    | 0.00 *                 | 2,055.92              |
| SYS29271    | 29271        | 300  | Fair Value       | 09/02/2023    | 7,892.36 *               | 0.00                  | 0.00                  | 2,659.12                | 0.00                    | -335.99 *              | 4,897.25              |
| SYS29300    | 29300        | 300  | Fair Value       | 09/02/2023    | 3,315.02 *               | 0.00                  | 0.00                  | 1,137.87                | 0.00                    | -120.13 *              | 2,057.02              |
| SYS29322    | 29322        | 300  | Fair Value       | 09/02/2023    | 6,854.06                 | 0.00                  | 0.00                  | 6,854.06                | 0.00                    | 0.00                   | 0.00                  |
| SYS29338    | 29338        | 300  | Fair Value       | 09/02/2023    | 8,385.40 *               | 0.00                  | 0.00                  | 3,166.53                | 0.00                    | -15.64 *               | 5,203.23              |
| SYS29340    | 29340        | 300  | Fair Value       | 09/02/2024    | 48,438.02 *              | 0.00                  | 0.00                  | 12,303.50               | 0.00                    | -367.32 *              | 35,767.20             |
| SYS29396    | 29396        | 300  | Fair Value       | 09/02/2024    | 7,872.02 *               | 0.00                  | 0.00                  | 1,921.08                | 0.00                    | -138.18 *              | 5,812.76              |
| SYS29415    | 29415        | 300  | Fair Value       | 09/02/2024    | 3,015.01 *               | 0.00                  | 0.00                  | 772.85                  | 0.00                    | -15.82 *               | 2,226.34              |
| SYS29432    | 29432        | 300  | Fair Value       | 09/02/2024    | 1,573.79 *               | 0.00                  | 0.00                  | 411.66                  | 0.00                    | 0.00 *                 | 1,162.13              |
| SYS29433    | 29433        | 300  | Fair Value       | 09/02/2034    | 66,087.88 *              | 0.00                  | 0.00                  | 30,832.25               | 0.00                    | -297.95 *              | 34,957.68             |
| SYS29437    | 29437        | 300  | Fair Value       | 09/02/2034    | 168,009.03 *             | 0.00                  | 0.00                  | 37,752.81               | 0.00                    | -1,303.06 *            | 128,953.16            |

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**Sonoma County Inv Pool Funds**  
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| CUSIP       | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|             |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: SCEIP |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| SYS29440    | 29440        | 300  | Fair Value       | 09/02/2024    | 8,712.12 *               | 0.00                  | 0.00                  | 1,221.24                | 0.00                    | -1,057.75 *            | 6,433.13              |
| SYS29441    | 29441        | 300  | Fair Value       | 09/02/2034    | 98,260.92 *              | 0.00                  | 0.00                  | 24,598.93               | 0.00                    | -1,415.76 *            | 72,246.23             |
| SYS29447    | 29447        | 300  | Fair Value       | 09/02/2024    | 2,630.51 *               | 0.00                  | 0.00                  | 579.05                  | 0.00                    | -109.05 *              | 1,942.41              |
| SYS29448    | 29448        | 300  | Fair Value       | 09/02/2034    | 35,370.29 *              | 0.00                  | 0.00                  | 246.12                  | 0.00                    | -1,398.77 *            | 33,725.40             |
| SYS29458    | 29458        | 300  | Fair Value       | 09/02/2025    | 2,845.88 *               | 0.00                  | 0.00                  | 558.53                  | 0.00                    | 0.00 *                 | 2,287.35              |
| SYS29459    | 29459        | 300  | Fair Value       | 09/02/2035    | 51,238.63 *              | 0.00                  | 0.00                  | 929.15                  | 0.00                    | -1,202.71 *            | 49,106.77             |
| SYS29467    | 29467        | 300  | Fair Value       | 09/02/2035    | 66,761.49 *              | 0.00                  | 0.00                  | 32,931.36               | 0.00                    | -2,324.28 *            | 31,505.85             |
| SYS29483    | 29483        | 300  | Fair Value       | 09/02/2035    | 24,923.29 *              | 0.00                  | 0.00                  | 8,851.19                | 0.00                    | -1,135.15 *            | 14,936.95             |
| SYS29501    | 29501        | 300  | Fair Value       | 09/02/2025    | 4,482.06 *               | 0.00                  | 0.00                  | 667.53                  | 0.00                    | -212.16 *              | 3,602.37              |
| SYS29502    | 29502        | 300  | Fair Value       | 09/02/2035    | 72,172.55 *              | 0.00                  | 0.00                  | 2,195.90                | 0.00                    | -806.93 *              | 69,169.72             |
| SYS29511    | 29511        | 300  | Fair Value       | 09/02/2035    | 71,261.23 *              | 0.00                  | 0.00                  | 2,025.07                | 0.00                    | -939.86 *              | 68,296.30             |
| SYS29519    | 29519        | 300  | Fair Value       | 09/02/2025    | 2,160.69 *               | 0.00                  | 0.00                  | 345.77                  | 0.00                    | -78.30 *               | 1,736.62              |
| SYS29520    | 29520        | 300  | Fair Value       | 09/02/2035    | 88,110.56 *              | 0.00                  | 0.00                  | 2,319.56                | 0.00                    | -1,346.39 *            | 84,444.61             |
| SYS29531    | 29531        | 300  | Fair Value       | 09/02/2035    | 62,933.21 *              | 0.00                  | 0.00                  | 1,520.44                | 0.00                    | -1,097.99 *            | 60,314.78             |
| SYS29551    | 29551        | 300  | Fair Value       | 09/02/2035    | 45,512.62 *              | 0.00                  | 0.00                  | 27,956.30               | 0.00                    | -771.42 *              | 16,784.90             |
| SYS29563    | 29563        | 300  | Fair Value       | 09/02/2035    | 31,973.77 *              | 0.00                  | 0.00                  | 860.20                  | 0.00                    | -470.12 *              | 30,643.45             |
| SYS29575    | 29575        | 300  | Fair Value       | 09/02/2025    | 5,756.64 *               | 0.00                  | 0.00                  | 1,057.09                | 0.00                    | -72.76 *               | 4,626.79              |
| SYS29579    | 29579        | 300  | Fair Value       | 09/02/2025    | 9,873.35 *               | 0.00                  | 0.00                  | 6,240.07                | 0.00                    | 0.00 *                 | 3,633.28              |
| SYS29587    | 29587        | 300  | Fair Value       | 09/02/2026    | 13,482.43 *              | 0.00                  | 0.00                  | 1,940.75                | 0.00                    | -146.97 *              | 11,394.71             |
| SYS29634    | 29634        | 300  | Fair Value       | 09/02/2026    | 24,375.77 *              | 0.00                  | 0.00                  | 3,481.40                | 0.00                    | -293.12 *              | 20,601.25             |
| SYS29674    | 29674        | 300  | Fair Value       | 09/02/2026    | 13,080.18                | 0.00                  | 0.00                  | 13,080.18               | 0.00                    | 0.00                   | 0.00                  |
| SYS29733    | 29733        | 300  | Fair Value       | 09/02/2026    | 1,784.09 *               | 0.00                  | 0.00                  | 276.27                  | 0.00                    | 0.00 *                 | 1,507.82              |
| SYS29740    | 29740        | 300  | Fair Value       | 09/02/2026    | 41,356.83 *              | 0.00                  | 0.00                  | 19,848.75               | 0.00                    | 0.00 *                 | 21,508.08             |
| SYS29752    | 29752        | 300  | Fair Value       | 09/02/2027    | 23,515.86 *              | 0.00                  | 0.00                  | 2,391.96                | 0.00                    | -577.98 *              | 20,545.92             |
| SYS29795    | 29795        | 300  | Fair Value       | 09/02/2027    | 43,473.32 *              | 0.00                  | 0.00                  | 5,315.58                | 0.00                    | -174.89 *              | 37,982.85             |
| SYS29827    | 29827        | 300  | Fair Value       | 09/02/2027    | 7,730.00 *               | 0.00                  | 0.00                  | 907.79                  | 0.00                    | -68.48 *               | 6,753.73              |
| SYS29845    | 29845        | 300  | Fair Value       | 09/02/2027    | 7,249.11                 | 0.00                  | 0.00                  | 7,249.11                | 0.00                    | 0.00                   | 0.00                  |
| SYS29874    | 29874        | 300  | Fair Value       | 09/02/2028    | 77,121.00 *              | 0.00                  | 0.00                  | 44,469.02               | 0.00                    | 0.00 *                 | 32,651.98             |
| SYS29904    | 29904        | 300  | Fair Value       | 09/02/2028    | 12,368.13 *              | 0.00                  | 0.00                  | 1,242.31                | 0.00                    | -62.10 *               | 11,063.72             |
| SYS29916    | 29916        | 300  | Fair Value       | 09/02/2028    | 41,220.28 *              | 0.00                  | 0.00                  | 4,283.71                | 0.00                    | -63.54 *               | 36,873.03             |
| SYS29948    | 29948        | 300  | Fair Value       | 09/02/2028    | 51,064.25 *              | 0.00                  | 0.00                  | 5,265.55                | 0.00                    | -119.90 *              | 45,678.80             |
| SYS29961    | 29961        | 300  | Fair Value       | 09/02/2029    | 100,557.84 *             | 0.00                  | 0.00                  | 7,951.53                | 0.00                    | -1,061.25 *            | 91,545.06             |
| SYS29966    | 29966        | 300  | Fair Value       | 09/02/2029    | 63,706.17 *              | 0.00                  | 0.00                  | 5,709.84                | 0.00                    | 0.00 *                 | 57,996.33             |
| SYS30011    | 30011        | 300  | Fair Value       | 09/02/2029    | 5,559.70 *               | 0.00                  | 0.00                  | 210.61                  | 0.00                    | -287.70 *              | 5,061.39              |
| SYS30034    | 30034        | 300  | Fair Value       | 09/02/2029    | 45,944.51 *              | 0.00                  | 0.00                  | 4,117.91                | 0.00                    | 0.00 *                 | 41,826.60             |
| SYS30063    | 30063        | 300  | Fair Value       | 09/02/2029    | 64,702.07 *              | 0.00                  | 0.00                  | 5,799.09                | 0.00                    | 0.00 *                 | 58,902.98             |
| SYS30093    | 30093        | 300  | Fair Value       | 09/02/2029    | 9,928.95 *               | 0.00                  | 0.00                  | 584.17                  | 0.00                    | -305.71 *              | 9,039.07              |

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**Sonoma County Inv Pool Funds**  
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| CUSIP       | Investment # | Fund | Investment Class | Maturity Date | Beginning Invested Value | Purchase of Principal | Addition to Principal | Redemption of Principal | Adjustment in Value     |                        | Ending Invested Value |
|-------------|--------------|------|------------------|---------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------------------|------------------------|-----------------------|
|             |              |      |                  |               |                          |                       |                       |                         | Amortization Adjustment | Change in Market Value |                       |
| Fund: SCEIP |              |      |                  |               |                          |                       |                       |                         |                         |                        |                       |
| SYS30109    | 30109        | 300  | Fair Value       | 09/02/2029    | 22,287.87 *              | 0.00                  | 0.00                  | 1,997.60                | 0.00                    | 0.00 *                 | 20,290.27             |
| SYS30123    | 30123        | 300  | Fair Value       | 09/02/2029    | 14,821.20                | 0.00                  | 0.00                  | 14,821.20               | 0.00                    | 0.00                   | 0.00                  |
| SYS30240    | 30240        | 300  | Fair Value       | 09/02/2030    | 15,657.75 *              | 0.00                  | 0.00                  | 818.10                  | 0.00                    | -390.80 *              | 14,448.85             |
| SYS30262    | 30262        | 300  | Fair Value       | 09/02/2030    | 12,436.59 *              | 0.00                  | 0.00                  | 819.34                  | 0.00                    | -140.86 *              | 11,476.39             |
| SYS30282    | 30282        | 300  | Fair Value       | 09/02/2030    | 23,781.92 *              | 0.00                  | 0.00                  | 1,836.15                | 0.00                    | 0.00 *                 | 21,945.77             |
| SYS30324    | 30324        | 300  | Fair Value       | 09/02/2030    | 25,355.29 *              | 0.00                  | 0.00                  | 1,834.51                | 0.00                    | -123.11 *              | 23,397.67             |
| SYS30428    | 30428        | 300  | Fair Value       | 09/02/2030    | 16,917.77 *              | 0.00                  | 0.00                  | 1,306.19                | 0.00                    | 0.00 *                 | 15,611.58             |
| SYS30514    | 30514        | 300  | Fair Value       | 09/02/2030    | 26,192.13                | 0.00                  | 0.00                  | 26,192.13               | 0.00                    | 0.00                   | 0.00                  |
| SYS30542    | 30542        | 300  | Fair Value       | 09/02/2030    | 6,270.14                 | 0.00                  | 0.00                  | 6,270.14                | 0.00                    | 0.00                   | 0.00                  |
| SYS30585    | 30585        | 300  | Fair Value       | 09/02/2031    | 76,042.40 *              | 0.00                  | 0.00                  | 2,831.41                | 0.00                    | 0.00 *                 | 73,210.99             |
| SYS30626    | 30626        | 300  | Fair Value       | 09/02/2031    | 58,019.74 *              | 0.00                  | 0.00                  | 2,160.35                | 0.00                    | 0.00 *                 | 55,859.39             |
| SYS30673    | 30673        | 300  | Fair Value       | 09/02/2031    | 59,898.96 *              | 0.00                  | 0.00                  | 13,472.91               | 0.00                    | 0.00 *                 | 46,426.05             |
| SYS30712    | 30712        | 300  | Fair Value       | 09/02/2031    | 74,261.48 *              | 0.00                  | 0.00                  | 55,805.05               | 0.00                    | 0.00 *                 | 18,456.43             |
| SYS30727    | 30727        | 300  | Fair Value       | 09/02/2031    | 113,406.29 *             | 0.00                  | 0.00                  | 4,222.64                | 0.00                    | 0.00 *                 | 109,183.65            |
| SYS30742    | 30742        | 300  | Fair Value       | 09/02/2031    | 31,346.44 *              | 0.00                  | 0.00                  | 1,167.16                | 0.00                    | 0.00 *                 | 30,179.28             |
| SYS30759    | 30759        | 300  | Fair Value       | 09/02/2031    | 73,495.30 *              | 0.00                  | 0.00                  | 2,736.58                | 0.00                    | 0.00 *                 | 70,758.72             |
| SYS30802    | 30802        | 300  | Fair Value       | 09/02/2031    | 229,977.20 *             | 0.00                  | 0.00                  | 96,673.27               | 0.00                    | 0.00 *                 | 133,303.93            |
| SYS30834    | 30834        | 300  | Fair Value       | 09/02/2031    | 83,729.88 *              | 0.00                  | 0.00                  | 26,540.65               | 0.00                    | 0.00 *                 | 57,189.23             |
| SYS30866    | 30866        | 300  | Fair Value       | 09/02/2031    | 285,577.44 *             | 0.00                  | 0.00                  | 10,633.38               | 0.00                    | 0.00 *                 | 274,944.06            |
| SYS30893    | 30893        | 300  | Fair Value       | 09/02/2031    | 0.00 *                   | 52,200.00             | 0.00                  | 1,943.64                | 0.00                    | 0.00 *                 | 50,256.36             |
| SYS30904    | 30904        | 300  | Fair Value       | 09/02/2032    | 0.00 *                   | 336,037.29            | 0.00                  | 8,428.99                | 0.00                    | 0.00 *                 | 327,608.30            |
| SYS30923    | 30923        | 300  | Fair Value       | 09/02/2032    | 0.00 *                   | 54,739.68             | 0.00                  | 0.00                    | 0.00                    | 0.00 *                 | 54,739.68             |
| SYS30936    | 30936        | 300  | Fair Value       | 09/02/2032    | 0.00 *                   | 79,648.51             | 0.00                  | 0.00                    | 0.00                    | 0.00 *                 | 79,648.51             |
| SYS30959    | 30959        | 300  | Amortized        | 09/02/2032    | 0.00                     | 36,084.08             | 0.00                  | 0.00                    | 0.00                    | 0.00                   | 36,084.08             |
| SYS30968    | 30968        | 300  | Amortized        | 09/02/2032    | 0.00                     | 81,517.87             | 0.00                  | 0.00                    | 0.00                    | 0.00                   | 81,517.87             |
| SYS30979    | 30979        | 300  | Amortized        | 09/02/2032    | 0.00                     | 51,132.92             | 0.00                  | 0.00                    | 0.00                    | 0.00                   | 51,132.92             |
| SYS30993    | 30993        | 300  | Amortized        | 09/02/2032    | 0.00                     | 84,192.33             | 0.00                  | 0.00                    | 0.00                    | 0.00                   | 84,192.33             |
| SYS31024    | 31024        | 300  | Amortized        | 09/02/2032    | 0.00                     | 106,402.01            | 0.00                  | 0.00                    | 0.00                    | 0.00                   | 106,402.01            |
| SYS31030    | 31030        | 300  | Amortized        | 09/02/2032    | 0.00                     | 270,042.66            | 0.00                  | 0.00                    | 0.00                    | 0.00                   | 270,042.66            |
| Subtotal    |              |      |                  |               | 11,949,906.35 *          | 1,151,997.35          | 273.36                | 2,253,644.63            | 0.00                    | -212,203.70 *          | 10,636,328.73         |
| Total       |              |      |                  |               | 3,102,653,214.45 *       | 1,257,348,838.96      | 1,998,382,826.50      | 3,053,302,250.88        | 0.00                    | -126,546,898.20 *      | 3,178,535,730.83      |

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Report Ver. 7.3.11

**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID                  | Investment # | Issuer            | Par Value             | Book Value            | Market Value          | Reported Value        | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------------|--------------|-------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Money Market Accounts</b> |              |                   |                       |                       |                       |                       |     |         |                |               |                  |               |                   |
| 94984B793                    | 28383        | Cal Trust MMG     | 1,000,000.00          | 1,000,000.00          | 1,000,000.00          | 1,000,000.00          | AAA | Aaa     | 0.03           | 0.000         | 1                |               | 0.000             |
| SYS30839                     | 30839        | CAMP              | 58,000,000.00         | 58,000,000.00         | 58,000,000.00         | 58,000,000.00         | AAA | Aaa     | 1.83           | 0.000         | 1                |               | 0.000             |
| SYS31038                     | 31038        | Cal Trust MMG     | 54,000,000.00         | 54,000,000.00         | 54,000,000.00         | 54,000,000.00         | AAA | Aaa     | 1.70           | 0.000         | 1                |               | 0.000             |
| <b>Subtotal</b>              |              |                   | <b>113,000,000.00</b> | <b>113,000,000.00</b> | <b>113,000,000.00</b> | <b>113,000,000.00</b> |     |         | <b>3.56</b>    | <b>0.000</b>  | <b>1</b>         |               | <b>0.000</b>      |
| <b>Negotiable CDs</b>        |              |                   |                       |                       |                       |                       |     |         |                |               |                  |               |                   |
| 06367CGA9                    | 30841        | BANK OF MONTREAL  | 25,000,000.00         | 25,000,000.00         | 24,993,250.00         | 24,993,250.00         | A+  | Aa2     | 0.79           | 1.790         | 6                | 07/07/2022    | 0.016             |
| 21684XPY6                    | 30791        | RABOBANK NY       | 20,000,000.00         | 20,000,000.00         | 19,984,400.00         | 19,984,400.00         | A+  | Aa2     | 0.63           | 1.791         | 18               | 07/19/2022    | 0.049             |
| 83369X2B3                    | 30765        | SOCIETE GENERALE  | 25,000,000.00         | 25,000,000.00         | 24,970,000.00         | 24,970,000.00         | A   | A1      | 0.79           | 1.974         | 26               | 07/27/2022    | 0.071             |
| 83369X3H9                    | 30818        | SOCIETE GENERALE  | 15,000,000.00         | 15,000,000.00         | 14,982,000.00         | 14,982,000.00         | A   | A1      | 0.47           | 1.755         | 28               | 07/29/2022    | 0.076             |
| 83050PVU0                    | 30847        | SEB BANK NY       | 25,000,000.00         | 25,000,000.00         | 24,969,250.00         | 24,969,250.00         | A+  | Aa3     | 0.79           | 1.754         | 28               | 07/29/2022    | 0.076             |
| 21684XRR9                    | 30861        | RABOBANK NY       | 25,000,000.00         | 25,000,000.00         | 24,967,250.00         | 24,967,250.00         | A+  | Aa2     | 0.79           | 1.676         | 32               | 08/02/2022    | 0.087             |
| 90275DNC0                    | 30734        | UBS AG STAMFORD   | 10,000,000.00         | 10,000,000.00         | 9,977,000.00          | 9,977,000.00          | A+  | Aa2     | 0.31           | 2.047         | 46               | 08/16/2022    | 0.126             |
| 90275DNW6                    | 30783        | UBS AG STAMFORD   | 20,000,000.00         | 20,000,000.00         | 19,936,340.00         | 19,936,340.00         | A+  | Aa2     | 0.63           | 2.179         | 59               | 08/29/2022    | 0.161             |
| 89114WE50                    | 30876        | TORONTO DOMINION  | 15,000,000.00         | 15,000,000.00         | 14,956,650.00         | 14,956,650.00         | AA- | Aa1     | 0.47           | 1.930         | 60               | 08/30/2022    | 0.164             |
| 63873QSZ8                    | 30986        | NATIXIS BANK NY   | 10,000,000.00         | 10,000,000.00         | 9,964,000.00          | 9,964,000.00          | A   | A1      | 0.31           | 2.328         | 96               | 10/05/2022    | 0.263             |
| 89114WSR7                    | 30990        | TORONTO DOMINION  | 15,000,000.00         | 15,000,000.00         | 14,871,300.00         | 14,871,300.00         | AA- | Aa1     | 0.47           | 3.218         | 210              | 01/27/2023    | 0.575             |
| 83369X5C8                    | 30882        | SOCIETE GENERALE  | 25,000,000.00         | 25,000,000.00         | 24,709,250.00         | 24,709,250.00         | A   | A1      | 0.78           | 2.233         | 215              | 02/01/2023    | 0.589             |
| 21684XTH9                    | 30900        | RABOBANK NY       | 20,000,000.00         | 20,000,000.00         | 19,702,200.00         | 19,702,200.00         | A+  | Aa2     | 0.62           | 2.379         | 256              | 03/14/2023    | 0.701             |
| 65558UBE1                    | 30813        | NORDEA BANK NY    | 10,000,000.00         | 10,000,000.00         | 9,781,100.00          | 9,781,100.00          | AA- | Aa3     | 0.31           | 2.843         | 320              | 05/17/2023    | 0.865             |
| 89114WW76                    | 31023        | TORONTO DOMINION  | 12,000,000.00         | 12,000,000.00         | 11,904,120.00         | 11,904,120.00         | AA- | Aa1     | 0.38           | 3.665         | 336              | 06/02/2023    | 0.920             |
| 06367CVV6                    | 31035        | BANK OF MONTREAL  | 18,000,000.00         | 18,000,000.00         | 17,994,060.00         | 17,994,060.00         | A+  | Aa2     | 0.57           | 3.714         | 360              | 06/26/2023    | 0.986             |
| 05252WTE3                    | 30961        | AUST & NZ BANK NY | 25,000,000.00         | 25,000,000.00         | 24,404,500.00         | 24,404,500.00         | AA- | Aa3     | 0.77           | 3.162         | 367              | 07/03/2023    | 1.005             |
| 89114WY82                    | 31032        | TORONTO DOMINION  | 10,000,000.00         | 10,000,000.00         | 9,919,600.00          | 9,919,600.00          | AA- | Aa1     | 0.31           | 3.739         | 367              | 07/03/2023    | 1.005             |
| 65558ULS9                    | 30963        | NORDEA BANK NY    | 20,000,000.00         | 20,000,000.00         | 19,533,600.00         | 19,533,600.00         | AA- | Aa3     | 0.62           | 3.097         | 369              | 07/05/2023    | 1.010             |
| 21684LCX8                    | 31002        | RABOBANK NY       | 15,000,000.00         | 15,000,000.00         | 14,938,050.00         | 14,938,050.00         | A+  | Aa2     | 0.47           | 2.905         | 392              | 07/28/2023    | 1.045             |
| <b>Subtotal</b>              |              |                   | <b>360,000,000.00</b> | <b>360,000,000.00</b> | <b>357,457,920.00</b> | <b>357,457,920.00</b> |     |         | <b>11.28</b>   | <b>2.417</b>  | <b>165</b>       |               | <b>0.452</b>      |

**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID        | Investment # | Issuer | Par Value | Book Value | Market Value | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|--------------------|--------------|--------|-----------|------------|--------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>SCEIP Notes</b> |              |        |           |            |              |                |     |         |                |               |                  |               |                   |
| SYS29026           | 29026        | SCEIP  | 332.21    | 332.21     | 332.21       | 332.21         | NR  | NR      | 0.00           | 2.971         | 63               | 09/02/2022    | 0.172             |
| SYS29089           | 29089        | SCEIP  | 354.91    | 354.91     | 354.91       | 354.91         | NR  | NR      | 0.00           | 2.971         | 63               | 09/02/2022    | 0.172             |
| SYS29102           | 29102        | SCEIP  | 704.03    | 704.03     | 704.03       | 704.03         | NR  | NR      | 0.00           | 2.971         | 63               | 09/02/2022    | 0.172             |
| SYS29120           | 29120        | SCEIP  | 841.51    | 841.51     | 841.51       | 841.51         | NR  | NR      | 0.00           | 2.971         | 63               | 09/02/2022    | 0.172             |
| SYS29136           | 29136        | SCEIP  | 890.35    | 890.35     | 890.35       | 890.35         | NR  | NR      | 0.00           | 2.971         | 63               | 09/02/2022    | 0.172             |
| SYS29220           | 29220        | SCEIP  | 4,591.43  | 4,591.43   | 4,591.43     | 4,591.43       | NR  | NR      | 0.00           | 3.002         | 428              | 09/02/2023    | 1.130             |
| SYS29254           | 29254        | SCEIP  | 2,055.92  | 2,055.92   | 2,055.92     | 2,055.92       | NR  | NR      | 0.00           | 3.002         | 428              | 09/02/2023    | 1.130             |
| SYS29271           | 29271        | SCEIP  | 4,897.25  | 4,897.25   | 4,897.25     | 4,897.25       | NR  | NR      | 0.00           | 3.002         | 428              | 09/02/2023    | 1.130             |
| SYS29300           | 29300        | SCEIP  | 2,057.02  | 2,057.02   | 2,057.02     | 2,057.02       | NR  | NR      | 0.00           | 3.002         | 428              | 09/02/2023    | 1.130             |
| SYS29338           | 29338        | SCEIP  | 5,203.23  | 5,203.23   | 5,203.23     | 5,203.23       | NR  | NR      | 0.00           | 3.002         | 428              | 09/02/2023    | 1.130             |
| SYS29340           | 29340        | SCEIP  | 35,767.20 | 35,767.20  | 35,767.20    | 35,767.20      | NR  | NR      | 0.00           | 3.001         | 794              | 09/02/2024    | 2.065             |
| SYS29396           | 29396        | SCEIP  | 5,812.76  | 5,812.76   | 5,812.76     | 5,812.76       | NR  | NR      | 0.00           | 3.001         | 794              | 09/02/2024    | 2.065             |
| SYS29415           | 29415        | SCEIP  | 2,226.34  | 2,226.34   | 2,226.34     | 2,226.34       | NR  | NR      | 0.00           | 3.001         | 794              | 09/02/2024    | 2.065             |
| SYS29432           | 29432        | SCEIP  | 1,162.13  | 1,162.13   | 1,162.13     | 1,162.13       | NR  | NR      | 0.00           | 3.001         | 794              | 09/02/2024    | 2.065             |
| SYS29440           | 29440        | SCEIP  | 6,433.13  | 6,433.13   | 6,433.13     | 6,433.13       | NR  | NR      | 0.00           | 3.001         | 794              | 09/02/2024    | 2.065             |
| SYS29447           | 29447        | SCEIP  | 1,942.41  | 1,942.41   | 1,942.41     | 1,942.41       | NR  | NR      | 0.00           | 3.001         | 794              | 09/02/2024    | 2.065             |
| SYS29458           | 29458        | SCEIP  | 2,287.35  | 2,287.35   | 2,287.35     | 2,287.35       | NR  | NR      | 0.00           | 3.001         | 1,159            | 09/02/2025    | 2.973             |
| SYS29501           | 29501        | SCEIP  | 3,602.37  | 3,602.37   | 3,602.37     | 3,602.37       | NR  | NR      | 0.00           | 3.001         | 1,159            | 09/02/2025    | 2.973             |
| SYS29519           | 29519        | SCEIP  | 1,736.62  | 1,736.62   | 1,736.62     | 1,736.62       | NR  | NR      | 0.00           | 3.001         | 1,159            | 09/02/2025    | 2.973             |
| SYS29575           | 29575        | SCEIP  | 4,626.79  | 4,626.79   | 4,626.79     | 4,626.79       | NR  | NR      | 0.00           | 3.001         | 1,159            | 09/02/2025    | 2.973             |
| SYS29579           | 29579        | SCEIP  | 3,633.28  | 3,633.28   | 3,633.28     | 3,633.28       | NR  | NR      | 0.00           | 3.001         | 1,159            | 09/02/2025    | 2.973             |
| SYS29587           | 29587        | SCEIP  | 11,394.71 | 11,394.71  | 11,394.71    | 11,394.71      | NR  | NR      | 0.00           | 3.001         | 1,524            | 09/02/2026    | 3.854             |
| SYS29634           | 29634        | SCEIP  | 20,601.25 | 20,601.25  | 20,601.25    | 20,601.25      | NR  | NR      | 0.00           | 3.001         | 1,524            | 09/02/2026    | 3.854             |
| SYS29733           | 29733        | SCEIP  | 1,507.82  | 1,507.82   | 1,507.82     | 1,507.82       | NR  | NR      | 0.00           | 3.001         | 1,524            | 09/02/2026    | 3.854             |
| SYS29740           | 29740        | SCEIP  | 21,508.08 | 21,508.08  | 21,508.08    | 21,508.08      | NR  | NR      | 0.00           | 3.001         | 1,524            | 09/02/2026    | 3.854             |
| SYS29752           | 29752        | SCEIP  | 20,545.92 | 20,545.92  | 20,545.92    | 20,545.92      | NR  | NR      | 0.00           | 3.000         | 1,889            | 09/02/2027    | 4.709             |
| SYS29795           | 29795        | SCEIP  | 37,982.85 | 37,982.85  | 37,982.85    | 37,982.85      | NR  | NR      | 0.00           | 3.000         | 1,889            | 09/02/2027    | 4.709             |
| SYS29827           | 29827        | SCEIP  | 6,753.73  | 6,753.73   | 6,753.73     | 6,753.73       | NR  | NR      | 0.00           | 3.000         | 1,889            | 09/02/2027    | 4.709             |
| SYS29874           | 29874        | SCEIP  | 32,651.98 | 32,651.98  | 32,651.98    | 32,651.98      | NR  | NR      | 0.00           | 3.000         | 2,255            | 09/02/2028    | 5.540             |
| SYS29904           | 29904        | SCEIP  | 11,063.72 | 11,063.72  | 11,063.72    | 11,063.72      | NR  | NR      | 0.00           | 3.000         | 2,255            | 09/02/2028    | 5.540             |
| SYS29916           | 29916        | SCEIP  | 36,873.03 | 36,873.03  | 36,873.03    | 36,873.03      | NR  | NR      | 0.00           | 3.000         | 2,255            | 09/02/2028    | 5.540             |
| SYS29948           | 29948        | SCEIP  | 45,678.80 | 45,678.80  | 45,678.80    | 45,678.80      | NR  | NR      | 0.00           | 3.000         | 2,255            | 09/02/2028    | 5.540             |
| SYS28288           | 28288        | SCEIP  | 50,894.99 | 50,894.99  | 50,894.99    | 50,894.99      | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS28313           | 28313        | SCEIP  | 26,987.29 | 26,987.29  | 26,987.29    | 26,987.29      | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |

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**Sonoma County Inv Pool Funds**  
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**Through 06/30/2022**

| Security ID        | Investment # | Issuer | Par Value    | Book Value   | Market Value | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|--------------------|--------------|--------|--------------|--------------|--------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>SCEIP Notes</b> |              |        |              |              |              |                |     |         |                |               |                  |               |                   |
| SYS28352           | 28352        | SCEIP  | 128,473.15   | 128,473.15   | 128,473.15   | 128,473.15     | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS28387           | 28387        | SCEIP  | 1,461,109.03 | 1,461,109.03 | 1,461,109.03 | 1,461,109.03   | NR  | NR      | 0.05           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS28427           | 28427        | SCEIP  | 300,848.66   | 300,848.66   | 300,848.66   | 300,848.66     | NR  | NR      | 0.01           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS29961           | 29961        | SCEIP  | 91,545.06    | 91,545.06    | 91,545.06    | 91,545.06      | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS29966           | 29966        | SCEIP  | 57,996.33    | 57,996.33    | 57,996.33    | 57,996.33      | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS30011           | 30011        | SCEIP  | 5,061.39     | 5,061.39     | 5,061.39     | 5,061.39       | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS30034           | 30034        | SCEIP  | 41,826.60    | 41,826.60    | 41,826.60    | 41,826.60      | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS30063           | 30063        | SCEIP  | 58,902.98    | 58,902.98    | 58,902.98    | 58,902.98      | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS30093           | 30093        | SCEIP  | 9,039.07     | 9,039.07     | 9,039.07     | 9,039.07       | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS30109           | 30109        | SCEIP  | 20,290.27    | 20,290.27    | 20,290.27    | 20,290.27      | NR  | NR      | 0.00           | 3.000         | 2,620            | 09/02/2029    | 6.346             |
| SYS28477           | 28477        | SCEIP  | 138,260.87   | 138,260.87   | 138,260.87   | 138,260.87     | NR  | NR      | 0.00           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28551           | 28551        | SCEIP  | 564,205.58   | 564,205.58   | 564,205.58   | 564,205.58     | NR  | NR      | 0.02           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28577           | 28577        | SCEIP  | 513,947.29   | 513,947.29   | 513,947.29   | 513,947.29     | NR  | NR      | 0.02           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28595           | 28595        | SCEIP  | 525,824.90   | 525,824.90   | 525,824.90   | 525,824.90     | NR  | NR      | 0.02           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28620           | 28620        | SCEIP  | 228,131.54   | 228,131.54   | 228,131.54   | 228,131.54     | NR  | NR      | 0.01           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28680           | 28680        | SCEIP  | 309,653.86   | 309,653.86   | 309,653.86   | 309,653.86     | NR  | NR      | 0.01           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28707           | 28707        | SCEIP  | 156,468.03   | 156,468.03   | 156,468.03   | 156,468.03     | NR  | NR      | 0.01           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28739           | 28739        | SCEIP  | 408,626.92   | 408,626.92   | 408,626.92   | 408,626.92     | NR  | NR      | 0.01           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28764           | 28764        | SCEIP  | 258,558.56   | 258,558.56   | 258,558.56   | 258,558.56     | NR  | NR      | 0.01           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28770           | 28770        | SCEIP  | 121,888.45   | 121,888.45   | 121,888.45   | 121,888.45     | NR  | NR      | 0.00           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28798           | 28798        | SCEIP  | 166,020.50   | 166,020.50   | 166,020.50   | 166,020.50     | NR  | NR      | 0.01           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS30240           | 30240        | SCEIP  | 14,448.85    | 14,448.85    | 14,448.85    | 14,448.85      | NR  | NR      | 0.00           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS30262           | 30262        | SCEIP  | 11,476.39    | 11,476.39    | 11,476.39    | 11,476.39      | NR  | NR      | 0.00           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS30282           | 30282        | SCEIP  | 21,945.77    | 21,945.77    | 21,945.77    | 21,945.77      | NR  | NR      | 0.00           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS30324           | 30324        | SCEIP  | 23,397.67    | 23,397.67    | 23,397.67    | 23,397.67      | NR  | NR      | 0.00           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS30428           | 30428        | SCEIP  | 15,611.58    | 15,611.58    | 15,611.58    | 15,611.58      | NR  | NR      | 0.00           | 3.000         | 2,985            | 09/02/2030    | 7.128             |
| SYS28822           | 28822        | SCEIP  | 165,005.12   | 165,005.12   | 165,005.12   | 165,005.12     | NR  | NR      | 0.01           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS28843           | 28843        | SCEIP  | 147,987.36   | 147,987.36   | 147,987.36   | 147,987.36     | NR  | NR      | 0.01           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS28856           | 28856        | SCEIP  | 271,266.89   | 271,266.89   | 271,266.89   | 271,266.89     | NR  | NR      | 0.01           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS28881           | 28881        | SCEIP  | 174,345.82   | 174,345.82   | 174,345.82   | 174,345.82     | NR  | NR      | 0.01           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS28901           | 28901        | SCEIP  | 336,448.21   | 336,448.21   | 336,448.21   | 336,448.21     | NR  | NR      | 0.01           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS28910           | 28910        | SCEIP  | 117,032.57   | 117,032.57   | 117,032.57   | 117,032.57     | NR  | NR      | 0.00           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS28929           | 28929        | SCEIP  | 162,893.24   | 162,893.24   | 162,893.24   | 162,893.24     | NR  | NR      | 0.01           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS28949           | 28949        | SCEIP  | 102,708.84   | 102,708.84   | 102,708.84   | 102,708.84     | NR  | NR      | 0.00           | 3.000         | 3,350            | 09/02/2031    | 7.887             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID        | Investment # | Issuer | Par Value  | Book Value | Market Value | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|--------------------|--------------|--------|------------|------------|--------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>SCEIP Notes</b> |              |        |            |            |              |                |     |         |                |               |                  |               |                   |
| SYS28963           | 28963        | SCEIP  | 165,524.65 | 165,524.65 | 165,524.65   | 165,524.65     | NR  | NR      | 0.01           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS28975           | 28975        | SCEIP  | 217,732.07 | 217,732.07 | 217,732.07   | 217,732.07     | NR  | NR      | 0.01           | 3.000         | 3,350            | 09/02/2031    | 7.887             |
| SYS30585           | 30585        | SCEIP  | 73,210.99  | 73,210.99  | 73,210.99    | 73,210.99      | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30626           | 30626        | SCEIP  | 55,859.39  | 55,859.39  | 55,859.39    | 55,859.39      | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30673           | 30673        | SCEIP  | 46,426.05  | 46,426.05  | 46,426.05    | 46,426.05      | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30712           | 30712        | SCEIP  | 18,456.43  | 18,456.43  | 18,456.43    | 18,456.43      | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30727           | 30727        | SCEIP  | 109,183.65 | 109,183.65 | 109,183.65   | 109,183.65     | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30742           | 30742        | SCEIP  | 30,179.28  | 30,179.28  | 30,179.28    | 30,179.28      | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30759           | 30759        | SCEIP  | 70,758.72  | 70,758.72  | 70,758.72    | 70,758.72      | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30802           | 30802        | SCEIP  | 133,303.93 | 133,303.93 | 133,303.93   | 133,303.93     | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30834           | 30834        | SCEIP  | 57,189.23  | 57,189.23  | 57,189.23    | 57,189.23      | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30866           | 30866        | SCEIP  | 274,944.06 | 274,944.06 | 274,944.06   | 274,944.06     | NR  | NR      | 0.01           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30893           | 30893        | SCEIP  | 50,256.36  | 50,256.36  | 50,256.36    | 50,256.36      | NR  | NR      | 0.00           | 1.990         | 3,350            | 09/02/2031    | 8.290             |
| SYS30904           | 30904        | SCEIP  | 327,608.30 | 327,608.30 | 327,608.30   | 327,608.30     | NR  | NR      | 0.01           | 1.990         | 3,716            | 09/02/2032    | 9.032             |
| SYS30923           | 30923        | SCEIP  | 54,739.68  | 54,739.68  | 54,739.68    | 54,739.68      | NR  | NR      | 0.00           | 1.990         | 3,716            | 09/02/2032    | 9.047             |
| SYS30936           | 30936        | SCEIP  | 79,648.51  | 79,648.51  | 79,648.51    | 79,648.51      | NR  | NR      | 0.00           | 1.990         | 3,716            | 09/02/2032    | 9.062             |
| SYS30959           | 30959        | SCEIP  | 36,084.08  | 36,084.08  | 36,084.08    | 36,084.08      | NR  | NR      | 0.00           | 1.990         | 3,716            | 09/02/2032    | 9.077             |
| SYS30968           | 30968        | SCEIP  | 81,517.87  | 81,517.87  | 81,517.87    | 81,517.87      | NR  | NR      | 0.00           | 1.990         | 3,716            | 09/02/2032    | 9.091             |
| SYS30979           | 30979        | SCEIP  | 51,132.92  | 51,132.92  | 51,132.92    | 51,132.92      | NR  | NR      | 0.00           | 1.990         | 3,716            | 09/02/2032    | 9.105             |
| SYS30993           | 30993        | SCEIP  | 84,192.33  | 84,192.33  | 84,192.33    | 84,192.33      | NR  | NR      | 0.00           | 1.990         | 3,716            | 09/02/2032    | 9.120             |
| SYS31024           | 31024        | SCEIP  | 106,402.01 | 106,402.01 | 106,402.01   | 106,402.01     | NR  | NR      | 0.00           | 1.990         | 3,716            | 09/02/2032    | 9.135             |
| SYS31030           | 31030        | SCEIP  | 270,042.66 | 270,042.66 | 270,042.66   | 270,042.66     | NR  | NR      | 0.01           | 1.990         | 3,716            | 09/02/2032    | 9.149             |
| SYS29433           | 29433        | SCEIP  | 34,957.68  | 34,957.68  | 34,957.68    | 34,957.68      | NR  | NR      | 0.00           | 3.000         | 4,446            | 09/02/2034    | 10.033            |
| SYS29437           | 29437        | SCEIP  | 128,953.16 | 128,953.16 | 128,953.16   | 128,953.16     | NR  | NR      | 0.00           | 3.000         | 4,446            | 09/02/2034    | 10.033            |
| SYS29441           | 29441        | SCEIP  | 72,246.23  | 72,246.23  | 72,246.23    | 72,246.23      | NR  | NR      | 0.00           | 3.000         | 4,446            | 09/02/2034    | 10.033            |
| SYS29448           | 29448        | SCEIP  | 33,725.40  | 33,725.40  | 33,725.40    | 33,725.40      | NR  | NR      | 0.00           | 3.000         | 4,446            | 09/02/2034    | 10.033            |
| SYS29459           | 29459        | SCEIP  | 49,106.77  | 49,106.77  | 49,106.77    | 49,106.77      | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |
| SYS29467           | 29467        | SCEIP  | 31,505.85  | 31,505.85  | 31,505.85    | 31,505.85      | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |
| SYS29483           | 29483        | SCEIP  | 14,936.95  | 14,936.95  | 14,936.95    | 14,936.95      | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |
| SYS29502           | 29502        | SCEIP  | 69,169.72  | 69,169.72  | 69,169.72    | 69,169.72      | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |
| SYS29511           | 29511        | SCEIP  | 68,296.30  | 68,296.30  | 68,296.30    | 68,296.30      | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |
| SYS29520           | 29520        | SCEIP  | 84,444.61  | 84,444.61  | 84,444.61    | 84,444.61      | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |
| SYS29531           | 29531        | SCEIP  | 60,314.78  | 60,314.78  | 60,314.78    | 60,314.78      | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |
| SYS29551           | 29551        | SCEIP  | 16,784.90  | 16,784.90  | 16,784.90    | 16,784.90      | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID                             | Investment # | Issuer                 | Par Value            | Book Value           | Market Value         | Reported Value       | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|---|--------------|------------------------|----------------------|----------------------|----------------------|----------------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>SCEIP Notes</b>                      |              |                        |                      |                      |                      |                      |     |         |                |               |                  |               |                   |
| SYS29563                                | 29563        | SCEIP                  | 30,643.45            | 30,643.45            | 30,643.45            | 30,643.45            | NR  | NR      | 0.00           | 3.000         | 4,811            | 09/02/2035    | 10.707            |
| <b>Subtotal</b>                         |              |                        | <b>10,636,328.73</b> | <b>10,636,328.73</b> | <b>10,636,328.73</b> | <b>10,636,328.73</b> |     |         | <b>0.29</b>    | <b>2.809</b>  | <b>3,146</b>     |               | <b>7.518</b>      |
| <b>Federal Agency Coupon Securities</b> |              |                        |                      |                      |                      |                      |     |         |                |               |                  |               |                   |
| 3133EKTG1                               | 30095        | FEDERAL FARM CREDIT    | 15,000,000.00        | 15,000,000.00        | 15,000,000.00        | 15,000,000.00        | AA+ | Aaa     | 0.47           | 1.735         | 0                | 07/01/2022    | 0.000             |
| 3133ELT95                               | 30487        | FEDERAL FARM CREDIT    | 28,000,000.00        | 27,999,687.33        | 27,987,960.00        | 27,987,960.00        | AA+ | Aaa     | 0.88           | 1.390         | 12               | 07/13/2022    | 0.032             |
| 3130AJSW0                               | 30483        | FEDERAL HOME LOAN BANK | 1,175,000.00         | 1,174,966.34         | 1,172,321.00         | 1,172,321.00         | AA+ | Aaa     | 0.04           | 1.668         | 56               | 08/26/2022    | 0.153             |
| 3135G0W33                               | 30482        | FEDERAL NATIONAL MTG   | 7,767,000.00         | 7,783,164.44         | 7,761,640.77         | 7,761,640.77         | AA+ | Aaa     | 0.24           | 1.745         | 67               | 09/06/2022    | 0.183             |
| 3133EGWJ0                               | 30478        | FEDERAL FARM CREDIT    | 1,000,000.00         | 1,002,796.95         | 998,880.00           | 998,880.00           | AA+ | Aaa     | 0.03           | 1.849         | 90               | 09/29/2022    | 0.246             |
| 3135G0T78                               | 30499        | FEDERAL NATIONAL MTG   | 19,800,000.00        | 19,891,730.57        | 19,790,694.00        | 19,790,694.00        | AA+ | Aaa     | 0.62           | 2.169         | 96               | 10/05/2022    | 0.263             |
| 3133EL4K7                               | 30546        | FEDERAL FARM CREDIT    | 18,000,000.00        | 17,996,940.40        | 17,699,040.00        | 17,699,040.00        | AA+ | Aaa     | 0.56           | 2.886         | 238              | 02/24/2023    | 0.637             |
| 3130ALRG1                               | 30869        | FEDERAL HOME LOAN BANK | 1,000,000.00         | 999,328.37           | 982,120.00           | 982,120.00           | AA+ | Aaa     | 0.03           | 2.680         | 259              | 03/17/2023    | 0.701             |
| 3137EAER6                               | 30991        | FEDERAL HOME LOAN MTG  | 2,875,000.00         | 2,838,545.82         | 2,813,848.75         | 2,813,848.75         | AA+ | Aaa     | 0.09           | 2.944         | 308              | 05/05/2023    | 0.831             |
| 313383BC4                               | 30992        | FEDERAL HOME LOAN BANK | 8,000,000.00         | 8,016,442.19         | 7,941,280.00         | 7,941,280.00         | AA+ | Aaa     | 0.25           | 2.981         | 333              | 05/30/2023    | 0.895             |
| 3133834G3                               | 30886        | FEDERAL HOME LOAN BANK | 10,000,000.00        | 10,179,538.88        | 9,916,900.00         | 9,916,900.00         | AA+ | Aaa     | 0.31           | 3.030         | 343              | 06/09/2023    | 0.919             |
| 3137EAEN5                               | 30706        | FEDERAL HOME LOAN MTG  | 13,288,000.00        | 13,614,296.16        | 13,256,773.20        | 13,256,773.20        | AA+ | Aaa     | 0.42           | 2.999         | 353              | 06/19/2023    | 0.945             |
| 3137EAES4                               | 30683        | FEDERAL HOME LOAN MTG  | 1,257,000.00         | 1,257,145.67         | 1,223,412.96         | 1,223,412.96         | AA+ | Aaa     | 0.04           | 3.021         | 360              | 06/26/2023    | 0.970             |
| 3135G05G4                               | 30684        | FEDERAL NATIONAL MTG   | 20,000,000.00        | 20,000,379.68        | 19,469,800.00        | 19,469,800.00        | AA+ | Aaa     | 0.61           | 2.894         | 374              | 07/10/2023    | 1.008             |
| 3135G05G4                               | 30995        | FEDERAL NATIONAL MTG   | 3,947,000.00         | 3,876,810.54         | 3,842,365.03         | 3,842,365.03         | AA+ | Aaa     | 0.12           | 2.894         | 374              | 07/10/2023    | 1.008             |
| 3133EMS37                               | 30887        | FEDERAL FARM CREDIT    | 15,000,000.00        | 14,987,887.11        | 14,573,850.00        | 14,573,850.00        | AA+ | Aaa     | 0.46           | 2.929         | 378              | 07/14/2023    | 1.020             |
| 3133EMS37                               | 30954        | FEDERAL FARM CREDIT    | 6,641,000.00         | 6,608,954.00         | 6,452,329.19         | 6,452,329.19         | AA+ | Aaa     | 0.20           | 2.929         | 378              | 07/14/2023    | 1.020             |
| 3133EMWL2                               | 30793        | FEDERAL FARM CREDIT    | 11,750,000.00        | 11,749,890.33        | 11,420,295.00        | 11,420,295.00        | AA+ | Aaa     | 0.36           | 2.933         | 383              | 07/19/2023    | 1.033             |
| 3133ENEY2                               | 30935        | FEDERAL FARM CREDIT    | 20,000,000.00        | 19,998,838.23        | 19,545,200.00        | 19,545,200.00        | AA+ | Aaa     | 0.62           | 2.632         | 388              | 07/24/2023    | 1.046             |
| 3133ENEY2                               | 31000        | FEDERAL FARM CREDIT    | 2,000,000.00         | 1,966,139.73         | 1,954,520.00         | 1,954,520.00         | AA+ | Aaa     | 0.06           | 2.632         | 388              | 07/24/2023    | 1.046             |
| 3135G05R0                               | 30523        | FEDERAL NATIONAL MTG   | 20,000,000.00        | 19,985,699.72        | 19,466,800.00        | 19,466,800.00        | AA+ | Aaa     | 0.61           | 2.759         | 405              | 08/10/2023    | 1.091             |
| 3133EM2E1                               | 30878        | FEDERAL FARM CREDIT    | 15,000,000.00        | 14,987,828.82        | 14,551,650.00        | 14,551,650.00        | AA+ | Aaa     | 0.46           | 2.920         | 405              | 08/10/2023    | 1.091             |
| 3130ABHA7                               | 30863        | FEDERAL HOME LOAN BANK | 5,915,000.00         | 6,031,107.72         | 5,857,151.30         | 5,857,151.30         | AA+ | Aaa     | 0.18           | 2.977         | 410              | 08/15/2023    | 1.090             |
| 3137EAEV7                               | 30685        | FEDERAL HOME LOAN MTG  | 21,555,000.00        | 21,555,575.22        | 20,902,099.05        | 20,902,099.05        | AA+ | Aaa     | 0.66           | 2.955         | 419              | 08/24/2023    | 1.128             |
| 3130AJYU7                               | 30535        | FEDERAL HOME LOAN BANK | 11,370,000.00        | 11,368,257.58        | 11,016,279.30        | 11,016,279.30        | AA+ | Aaa     | 0.35           | 3.112         | 419              | 08/24/2023    | 1.126             |
| 3130AJZJ1                               | 30537        | FEDERAL HOME LOAN BANK | 8,888,888.89         | 8,886,326.21         | 8,602,222.22         | 8,602,222.22         | AA+ | Aaa     | 0.27           | 3.199         | 420              | 08/25/2023    | 1.129             |
| 3137EAEW5                               | 30547        | FEDERAL HOME LOAN MTG  | 13,000,000.00        | 12,998,310.12        | 12,596,610.00        | 12,596,610.00        | AA+ | Aaa     | 0.40           | 2.931         | 434              | 09/08/2023    | 1.167             |
| 3137EAEW5                               | 30822        | FEDERAL HOME LOAN MTG  | 12,000,000.00        | 12,005,509.54        | 11,627,640.00        | 11,627,640.00        | AA+ | Aaa     | 0.37           | 2.931         | 434              | 09/08/2023    | 1.167             |
| 3133ECZP2                               | 30889        | FEDERAL FARM CREDIT    | 950,000.00           | 988,103.92           | 957,932.50           | 957,932.50           | AA+ | Aaa     | 0.03           | 2.918         | 438              | 09/12/2023    | 1.153             |
| 3133ENAL4                               | 30943        | FEDERAL FARM CREDIT    | 5,000,000.00         | 4,976,950.00         | 4,831,700.00         | 4,831,700.00         | AA+ | Aaa     | 0.15           | 2.989         | 468              | 10/12/2023    | 1.259             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID                             | Investment # | Issuer                 | Par Value     | Book Value    | Market Value  | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|---|--------------|------------------------|---------------|---------------|---------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Federal Agency Coupon Securities</b> |              |                        |               |               |               |                |     |         |                |               |                  |               |                   |
| 3133ENAL4                               | 30964        | FEDERAL FARM CREDIT    | 5,000,000.00  | 4,965,058.72  | 4,831,700.00  | 4,831,700.00   | AA+ | Aaa     | 0.15           | 2.989         | 468              | 10/12/2023    | 1.259             |
| 3137EAEY1                               | 30604        | FEDERAL HOME LOAN MTG  | 17,000,000.00 | 16,972,698.47 | 16,397,690.00 | 16,397,690.00  | AA+ | Aaa     | 0.52           | 2.941         | 472              | 10/16/2023    | 1.271             |
| 3133ENDR8                               | 30942        | FEDERAL FARM CREDIT    | 675,000.00    | 672,230.15    | 652,806.00    | 652,806.00     | AA+ | Aaa     | 0.02           | 2.891         | 496              | 11/09/2023    | 1.333             |
| 3135G06H1                               | 30670        | FEDERAL NATIONAL MTG   | 10,000,000.00 | 9,994,668.76  | 9,635,500.00  | 9,635,500.00   | AA+ | Aaa     | 0.30           | 2.916         | 514              | 11/27/2023    | 1.383             |
| 3134GXBD5                               | 30693        | FEDERAL HOME LOAN MTG  | 12,000,000.00 | 11,998,028.92 | 11,434,320.00 | 11,434,320.00  | AA+ | Aaa     | 0.36           | 2.967         | 684              | 05/15/2024    | 1.839             |
| 3134GXBD5                               | 30704        | FEDERAL HOME LOAN MTG  | 10,000,000.00 | 10,000,000.00 | 9,528,600.00  | 9,528,600.00   | AA+ | Aaa     | 0.30           | 2.967         | 684              | 05/15/2024    | 1.839             |
| 3133ENYH7                               | 31034        | FEDERAL FARM CREDIT    | 10,000,000.00 | 9,988,544.17  | 9,921,900.00  | 9,921,900.00   | AA+ | Aaa     | 0.31           | 3.043         | 710              | 06/10/2024    | 1.873             |
| 3134GXFC3                               | 30694        | FEDERAL HOME LOAN MTG  | 11,750,000.00 | 11,749,016.64 | 11,167,552.50 | 11,167,552.50  | AA+ | Aaa     | 0.35           | 2.982         | 714              | 06/14/2024    | 1.918             |
| 3130A1XJ2                               | 31026        | FEDERAL HOME LOAN BANK | 10,000,000.00 | 10,035,430.08 | 9,964,100.00  | 9,964,100.00   | AA+ | Aaa     | 0.31           | 3.066         | 714              | 06/14/2024    | 1.881             |
| 3130A1XJ2                               | 31033        | FEDERAL HOME LOAN BANK | 8,470,000.00  | 8,520,352.18  | 8,439,592.70  | 8,439,592.70   | AA+ | Aaa     | 0.27           | 3.066         | 714              | 06/14/2024    | 1.881             |
| 3133ENSB7                               | 30989        | FEDERAL FARM CREDIT    | 10,000,000.00 | 9,995,729.14  | 9,794,900.00  | 9,794,900.00   | AA+ | Aaa     | 0.31           | 2.883         | 717              | 06/17/2024    | 1.907             |
| 3133ECSF2                               | 31011        | FEDERAL FARM CREDIT    | 2,000,000.00  | 2,013,414.91  | 1,997,260.00  | 1,997,260.00   | AA+ | Aaa     | 0.06           | 3.043         | 717              | 06/17/2024    | 1.888             |
| 3133ENYX2                               | 31036        | FEDERAL FARM CREDIT    | 10,000,000.00 | 10,004,608.61 | 10,040,800.00 | 10,040,800.00  | AA+ | Aaa     | 0.32           | 3.035         | 717              | 06/17/2024    | 1.884             |
| 3133EMV25                               | 30890        | FEDERAL FARM CREDIT    | 10,515,000.00 | 10,517,088.52 | 9,979,050.45  | 9,979,050.45   | AA+ | Aaa     | 0.31           | 3.019         | 753              | 07/23/2024    | 2.019             |
| 3130A2UW4                               | 30823        | FEDERAL HOME LOAN BANK | 11,225,000.00 | 11,827,903.61 | 11,178,528.50 | 11,178,528.50  | AA+ | Aaa     | 0.35           | 3.072         | 805              | 09/13/2024    | 2.097             |
| 3134GXFX7                               | 30697        | FEDERAL HOME LOAN MTG  | 3,100,000.00  | 3,099,269.78  | 2,926,152.00  | 2,926,152.00   | AA+ | Aaa     | 0.09           | 3.019         | 808              | 09/16/2024    | 2.167             |
| 3134GXJK1                               | 30709        | FEDERAL HOME LOAN MTG  | 4,900,000.00  | 4,900,000.00  | 4,618,593.00  | 4,618,593.00   | AA+ | Aaa     | 0.15           | 3.023         | 822              | 09/30/2024    | 2.206             |
| 3135G0W66                               | 30824        | FEDERAL NATIONAL MTG   | 14,000,000.00 | 14,376,729.29 | 13,566,560.00 | 13,566,560.00  | AA+ | Aaa     | 0.43           | 3.036         | 837              | 10/15/2024    | 2.214             |
| 3133EMJS2                               | 30689        | FEDERAL FARM CREDIT    | 10,000,000.00 | 9,996,947.15  | 9,400,300.00  | 9,400,300.00   | AA+ | Aaa     | 0.30           | 3.009         | 892              | 12/09/2024    | 2.391             |
| 3135G06M0                               | 30698        | FEDERAL NATIONAL MTG   | 10,000,000.00 | 10,000,000.00 | 9,386,400.00  | 9,386,400.00   | AA+ | Aaa     | 0.30           | 3.112         | 899              | 12/16/2024    | 2.408             |
| 3130AKJ49                               | 30707        | FEDERAL HOME LOAN BANK | 10,000,000.00 | 10,000,000.00 | 9,430,200.00  | 9,430,200.00   | AA+ | Aaa     | 0.30           | 2.838         | 906              | 12/23/2024    | 2.432             |
| 3135G0X24                               | 30949        | FEDERAL NATIONAL MTG   | 10,835,000.00 | 11,000,186.64 | 10,462,167.65 | 10,462,167.65  | AA+ | Aaa     | 0.33           | 3.056         | 921              | 01/07/2025    | 2.418             |
| 3133EMER9                               | 30653        | FEDERAL FARM CREDIT    | 4,000,000.00  | 3,996,931.74  | 3,730,400.00  | 3,730,400.00   | AA+ | Aaa     | 0.12           | 3.221         | 941              | 01/27/2025    | 2.513             |
| 3133EMJP8                               | 30687        | FEDERAL FARM CREDIT    | 10,000,000.00 | 9,995,250.00  | 9,305,700.00  | 9,305,700.00   | AA+ | Aaa     | 0.29           | 3.203         | 983              | 03/10/2025    | 2.630             |
| 3133EMUP5                               | 30757        | FEDERAL FARM CREDIT    | 10,000,000.00 | 10,000,000.00 | 9,356,900.00  | 9,356,900.00   | AA+ | Aaa     | 0.29           | 3.171         | 1,005            | 04/01/2025    | 2.680             |
| 3134GXKG8                               | 30716        | FEDERAL HOME LOAN MTG  | 10,000,000.00 | 10,000,000.00 | 9,282,600.00  | 9,282,600.00   | AA+ | Aaa     | 0.29           | 3.079         | 1,019            | 04/15/2025    | 2.732             |
| 3130ALT46                               | 30786        | FEDERAL HOME LOAN BANK | 3,315,000.00  | 3,313,382.10  | 3,106,353.90  | 3,106,353.90   | AA+ | Aaa     | 0.10           | 3.083         | 1,019            | 04/15/2025    | 2.719             |
| 3130AKM29                               | 30717        | FEDERAL HOME LOAN BANK | 10,000,000.00 | 10,000,000.00 | 9,278,800.00  | 9,278,800.00   | AA+ | Aaa     | 0.29           | 2.896         | 1,110            | 07/15/2025    | 2.973             |
| 3133ELX33                               | 30510        | FEDERAL FARM CREDIT    | 20,000,000.00 | 19,993,252.92 | 18,577,200.00 | 18,577,200.00  | AA+ | Aaa     | 0.58           | 3.148         | 1,117            | 07/22/2025    | 2.973             |
| 3136G4H63                               | 30529        | FEDERAL NATIONAL MTG   | 25,000,000.00 | 25,000,000.00 | 23,131,750.00 | 23,131,750.00  | AA+ | Aaa     | 0.73           | 3.070         | 1,145            | 08/19/2025    | 3.056             |
| 3136G4M83                               | 30538        | FEDERAL NATIONAL MTG   | 20,000,000.00 | 20,000,000.00 | 18,522,000.00 | 18,522,000.00  | AA+ | Aaa     | 0.58           | 3.073         | 1,154            | 08/28/2025    | 3.078             |
| 3130ALBE3                               | 30767        | FEDERAL HOME LOAN BANK | 3,180,000.00  | 3,150,622.55  | 2,930,211.00  | 2,930,211.00   | AA+ | Aaa     | 0.09           | 3.104         | 1,167            | 09/10/2025    | 3.116             |
| 3130A8ZQ9                               | 30944        | FEDERAL HOME LOAN BANK | 8,845,000.00  | 8,999,430.87  | 8,487,838.90  | 8,487,838.90   | AA+ | Aaa     | 0.27           | 3.087         | 1,169            | 09/12/2025    | 3.057             |
| 3134GWUG9                               | 30647        | FEDERAL HOME LOAN MTG  | 3,000,000.00  | 2,999,602.17  | 2,761,080.00  | 2,761,080.00   | AA+ | Aaa     | 0.09           | 3.184         | 1,181            | 09/24/2025    | 3.149             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID                             | Investment # | Issuer                 | Par Value     | Book Value    | Market Value  | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|---|--------------|------------------------|---------------|---------------|---------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Federal Agency Coupon Securities</b> |              |                        |               |               |               |                |     |         |                |               |                  |               |                   |
| 3130ALSQ8                               | 30781        | FEDERAL HOME LOAN BANK | 15,000,000.00 | 15,000,000.00 | 13,998,300.00 | 13,998,300.00  | AA+ | Aaa     | 0.44           | 3.010         | 1,201            | 10/14/2025    | 3.191             |
| 3135G06A6                               | 30639        | FEDERAL NATIONAL MTG   | 13,000,000.00 | 12,992,643.65 | 11,987,820.00 | 11,987,820.00  | AA+ | Aaa     | 0.38           | 3.077         | 1,207            | 10/20/2025    | 3.220             |
| 3133EMDZ2                               | 30640        | FEDERAL FARM CREDIT    | 15,000,000.00 | 14,977,512.60 | 13,738,050.00 | 13,738,050.00  | AA+ | Aaa     | 0.43           | 3.224         | 1,208            | 10/21/2025    | 3.224             |
| 3133EMEC2                               | 30660        | FEDERAL FARM CREDIT    | 16,640,000.00 | 16,609,819.94 | 15,279,180.80 | 15,279,180.80  | AA+ | Aaa     | 0.48           | 3.153         | 1,209            | 10/22/2025    | 3.228             |
| 3134GW5P7                               | 30622        | FEDERAL HOME LOAN MTG  | 10,000,000.00 | 10,000,000.00 | 9,168,700.00  | 9,168,700.00   | AA+ | Aaa     | 0.29           | 3.261         | 1,214            | 10/27/2025    | 3.236             |
| 3136G45C3                               | 30661        | FEDERAL NATIONAL MTG   | 6,000,000.00  | 5,992,933.03  | 5,521,500.00  | 5,521,500.00   | AA+ | Aaa     | 0.17           | 3.084         | 1,214            | 10/27/2025    | 3.242             |
| 3134GW3H7                               | 30624        | FEDERAL HOME LOAN MTG  | 13,800,000.00 | 13,800,000.00 | 12,683,856.00 | 12,683,856.00  | AA+ | Aaa     | 0.40           | 3.181         | 1,216            | 10/29/2025    | 3.265             |
| 3130AKCT1                               | 30643        | FEDERAL HOME LOAN BANK | 11,725,000.00 | 11,708,520.81 | 10,740,569.00 | 10,740,569.00  | AA+ | Aaa     | 0.34           | 3.221         | 1,216            | 10/29/2025    | 3.245             |
| 3135G06C2                               | 30633        | FEDERAL NATIONAL MTG   | 20,000,000.00 | 20,000,000.00 | 18,443,200.00 | 18,443,200.00  | AA+ | Aaa     | 0.58           | 3.079         | 1,216            | 10/29/2025    | 3.244             |
| 3135G06G3                               | 30945        | FEDERAL NATIONAL MTG   | 1,500,000.00  | 1,464,896.63  | 1,377,150.00  | 1,377,150.00   | AA+ | Aaa     | 0.04           | 3.093         | 1,225            | 11/07/2025    | 3.271             |
| 3134GXAP9                               | 30649        | FEDERAL HOME LOAN MTG  | 10,000,000.00 | 10,000,000.00 | 9,263,600.00  | 9,263,600.00   | AA+ | Aaa     | 0.29           | 2.914         | 1,230            | 11/12/2025    | 3.283             |
| 3134GXB5                                | 30667        | FEDERAL HOME LOAN MTG  | 10,000,000.00 | 9,993,904.36  | 9,166,900.00  | 9,166,900.00   | AA+ | Aaa     | 0.29           | 3.234         | 1,230            | 11/12/2025    | 3.277             |
| 3135GA2Z3                               | 30668        | FEDERAL NATIONAL MTG   | 10,000,000.00 | 9,983,045.18  | 9,196,800.00  | 9,196,800.00   | AA+ | Aaa     | 0.29           | 3.082         | 1,235            | 11/17/2025    | 3.295             |
| 3135GA4P3                               | 30654        | FEDERAL NATIONAL MTG   | 10,000,000.00 | 10,000,000.00 | 9,225,100.00  | 9,225,100.00   | AA+ | Aaa     | 0.29           | 3.081         | 1,236            | 11/18/2025    | 3.293             |
| 3133EMHF2                               | 30676        | FEDERAL FARM CREDIT    | 9,745,000.00  | 9,736,358.85  | 8,952,634.05  | 8,952,634.05   | AA+ | Aaa     | 0.28           | 3.142         | 1,242            | 11/24/2025    | 3.312             |
| 3135GA4V0                               | 30669        | FEDERAL NATIONAL MTG   | 2,000,000.00  | 2,000,000.00  | 1,842,460.00  | 1,842,460.00   | AA+ | Aaa     | 0.06           | 3.083         | 1,243            | 11/25/2025    | 3.314             |
| 3135G06J7                               | 30686        | FEDERAL NATIONAL MTG   | 10,000,000.00 | 9,999,311.67  | 9,210,600.00  | 9,210,600.00   | AA+ | Aaa     | 0.29           | 3.085         | 1,258            | 12/10/2025    | 3.354             |
| 3130AKJW7                               | 30696        | FEDERAL HOME LOAN BANK | 15,000,000.00 | 15,000,000.00 | 13,743,000.00 | 13,743,000.00  | AA+ | Aaa     | 0.43           | 3.180         | 1,263            | 12/15/2025    | 3.368             |
| 3130AKJR8                               | 30699        | FEDERAL HOME LOAN BANK | 4,840,000.00  | 4,838,660.93  | 4,468,772.00  | 4,468,772.00   | AA+ | Aaa     | 0.14           | 2.918         | 1,264            | 12/16/2025    | 3.378             |
| 3130AKHR0                               | 30705        | FEDERAL HOME LOAN BANK | 18,900,000.00 | 18,885,597.15 | 17,480,988.00 | 17,480,988.00  | AA+ | Aaa     | 0.55           | 2.920         | 1,266            | 12/18/2025    | 3.380             |
| 3134GXHD9                               | 30708        | FEDERAL HOME LOAN MTG  | 10,000,000.00 | 10,000,000.00 | 9,157,900.00  | 9,157,900.00   | AA+ | Aaa     | 0.29           | 3.282         | 1,271            | 12/23/2025    | 3.383             |
| 3135GABA8                               | 30710        | FEDERAL NATIONAL MTG   | 10,000,000.00 | 10,000,000.00 | 9,191,400.00  | 9,191,400.00   | AA+ | Aaa     | 0.29           | 3.082         | 1,278            | 12/30/2025    | 3.409             |
| 3134GXJX3                               | 30711        | FEDERAL HOME LOAN MTG  | 1,845,000.00  | 1,845,000.00  | 1,700,185.95  | 1,700,185.95   | AA+ | Aaa     | 0.05           | 2.918         | 1,284            | 01/05/2026    | 3.420             |
| 3134GXKJ2                               | 30719        | FEDERAL HOME LOAN MTG  | 8,225,000.00  | 8,223,829.31  | 7,570,454.50  | 7,570,454.50   | AA+ | Aaa     | 0.24           | 2.921         | 1,301            | 01/22/2026    | 3.466             |
| 3130AKQ74                               | 30720        | FEDERAL HOME LOAN BANK | 10,000,000.00 | 10,000,000.00 | 9,135,800.00  | 9,135,800.00   | AA+ | Aaa     | 0.29           | 3.215         | 1,301            | 01/22/2026    | 3.456             |
| 3135G06R9                               | 30725        | FEDERAL NATIONAL MTG   | 15,000,000.00 | 15,000,000.00 | 13,723,050.00 | 13,723,050.00  | AA+ | Aaa     | 0.43           | 3.083         | 1,307            | 01/28/2026    | 3.480             |
| 3130ALCV4                               | 30768        | FEDERAL HOME LOAN BANK | 2,500,000.00  | 2,482,596.77  | 2,280,625.00  | 2,280,625.00   | AA+ | Aaa     | 0.07           | 3.325         | 1,334            | 02/24/2026    | 3.532             |
| 3130ALGJ7                               | 30753        | FEDERAL HOME LOAN BANK | 8,116,875.00  | 8,116,875.00  | 7,322,314.11  | 7,322,314.11   | AA+ | Aaa     | 0.23           | 3.844         | 1,361            | 03/23/2026    | 3.584             |
| 3133EMUK6                               | 30769        | FEDERAL FARM CREDIT    | 10,000,000.00 | 10,000,000.00 | 9,332,600.00  | 9,332,600.00   | AA+ | Aaa     | 0.29           | 2.951         | 1,363            | 03/25/2026    | 3.604             |
| 3130ALV35                               | 30782        | FEDERAL HOME LOAN BANK | 10,000,000.00 | 10,000,000.00 | 9,389,500.00  | 9,389,500.00   | AA+ | Aaa     | 0.30           | 2.398         | 1,382            | 04/13/2026    | 3.671             |
| 3130ALXJ8                               | 30799        | FEDERAL HOME LOAN BANK | 20,000,000.00 | 20,000,000.00 | 18,696,600.00 | 18,696,600.00  | AA+ | Aaa     | 0.59           | 2.964         | 1,398            | 04/29/2026    | 3.690             |
| 3130AMDD1                               | 30821        | FEDERAL HOME LOAN BANK | 4,950,000.00  | 4,949,230.12  | 4,621,221.00  | 4,621,221.00   | AA+ | Aaa     | 0.15           | 2.947         | 1,419            | 05/20/2026    | 3.750             |
| 3130AMJN3                               | 30825        | FEDERAL HOME LOAN BANK | 10,000,000.00 | 10,000,000.00 | 9,294,600.00  | 9,294,600.00   | AA+ | Aaa     | 0.29           | 2.957         | 1,425            | 05/26/2026    | 3.772             |
| 3130AML91                               | 30831        | FEDERAL HOME LOAN BANK | 10,000,000.00 | 10,000,000.00 | 9,349,100.00  | 9,349,100.00   | AA+ | Aaa     | 0.29           | 2.458         | 1,426            | 05/27/2026    | 3.793             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID                             | Investment # | Issuer                  | Par Value               | Book Value              | Market Value          | Reported Value        | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|---|--------------|-------------------------|-------------------------|-------------------------|-----------------------|-----------------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Federal Agency Coupon Securities</b> |              |                         |                         |                         |                       |                       |     |         |                |               |                  |               |                   |
| 3130AR3G4                               | 30987        | FEDERAL HOME LOAN BANK  | 5,265,000.00            | 5,265,000.00            | 5,134,164.75          | 5,134,164.75          | AA+ | Aaa     | 0.16           | 2.437         | 1,713            | 03/10/2027    | 4.407             |
| <b>Subtotal</b>                         |              |                         | <b>1,008,040,763.89</b> | <b>1,009,669,265.68</b> | <b>958,827,516.03</b> | <b>958,827,516.03</b> |     |         | <b>30.17</b>   | <b>2.913</b>  | <b>826</b>       |               | <b>2.201</b>      |
| <b>Treasury Coupon Securities</b>       |              |                         |                         |                         |                       |                       |     |         |                |               |                  |               |                   |
| 912828ZY9                               | 30911        | U.S. Treasury           | 10,000,000.00           | 9,978,482.92            | 9,712,100.00          | 9,712,100.00          | AA+ | Aaa     | 0.31           | 2.960         | 379              | 07/15/2023    | 1.022             |
| 912828ZY9                               | 30914        | U.S. Treasury           | 15,000,000.00           | 14,963,577.64           | 14,568,150.00         | 14,568,150.00         | AA+ | Aaa     | 0.46           | 2.960         | 379              | 07/15/2023    | 1.022             |
| 91282CCN9                               | 30915        | U.S. Treasury           | 25,000,000.00           | 24,920,087.68           | 24,250,000.00         | 24,250,000.00         | AA+ | Aaa     | 0.76           | 2.959         | 395              | 07/31/2023    | 1.065             |
| 91282CCN9                               | 31003        | U.S. Treasury           | 15,000,000.00           | 14,671,370.75           | 14,550,000.00         | 14,550,000.00         | AA+ | Aaa     | 0.46           | 2.959         | 395              | 07/31/2023    | 1.065             |
| 91282CBE0                               | 30925        | U.S. Treasury           | 15,000,000.00           | 14,901,404.89           | 14,358,450.00         | 14,358,450.00         | AA+ | Aaa     | 0.45           | 2.989         | 563              | 01/15/2024    | 1.513             |
| 9128285Z9                               | 30926        | U.S. Treasury           | 15,000,000.00           | 15,461,456.91           | 14,887,500.00         | 14,887,500.00         | AA+ | Aaa     | 0.47           | 2.989         | 579              | 01/31/2024    | 1.522             |
| 91282CDV0                               | 31005        | U.S. Treasury           | 10,000,000.00           | 9,776,451.21            | 9,676,600.00          | 9,676,600.00          | AA+ | Aaa     | 0.30           | 2.982         | 579              | 01/31/2024    | 1.546             |
| 91282CBM2                               | 30927        | U.S. Treasury           | 15,000,000.00           | 14,892,391.30           | 14,331,450.00         | 14,331,450.00         | AA+ | Aaa     | 0.45           | 2.959         | 594              | 02/15/2024    | 1.598             |
| 91282CBR1                               | 30928        | U.S. Treasury           | 15,000,000.00           | 14,921,349.90           | 14,322,150.00         | 14,322,150.00         | AA+ | Aaa     | 0.45           | 2.988         | 623              | 03/15/2024    | 1.677             |
| 91282CCC3                               | 30952        | U.S. Treasury           | 25,000,000.00           | 24,737,366.84           | 23,760,750.00         | 23,760,750.00         | AA+ | Aaa     | 0.75           | 2.992         | 684              | 05/15/2024    | 1.840             |
| 912828XT2                               | 30948        | U.S. Treasury           | 10,000,000.00           | 10,220,700.95           | 9,819,100.00          | 9,819,100.00          | AA+ | Aaa     | 0.31           | 2.979         | 700              | 05/31/2024    | 1.857             |
| 91282CCG4                               | 30953        | U.S. Treasury           | 25,000,000.00           | 24,705,680.57           | 23,707,000.00         | 23,707,000.00         | AA+ | Aaa     | 0.75           | 2.993         | 715              | 06/15/2024    | 1.923             |
| 91282CCL3                               | 30951        | U.S. Treasury           | 25,000,000.00           | 24,729,682.94           | 23,713,000.00         | 23,713,000.00         | AA+ | Aaa     | 0.75           | 2.997         | 745              | 07/15/2024    | 1.998             |
| 91282CCL3                               | 31006        | U.S. Treasury           | 25,000,000.00           | 23,924,226.36           | 23,713,000.00         | 23,713,000.00         | AA+ | Aaa     | 0.75           | 2.997         | 745              | 07/15/2024    | 1.998             |
| 9128282N9                               | 30955        | U.S. Treasury           | 25,000,000.00           | 25,640,821.56           | 24,562,500.00         | 24,562,500.00         | AA+ | Aaa     | 0.77           | 2.998         | 761              | 07/31/2024    | 2.000             |
| 912828Y87                               | 31016        | U.S. Treasury           | 25,000,000.00           | 24,614,971.15           | 24,373,000.00         | 24,373,000.00         | AA+ | Aaa     | 0.77           | 3.001         | 761              | 07/31/2024    | 2.009             |
| 912828D56                               | 31007        | U.S. Treasury           | 25,000,000.00           | 24,991,140.52           | 24,669,000.00         | 24,669,000.00         | AA+ | Aaa     | 0.78           | 3.025         | 776              | 08/15/2024    | 2.034             |
| 91282CCX7                               | 31010        | U.S. Treasury           | 20,000,000.00           | 19,053,549.17           | 18,876,600.00         | 18,876,600.00         | AA+ | Aaa     | 0.59           | 3.027         | 807              | 09/15/2024    | 2.163             |
| 91282CDB4                               | 31012        | U.S. Treasury           | 20,000,000.00           | 19,086,670.52           | 18,949,200.00         | 18,949,200.00         | AA+ | Aaa     | 0.60           | 3.018         | 837              | 10/15/2024    | 2.239             |
| 91282CDH1                               | 31014        | U.S. Treasury           | 20,000,000.00           | 19,095,833.33           | 18,964,000.00         | 18,964,000.00         | AA+ | Aaa     | 0.60           | 3.030         | 868              | 11/15/2024    | 2.318             |
| 91282CDS7                               | 31017        | U.S. Treasury           | 20,000,000.00           | 19,198,649.90           | 19,073,400.00         | 19,073,400.00         | AA+ | Aaa     | 0.60           | 3.035         | 929              | 01/15/2025    | 2.458             |
| 912828Z52                               | 31020        | U.S. Treasury           | 20,000,000.00           | 19,318,278.58           | 19,182,000.00         | 19,182,000.00         | AA+ | Aaa     | 0.60           | 3.033         | 945              | 01/31/2025    | 2.492             |
| <b>Subtotal</b>                         |              |                         | <b>420,000,000.00</b>   | <b>413,804,145.59</b>   | <b>404,018,950.00</b> | <b>404,018,950.00</b> |     |         | <b>12.73</b>   | <b>2.997</b>  | <b>691</b>       |               | <b>1.843</b>      |
| <b>SupraNationals</b>                   |              |                         |                         |                         |                       |                       |     |         |                |               |                  |               |                   |
| 459058GU1                               | 30446        | INTL BANK RECON & DEV   | 1,500,000.00            | 1,500,000.00            | 1,500,000.00          | 1,500,000.00          | AAA | Aaa     | 0.05           | 2.104         | 0                | 07/01/2022    | 0.000             |
| 459058GU1                               | 30494        | INTL BANK RECON & DEV   | 1,720,000.00            | 1,720,000.00            | 1,720,000.00          | 1,720,000.00          | AAA | Aaa     | 0.05           | 2.104         | 0                | 07/01/2022    | 0.000             |
| 459058GU1                               | 30506        | INTL BANK RECON & DEV   | 19,000,000.00           | 19,000,000.00           | 19,000,000.00         | 19,000,000.00         | AAA | Aaa     | 0.60           | 2.104         | 0                | 07/01/2022    | 0.000             |
| 459058GU1                               | 30573        | INTL BANK RECON & DEV   | 1,100,000.00            | 1,100,000.00            | 1,100,000.00          | 1,100,000.00          | AAA | Aaa     | 0.04           | 2.104         | 0                | 07/01/2022    | 0.000             |
| 4581X0CZ9                               | 30479        | INTER AMERICAN DEV BANK | 10,000,000.00           | 10,029,318.92           | 9,992,900.00          | 9,992,900.00          | AAA | Aaa     | 0.31           | 2.086         | 75               | 09/14/2022    | 0.205             |
| 4581X0CZ9                               | 30484        | INTER AMERICAN DEV BANK | 1,450,000.00            | 1,454,444.08            | 1,448,970.50          | 1,448,970.50          | AAA | Aaa     | 0.05           | 2.086         | 75               | 09/14/2022    | 0.205             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID           | Investment # | Issuer                  | Par Value     | Book Value    | Market Value  | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|-----------------------|--------------|-------------------------|---------------|---------------|---------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>SupraNationals</b> |              |                         |               |               |               |                |     |         |                |               |                  |               |                   |
| 4581X0CZ9             | 30486        | INTER AMERICAN DEV BANK | 18,550,000.00 | 18,606,774.12 | 18,536,829.50 | 18,536,829.50  | AAA | Aaa     | 0.58           | 2.086         | 75               | 09/14/2022    | 0.205             |
| 45950VLH7             | 30533        | INTL FINANCE CORP       | 9,330,000.00  | 9,382,470.89  | 9,318,617.40  | 9,318,617.40   | AAA | Aaa     | 0.29           | 2.379         | 115              | 10/24/2022    | 0.315             |
| 4581X0DA3             | 30677        | INTER AMERICAN DEV BANK | 9,544,000.00  | 9,662,241.68  | 9,536,460.24  | 9,536,460.24   | AAA | Aaa     | 0.30           | 2.648         | 201              | 01/18/2023    | 0.534             |
| 459056LD7             | 30605        | INTL BANK RECON & DEV   | 800,000.00    | 832,085.25    | 820,816.00    | 820,816.00     | AAA | Aaa     | 0.03           | 2.828         | 202              | 01/19/2023    | 0.525             |
| 459056LD7             | 30868        | INTL BANK RECON & DEV   | 2,250,000.00  | 2,341,235.66  | 2,308,545.00  | 2,308,545.00   | AAA | Aaa     | 0.07           | 2.828         | 202              | 01/19/2023    | 0.525             |
| 4581X0DM7             | 30814        | INTER AMERICAN DEV BANK | 6,570,000.00  | 6,586,965.20  | 6,428,810.70  | 6,428,810.70   | AAA | Aaa     | 0.20           | 2.945         | 327              | 05/24/2023    | 0.882             |
| 459058GX5             | 30770        | INTL BANK RECON & DEV   | 23,000,000.00 | 23,363,655.15 | 22,769,080.00 | 22,769,080.00  | AAA | Aaa     | 0.72           | 2.937         | 353              | 06/19/2023    | 0.947             |
| 45950KCP3             | 30589        | INTL FINANCE CORP       | 1,000,000.00  | 1,028,201.54  | 999,870.00    | 999,870.00     | AAA | Aaa     | 0.03           | 2.890         | 395              | 07/31/2023    | 1.044             |
| 45950KCP3             | 30856        | INTL FINANCE CORP       | 1,280,000.00  | 1,316,672.27  | 1,279,833.60  | 1,279,833.60   | AAA | Aaa     | 0.04           | 2.890         | 395              | 07/31/2023    | 1.044             |
| 45950VQC3             | 30888        | INTL FINANCE CORP       | 20,000,000.00 | 20,000,000.00 | 19,348,600.00 | 19,348,600.00  | AAA | Aaa     | 0.61           | 2.969         | 451              | 09/25/2023    | 1.213             |
| 45950VQH2             | 30902        | INTL FINANCE CORP       | 10,000,000.00 | 9,997,518.78  | 9,678,000.00  | 9,678,000.00   | AAA | Aaa     | 0.30           | 2.895         | 453              | 09/27/2023    | 1.219             |
| 4581X0CC0             | 30820        | INTER AMERICAN DEV BANK | 13,000,000.00 | 13,447,149.77 | 12,989,210.00 | 12,989,210.00  | AAA | Aaa     | 0.41           | 3.070         | 460              | 10/04/2023    | 1.217             |
| 45950VPF7             | 30621        | INTL FINANCE CORP       | 10,950,000.00 | 10,950,000.00 | 10,566,421.50 | 10,566,421.50  | AAA | Aaa     | 0.33           | 3.037         | 483              | 10/27/2023    | 1.299             |
| 4581X0DP0             | 30544        | INTER AMERICAN DEV BANK | 20,000,000.00 | 19,986,375.37 | 19,296,000.00 | 19,296,000.00  | AAA | Aaa     | 0.61           | 2.885         | 502              | 11/15/2023    | 1.351             |
| 4581X0CF3             | 30920        | INTER AMERICAN DEV BANK | 1,822,000.00  | 1,892,327.00  | 1,819,941.14  | 1,819,941.14   | AAA | Aaa     | 0.06           | 3.073         | 600              | 02/21/2024    | 1.570             |
| 45950VQQ2             | 30938        | INTL FINANCE CORP       | 15,000,000.00 | 14,998,767.59 | 14,460,900.00 | 14,460,900.00  | AAA | Aaa     | 0.46           | 2.882         | 614              | 03/06/2024    | 1.646             |
| 459058GQ0             | 31037        | INTL BANK RECON & DEV   | 10,000,000.00 | 9,953,599.60  | 9,913,500.00  | 9,913,500.00   | AAA | Aaa     | 0.31           | 3.022         | 627              | 03/19/2024    | 1.654             |
| 45818WCJ3             | 30438        | INTER AMERICAN DEV BANK | 1,500,000.00  | 1,550,835.01  | 1,481,955.00  | 1,481,955.00   | AAA | Aaa     | 0.05           | 3.079         | 648              | 04/09/2024    | 1.711             |
| 45950VRF5             | 31039        | INTL FINANCE CORP       | 8,000,000.00  | 7,994,850.56  | 8,002,560.00  | 8,002,560.00   | AAA | Aaa     | 0.25           | 2.633         | 724              | 06/24/2024    | 1.916             |
| 459056HV2             | 30850        | INTL BANK RECON & DEV   | 20,000,000.00 | 20,481,051.43 | 19,360,400.00 | 19,360,400.00  | AAA | Aaa     | 0.61           | 3.043         | 789              | 08/28/2024    | 2.088             |
| 45950VQD1             | 30895        | INTL FINANCE CORP       | 20,000,000.00 | 19,994,873.56 | 18,845,000.00 | 18,845,000.00  | AAA | Aaa     | 0.59           | 3.245         | 795              | 09/03/2024    | 2.126             |
| 4581X0DZ8             | 30971        | INTER AMERICAN DEV BANK | 10,000,000.00 | 9,777,770.31  | 9,450,200.00  | 9,450,200.00   | AAA | Aaa     | 0.30           | 3.072         | 815              | 09/23/2024    | 2.181             |
| 45818WCW4             | 30648        | INTER AMERICAN DEV BANK | 10,000,000.00 | 9,997,953.12  | 9,370,100.00  | 9,370,100.00   | AAA | Aaa     | 0.30           | 3.217         | 837              | 10/15/2024    | 2.244             |
| 45818WDQ6             | 30973        | INTER AMERICAN DEV BANK | 10,000,000.00 | 9,995,122.42  | 9,690,200.00  | 9,690,200.00   | AAA | Aaa     | 0.31           | 2.637         | 955              | 02/10/2025    | 2.522             |
| 4581X0DK1             | 30998        | INTER AMERICAN DEV BANK | 4,300,000.00  | 4,192,768.50  | 4,149,328.00  | 4,149,328.00   | AAA | Aaa     | 0.13           | 3.112         | 987              | 03/14/2025    | 2.596             |
| 45950VNY8             | 30498        | INTL FINANCE CORP       | 20,000,000.00 | 20,000,000.00 | 18,488,400.00 | 18,488,400.00  | AAA | Aaa     | 0.58           | 2.997         | 1,110            | 07/15/2025    | 2.970             |
| 4581X0DN5             | 31001        | INTER AMERICAN DEV BANK | 18,337,000.00 | 17,218,886.11 | 16,981,528.96 | 16,981,528.96  | AAA | Aaa     | 0.53           | 3.197         | 1,110            | 07/15/2025    | 2.957             |
| 4581X0DN5             | 31009        | INTER AMERICAN DEV BANK | 1,000,000.00  | 939,984.20    | 926,080.00    | 926,080.00     | AAA | Aaa     | 0.03           | 3.197         | 1,110            | 07/15/2025    | 2.957             |
| 45950KCT5             | 31027        | INTL FINANCE CORP       | 7,000,000.00  | 6,500,079.54  | 6,454,490.00  | 6,454,490.00   | AAA | Aaa     | 0.20           | 3.079         | 1,111            | 07/16/2025    | 2.974             |
| 45950VPD2             | 30607        | INTL FINANCE CORP       | 20,000,000.00 | 19,986,815.14 | 18,369,200.00 | 18,369,200.00  | AAA | Aaa     | 0.58           | 2.866         | 1,202            | 10/15/2025    | 3.223             |
| 45950VPE0             | 30625        | INTL FINANCE CORP       | 12,000,000.00 | 11,994,030.25 | 10,998,840.00 | 10,998,840.00  | AAA | Aaa     | 0.35           | 2.929         | 1,202            | 10/15/2025    | 3.221             |
| 45950VPH3             | 30672        | INTL FINANCE CORP       | 10,000,000.00 | 10,000,000.00 | 9,202,000.00  | 9,202,000.00   | AAA | Aaa     | 0.29           | 2.744         | 1,233            | 11/15/2025    | 3.307             |
| 45950VPH3             | 30690        | INTL FINANCE CORP       | 1,250,000.00  | 1,249,144.59  | 1,150,250.00  | 1,150,250.00   | AAA | Aaa     | 0.04           | 2.744         | 1,233            | 11/15/2025    | 3.307             |
| 45906M2L4             | 30860        | INTL BANK RECON & DEV   | 10,000,000.00 | 9,910,175.49  | 9,146,000.00  | 9,146,000.00   | AAA | Aaa     | 0.29           | 3.147         | 1,334            | 02/24/2026    | 3.543             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                  | Par Value             | Book Value            | Market Value          | Reported Value        | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|-------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>SupraNationals</b>  |              |                         |                       |                       |                       |                       |     |         |                |               |                  |               |                   |
| 45950VPT7              | 30758        | INTL FINANCE CORP       | 1,990,000.00          | 1,986,279.90          | 1,837,705.30          | 1,837,705.30          | AAA | Aaa     | 0.06           | 2.684         | 1,353            | 03/15/2026    | 3.615             |
| 45950VPY6              | 30833        | INTL FINANCE CORP       | 10,000,000.00         | 9,998,049.80          | 9,383,500.00          | 9,383,500.00          | AAA | Aaa     | 0.30           | 2.169         | 1,414            | 05/15/2026    | 3.783             |
| 459058KB8              | 30941        | INTL BANK RECON & DEV   | 15,000,000.00         | 14,986,707.25         | 13,864,500.00         | 13,864,500.00         | AAA | Aaa     | 0.44           | 2.551         | 1,589            | 11/06/2026    | 4.175             |
| 45906M2S9              | 30933        | INTL BANK RECON & DEV   | 10,000,000.00         | 9,979,862.22          | 9,213,900.00          | 9,213,900.00          | AAA | Aaa     | 0.29           | 3.167         | 1,600            | 11/17/2026    | 4.197             |
| <b>Subtotal</b>        |              |                         | <b>428,243,000.00</b> | <b>427,885,042.27</b> | <b>411,199,442.84</b> | <b>411,199,442.84</b> |     |         | <b>12.97</b>   | <b>2.817</b>  | <b>713</b>       |               | <b>1.900</b>      |
| <b>Corporate Notes</b> |              |                         |                       |                       |                       |                       |     |         |                |               |                  |               |                   |
| 65558TY82              | 30714        | NORDEA BANK NY          | 25,000,000.00         | 25,000,000.00         | 24,994,250.00         | 24,994,250.00         | AA- | Aa3     | 0.79           | 2.697         | 4                | 07/05/2022    | 0.010             |
| 89236THD0              | 30509        | TOYOTA                  | 1,000,000.00          | 1,000,034.07          | 999,230.00            | 999,230.00            | A+  | A1      | 0.03           | 1.708         | 21               | 07/22/2022    | 0.057             |
| 89236THD0              | 30512        | TOYOTA                  | 5,000,000.00          | 5,000,188.24          | 4,996,150.00          | 4,996,150.00          | A+  | A1      | 0.16           | 1.708         | 21               | 07/22/2022    | 0.057             |
| 89236THD0              | 30664        | TOYOTA                  | 7,013,000.00          | 7,013,598.45          | 7,007,599.99          | 7,007,599.99          | A+  | A1      | 0.22           | 1.708         | 21               | 07/22/2022    | 0.057             |
| 89236THD0              | 30688        | TOYOTA                  | 3,805,000.00          | 3,805,459.35          | 3,802,070.15          | 3,802,070.15          | A+  | A1      | 0.12           | 1.708         | 21               | 07/22/2022    | 0.057             |
| 89236THD0              | 30775        | TOYOTA                  | 12,254,000.00         | 12,255,496.91         | 12,244,564.42         | 12,244,564.42         | A+  | A1      | 0.39           | 1.708         | 21               | 07/22/2022    | 0.057             |
| 110122CX4              | 30862        | BRISTOL MEYERS SQUIBB   | 2,860,000.00          | 2,871,181.70          | 2,863,575.00          | 2,863,575.00          | A+  | A2      | 0.09           | 2.513         | 45               | 08/15/2022    | 0.123             |
| 06406RAK3              | 30715        | BANK OF NEW YORK        | 21,750,000.00         | 21,803,240.71         | 21,737,820.00         | 21,737,820.00         | A   | A1      | 0.68           | 2.316         | 53               | 08/23/2022    | 0.145             |
| 68389XAP0              | 30121        | ORACLE CORP             | 10,000,000.00         | 10,016,911.55         | 9,978,600.00          | 9,978,600.00          | A   | A3      | 0.31           | 3.224         | 106              | 10/15/2022    | 0.290             |
| 594918BH6              | 30185        | MICROSOFT CORP          | 17,340,000.00         | 17,384,324.34         | 17,352,138.00         | 17,352,138.00         | AAA | Aaa     | 0.55           | 2.433         | 125              | 11/03/2022    | 0.342             |
| 90520EAK7              | 30552        | MUFG UNION BANK         | 5,595,000.00          | 5,633,708.26          | 5,571,780.75          | 5,571,780.75          | A   | A2      | 0.18           | 3.049         | 161              | 12/09/2022    | 0.441             |
| 90520EAK7              | 30575        | MUFG UNION BANK         | 5,600,000.00          | 5,639,191.96          | 5,576,760.00          | 5,576,760.00          | A   | A2      | 0.18           | 3.049         | 161              | 12/09/2022    | 0.441             |
| 89233P7F7              | 29991        | TOYOTA                  | 13,000,000.00         | 12,940,081.34         | 12,961,130.00         | 12,961,130.00         | A+  | A1      | 0.41           | 3.205         | 193              | 01/10/2023    | 0.510             |
| 65558UFV9              | 30892        | NORDEA BANK NY          | 25,000,000.00         | 25,000,000.00         | 24,683,500.00         | 24,683,500.00         | AA- | Aa3     | 0.78           | 2.314         | 228              | 02/14/2023    | 0.614             |
| 037833BU3              | 30641        | APPLE                   | 7,521,000.00          | 7,634,522.05          | 7,523,782.77          | 7,523,782.77          | AA+ | Aaa     | 0.24           | 2.795         | 237              | 02/23/2023    | 0.628             |
| 037833BU3              | 30739        | APPLE                   | 5,641,000.00          | 5,728,327.12          | 5,643,087.17          | 5,643,087.17          | AA+ | Aaa     | 0.18           | 2.795         | 237              | 02/23/2023    | 0.628             |
| 037833BU3              | 30815        | APPLE                   | 6,886,000.00          | 6,990,596.82          | 6,888,547.82          | 6,888,547.82          | AA+ | Aaa     | 0.22           | 2.795         | 237              | 02/23/2023    | 0.628             |
| 084670BR8              | 30006        | BERKSHIRE HATHAWAY      | 12,315,000.00         | 12,275,587.91         | 12,313,645.35         | 12,313,645.35         | AA  | Aa2     | 0.39           | 2.769         | 257              | 03/15/2023    | 0.688             |
| 19416QEC0              | 30328        | COLGATE-PALMOLIVE       | 5,000,000.00          | 5,036,958.48          | 4,966,900.00          | 4,966,900.00          | AA- | Aa3     | 0.16           | 2.912         | 304              | 05/01/2023    | 0.816             |
| 037833AK6              | 30048        | APPLE                   | 7,435,000.00          | 7,420,476.56          | 7,392,025.70          | 7,392,025.70          | AA+ | Aaa     | 0.23           | 3.106         | 306              | 05/03/2023    | 0.819             |
| 037833AK6              | 30305        | APPLE                   | 20,000,000.00         | 20,033,813.21         | 19,884,400.00         | 19,884,400.00         | AA+ | Aaa     | 0.63           | 3.106         | 306              | 05/03/2023    | 0.819             |
| 023135BV7              | 30808        | AMAZON.COM              | 1,000,000.00          | 999,939.53            | 979,020.00            | 979,020.00            | AA  | A1      | 0.03           | 2.724         | 315              | 05/12/2023    | 0.851             |
| 58933YAF2              | 30619        | MERCK & CO              | 13,000,000.00         | 13,287,223.91         | 12,953,070.00         | 12,953,070.00         | A+  | A1      | 0.41           | 3.221         | 321              | 05/18/2023    | 0.859             |
| 63254ABA5              | 30682        | NATIONAL AUSTRALIA BANK | 3,590,000.00          | 3,704,985.03          | 3,612,329.80          | 3,612,329.80          | AA- | Aa3     | 0.11           | 2.970         | 354              | 06/20/2023    | 0.946             |
| 63254ABA5              | 30733        | NATIONAL AUSTRALIA BANK | 5,000,000.00          | 5,162,245.67          | 5,031,100.00          | 5,031,100.00          | AA- | Aa3     | 0.16           | 2.970         | 354              | 06/20/2023    | 0.946             |
| 63254ABA5              | 30840        | NATIONAL AUSTRALIA BANK | 10,000,000.00         | 10,324,541.39         | 10,062,200.00         | 10,062,200.00         | AA- | Aa3     | 0.32           | 2.970         | 354              | 06/20/2023    | 0.946             |
| 21684LCJ9              | 30939        | RABOBANK NY             | 20,000,000.00         | 20,000,000.00         | 19,558,600.00         | 19,558,600.00         | A+  | Aa2     | 0.62           | 2.902         | 374              | 07/10/2023    | 1.484             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                | Par Value     | Book Value    | Market Value  | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|-----------------------|---------------|---------------|---------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Corporate Notes</b> |              |                       |               |               |               |                |     |         |                |               |                  |               |                   |
| 361582AD1              | 30896        | BERKSHIRE HATHAWAY    | 1,761,000.00  | 1,889,196.99  | 1,820,345.70  | 1,820,345.70   | AA  | Aa3     | 0.06           | 4.008         | 379              | 07/15/2023    | 0.968             |
| 594918BQ6              | 29986        | MICROSOFT CORP        | 8,000,000.00  | 7,896,902.73  | 7,921,120.00  | 7,921,120.00   | AAA | Aaa     | 0.25           | 2.916         | 403              | 08/08/2023    | 1.071             |
| 06406RAJ6              | 30580        | BANK OF NEW YORK      | 2,935,000.00  | 3,035,382.70  | 2,942,425.55  | 2,942,425.55   | A   | A1      | 0.09           | 3.218         | 406              | 08/11/2023    | 1.068             |
| 06406RAJ6              | 30870        | BANK OF NEW YORK      | 13,050,000.00 | 13,502,999.21 | 13,083,016.50 | 13,083,016.50  | A   | A1      | 0.41           | 3.218         | 406              | 08/11/2023    | 1.068             |
| 89236THF5              | 30766        | TOYOTA                | 5,000,000.00  | 5,003,977.60  | 4,848,350.00  | 4,848,350.00   | A+  | A1      | 0.15           | 3.282         | 409              | 08/14/2023    | 1.097             |
| 89236THF5              | 30792        | TOYOTA                | 4,973,000.00  | 4,977,256.96  | 4,822,168.91  | 4,822,168.91   | A+  | A1      | 0.15           | 3.282         | 409              | 08/14/2023    | 1.097             |
| 89236THF5              | 30877        | TOYOTA                | 10,000,000.00 | 10,016,712.48 | 9,696,700.00  | 9,696,700.00   | A+  | A1      | 0.31           | 3.282         | 409              | 08/14/2023    | 1.097             |
| 742718EB1              | 30578        | PROCTOR AND GAMBLE    | 3,164,000.00  | 3,261,933.89  | 3,181,686.76  | 3,181,686.76   | AA- | Aa3     | 0.10           | 2.593         | 410              | 08/15/2023    | 1.085             |
| 06406FAD5              | 30663        | BANK OF NEW YORK      | 6,952,000.00  | 7,084,586.24  | 6,878,656.40  | 6,878,656.40   | A   | A1      | 0.22           | 3.163         | 411              | 08/16/2023    | 1.091             |
| 89236THA6              | 30493        | TOYOTA                | 7,272,000.00  | 7,330,518.69  | 7,109,688.96  | 7,109,688.96   | A+  | A1      | 0.22           | 3.346         | 420              | 08/25/2023    | 1.120             |
| 857477AM5              | 30579        | STATE STREET CORP     | 6,903,000.00  | 7,216,858.01  | 6,961,537.44  | 6,961,537.44   | A   | A1      | 0.22           | 3.072         | 507              | 11/20/2023    | 1.338             |
| 594918AW4              | 30581        | MICROSOFT CORP        | 5,622,000.00  | 5,870,285.06  | 5,665,008.30  | 5,665,008.30   | AAA | Aaa     | 0.18           | 3.084         | 532              | 12/15/2023    | 1.407             |
| 374288AB4              | 30724        | J PAUL GETTY MUSEUM   | 7,000,000.00  | 7,000,000.00  | 6,720,700.00  | 6,720,700.00   | AAA | Aaa     | 0.21           | 3.130         | 549              | 01/01/2024    | 1.474             |
| 14913R2S5              | 31004        | CATERPILLAR FINANCIAL | 4,500,000.00  | 4,407,968.34  | 4,345,740.00  | 4,345,740.00   | A   | A2      | 0.14           | 3.273         | 558              | 01/10/2024    | 1.486             |
| 594918BX1              | 30650        | MICROSOFT CORP        | 12,179,000.00 | 12,630,547.16 | 12,139,296.46 | 12,139,296.46  | AAA | Aaa     | 0.38           | 3.087         | 585              | 02/06/2024    | 1.531             |
| 037833CG3              | 30300        | APPLE                 | 10,000,000.00 | 10,231,529.58 | 9,974,900.00  | 9,974,900.00   | AA+ | Aaa     | 0.31           | 3.163         | 588              | 02/09/2024    | 1.537             |
| 459200HU8              | 30816        | IBM INC               | 24,458,000.00 | 25,691,228.34 | 24,509,850.96 | 24,509,850.96  | A-  | A3      | 0.77           | 3.490         | 591              | 02/12/2024    | 1.534             |
| 02079KAB3              | 30966        | ALPHABET INC          | 4,361,000.00  | 4,509,288.42  | 4,385,377.99  | 4,385,377.99   | AA+ | Aa2     | 0.14           | 3.027         | 604              | 02/25/2024    | 1.576             |
| 037833AS9              | 30817        | APPLE                 | 9,918,000.00  | 10,468,823.74 | 9,951,324.48  | 9,951,324.48   | AA+ | Aaa     | 0.31           | 3.263         | 675              | 05/06/2024    | 1.768             |
| 037833CU2              | 30329        | APPLE                 | 4,682,000.00  | 4,810,024.51  | 4,648,195.96  | 4,648,195.96   | AA+ | Aaa     | 0.15           | 3.254         | 680              | 05/11/2024    | 1.790             |
| 023135BW5              | 30809        | AMAZON.COM            | 2,000,000.00  | 1,998,185.81  | 1,901,600.00  | 1,901,600.00   | AA  | A1      | 0.06           | 3.190         | 681              | 05/12/2024    | 1.827             |
| 459200JY8              | 30776        | IBM INC               | 7,893,000.00  | 8,241,280.04  | 7,829,777.07  | 7,829,777.07   | A-  | A3      | 0.25           | 3.447         | 684              | 05/15/2024    | 1.797             |
| 87612EBD7              | 31040        | TARGET CORP           | 9,950,000.00  | 10,148,670.06 | 9,978,954.50  | 9,978,954.50   | A   | A2      | 0.31           | 3.349         | 731              | 07/01/2024    | 1.917             |
| 931142EL3              | 30297        | WAL-MART INC          | 10,000,000.00 | 10,315,625.53 | 9,952,100.00  | 9,952,100.00   | AA  | Aa2     | 0.31           | 3.097         | 738              | 07/08/2024    | 1.919             |
| 037833DM9              | 30332        | APPLE                 | 3,286,000.00  | 3,322,633.23  | 3,183,378.22  | 3,183,378.22   | AA+ | Aaa     | 0.10           | 3.288         | 803              | 09/11/2024    | 2.114             |
| 06406RAL1              | 31008        | BANK OF NEW YORK      | 5,000,000.00  | 4,932,209.45  | 4,838,250.00  | 4,838,250.00   | A   | A1      | 0.15           | 3.570         | 846              | 10/24/2024    | 2.221             |
| 478160CJ1              | 30316        | JOHNSON & JOHNSON     | 11,300,000.00 | 11,650,799.56 | 11,120,443.00 | 11,120,443.00  | AAA | Aaa     | 0.35           | 3.283         | 929              | 01/15/2025    | 2.403             |
| 532457AM0              | 30988        | ELI LILLY & CO        | 18,163,000.00 | 20,656,047.75 | 19,816,014.63 | 19,816,014.63  | A+  | A2      | 0.62           | 3.801         | 1,066            | 06/01/2025    | 2.632             |
| 17275RAW2              | 30946        | CISCO SYSTEMS         | 9,000,000.00  | 9,580,792.00  | 9,031,050.00  | 9,031,050.00   | AA- | A1      | 0.28           | 3.377         | 1,080            | 06/15/2025    | 2.782             |
| 17275RAW2              | 31022        | CISCO SYSTEMS         | 1,000,000.00  | 1,013,255.00  | 1,003,450.00  | 1,003,450.00   | AA- | A1      | 0.03           | 3.377         | 1,080            | 06/15/2025    | 2.782             |
| 02079KAH0              | 30967        | ALPHABET INC          | 5,000,000.00  | 4,827,562.17  | 4,595,450.00  | 4,595,450.00   | AA+ | Aa2     | 0.15           | 3.193         | 1,141            | 08/15/2025    | 3.048             |
| 857477AT0              | 31021        | STATE STREET CORP     | 7,262,000.00  | 7,369,922.30  | 7,216,830.36  | 7,216,830.36   | A   | A1      | 0.23           | 3.763         | 1,144            | 08/18/2025    | 2.896             |
| 742718FL8              | 30947        | PROCTOR AND GAMBLE    | 10,003,000.00 | 9,776,996.64  | 9,198,358.68  | 9,198,358.68   | AA- | Aa3     | 0.29           | 3.114         | 1,216            | 10/29/2025    | 3.246             |
| 594918BJ2              | 30772        | MICROSOFT CORP        | 7,784,000.00  | 8,309,641.21  | 7,777,850.64  | 7,777,850.64   | AAA | Aaa     | 0.25           | 3.151         | 1,221            | 11/03/2025    | 3.132             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID               | Investment # | Issuer                   | Par Value             | Book Value            | Market Value          | Reported Value        | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|---------------------------|--------------|--------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Corporate Notes</b>    |              |                          |                       |                       |                       |                       |     |         |                |               |                  |               |                   |
| 742718EP0                 | 30730        | PROCTOR AND GAMBLE       | 6,871,000.00          | 7,372,079.85          | 6,747,734.26          | 6,747,734.26          | AA- | Aa3     | 0.21           | 3.234         | 1,312            | 02/02/2026    | 3.348             |
| 742718EP0                 | 30950        | PROCTOR AND GAMBLE       | 4,338,000.00          | 4,550,557.33          | 4,260,176.28          | 4,260,176.28          | AA- | Aa3     | 0.13           | 3.234         | 1,312            | 02/02/2026    | 3.348             |
| <b>Subtotal</b>           |              |                          | <b>544,185,000.00</b> | <b>554,864,913.14</b> | <b>541,635,384.93</b> | <b>541,635,384.93</b> |     |         | <b>17.08</b>   | <b>2.987</b>  | <b>458</b>       |               | <b>1.215</b>      |
| <b>Muni - Zero Coupon</b> |              |                          |                       |                       |                       |                       |     |         |                |               |                  |               |                   |
| 562785FP5                 | 30387        | MANHATTEN BEACH          | 300,000.00            | 299,468.54            | 299,340.00            | 299,340.00            | AA  | Aa1     | 0.01           | 1.280         | 62               | 09/01/2022    | 0.169             |
| 679502FN9                 | 30732        | OLD ADOBE UNIFIED        | 140,000.00            | 139,394.86            | 136,873.80            | 136,873.80            | AA  | NR      | 0.00           | 2.094         | 396              | 08/01/2023    | 1.073             |
| 630360CN3                 | 30382        | NAPA VALLEY COMM COLL    | 2,595,000.00          | 2,527,781.50          | 2,458,451.10          | 2,458,451.10          | AA- | Aa3     | 0.08           | 2.610         | 762              | 08/01/2024    | 2.060             |
| 492279CR3                 | 30703        | KERN COUNTY CA           | 2,300,000.00          | 2,192,250.96          | 2,063,169.00          | 2,063,169.00          | AA- | NR      | 0.07           | 3.511         | 1,141            | 08/15/2025    | 3.072             |
| <b>Subtotal</b>           |              |                          | <b>5,335,000.00</b>   | <b>5,158,895.86</b>   | <b>4,957,833.90</b>   | <b>4,957,833.90</b>   |     |         | <b>0.16</b>    | <b>2.891</b>  | <b>867</b>       |               | <b>2.339</b>      |
| <b>Municipal Bonds</b>    |              |                          |                       |                       |                       |                       |     |         |                |               |                  |               |                   |
| 5446462Y7                 | 30377        | LA UNIFIED SCHOOLS       | 870,000.00            | 870,000.00            | 870,000.00            | 870,000.00            | A+  | Aa3     | 0.03           | 4.879         | 0                | 07/01/2022    | 0.000             |
| 51724UAA9                 | 30396        | LARKSPUR CA              | 595,000.00            | 595,000.00            | 595,000.00            | 595,000.00            | AAA | NR      | 0.02           | 4.879         | 0                | 07/01/2022    | 0.000             |
| 84247PLH2                 | 30425        | SOUTHERN CA PUBLIC       | 1,220,000.00          | 1,220,000.00          | 1,220,000.00          | 1,220,000.00          | NR  | Aa2     | 0.04           | 4.879         | 0                | 07/01/2022    | 0.000             |
| 79730CJF2                 | 30391        | SAN DIEGO WATER          | 1,000,000.00          | 1,000,173.25          | 999,300.00            | 999,300.00            | NR  | Aa2     | 0.03           | 2.035         | 31               | 08/01/2022    | 0.084             |
| 079113FT8                 | 30406        | BELLEVUE UNIFIED SCHOOLS | 955,000.00            | 957,232.60            | 956,871.80            | 956,871.80            | AA  | NR      | 0.03           | 1.693         | 31               | 08/01/2022    | 0.084             |
| 812880FC1                 | 30413        | SEBASTOPOL UNIFIED       | 250,000.00            | 250,622.97            | 250,505.00            | 250,505.00            | AA- | NR      | 0.01           | 1.624         | 31               | 08/01/2022    | 0.084             |
| 374406CJ3                 | 30437        | GEYSERVILLE UNIFIED      | 395,000.00            | 396,014.87            | 395,797.90            | 395,797.90            | AA- | NR      | 0.01           | 1.624         | 31               | 08/01/2022    | 0.084             |
| 95604PAB0                 | 30439        | WEST SIDE UNION SCHOOLS  | 100,000.00            | 100,289.69            | 100,176.00            | 100,176.00            | AA  | NR      | 0.00           | 1.921         | 31               | 08/01/2022    | 0.084             |
| 189168AS6                 | 30555        | CLOVERDALE CA DEVL AGY   | 180,000.00            | 180,007.37            | 179,809.20            | 179,809.20            | AA- | NR      | 0.01           | 2.125         | 31               | 08/01/2022    | 0.084             |
| 189168AS6                 | 30556        | CLOVERDALE CA DEVL AGY   | 180,000.00            | 180,000.00            | 179,809.20            | 179,809.20            | AA- | NR      | 0.01           | 2.125         | 31               | 08/01/2022    | 0.084             |
| 697511EZ2                 | 30632        | PALOMAR COMM COLL DIST   | 700,000.00            | 700,023.74            | 699,216.00            | 699,216.00            | AA  | Aa2     | 0.02           | 1.740         | 31               | 08/01/2022    | 0.084             |
| 54438CYG1                 | 30645        | LA COMM COLL DIST        | 3,575,000.00          | 3,575,043.18          | 3,570,638.50          | 3,570,638.50          | AA+ | Aaa     | 0.11           | 1.743         | 31               | 08/01/2022    | 0.084             |
| 144393MX0                 | 30652        | CARPENTERIA CA UNIFIED   | 240,000.00            | 240,009.87            | 239,769.60            | 239,769.60            | AA- | NR      | 0.01           | 1.663         | 31               | 08/01/2022    | 0.084             |
| 789636HF7                 | 30773        | ST HELENA UNIFIED        | 100,000.00            | 100,182.24            | 100,048.00            | 100,048.00            | AAA | NR      | 0.00           | 1.965         | 31               | 08/01/2022    | 0.084             |
| 03254CGF1                 | 30810        | ANAHEIM CITY SCHOOLS     | 1,000,000.00          | 1,002,763.70          | 1,001,150.00          | 1,001,150.00          | AA- | Aa3     | 0.03           | 2.234         | 31               | 08/01/2022    | 0.084             |
| 446222UN9                 | 30843        | HUNTINGTON BEACH CA      | 1,000,000.00          | 1,000,000.00          | 998,650.00            | 998,650.00            | AA- | NR      | 0.03           | 1.786         | 31               | 08/01/2022    | 0.084             |
| 13063BF56                 | 30308        | CA STATE GO              | 2,415,000.00          | 2,424,298.71          | 2,429,803.95          | 2,429,803.95          | AA- | Aa2     | 0.08           | 1.352         | 62               | 09/01/2022    | 0.169             |
| 54465AGN6                 | 30774        | LOS ANGELES DEV AGENCY   | 300,000.00            | 300,820.67            | 299,835.00            | 299,835.00            | AA  | Aa2     | 0.01           | 2.262         | 62               | 09/01/2022    | 0.169             |
| 76913ALD3                 | 30357        | RIVERSIDE COUNTY DEV     | 1,000,000.00          | 1,001,949.49          | 1,001,090.00          | 1,001,090.00          | AA  | NR      | 0.03           | 2.054         | 92               | 10/01/2022    | 0.252             |
| 79876CBS6                 | 30358        | SAN MARCOS DEV AGENCY    | 750,000.00            | 751,097.26            | 749,955.00            | 749,955.00            | AA- | NR      | 0.02           | 2.261         | 92               | 10/01/2022    | 0.252             |
| 797299LU6                 | 30550        | SAN DIEGO PUBLIC         | 1,000,000.00          | 1,008,031.11          | 1,002,070.00          | 1,002,070.00          | AA- | NR      | 0.03           | 2.499         | 106              | 10/15/2022    | 0.290             |
| 79765RN54                 | 30345        | SAN FRANCISCO WATER      | 4,205,000.00          | 4,217,329.17          | 4,205,925.10          | 4,205,925.10          | AA- | Aa2     | 0.13           | 2.325         | 123              | 11/01/2022    | 0.336             |
| 544587C55                 | 30365        | LOS ANGELES CA           | 1,250,000.00          | 1,257,265.93          | 1,254,612.50          | 1,254,612.50          | AA- | NR      | 0.04           | 2.470         | 123              | 11/01/2022    | 0.336             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                    | Par Value    | Book Value   | Market Value | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|---------------------------|--------------|--------------|--------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Municipal Bonds</b> |              |                           |              |              |              |                |     |         |                |               |                  |               |                   |
| 923078CS6              | 30456        | VENTURA COUNTY CA         | 250,000.00   | 250,080.58   | 248,817.50   | 248,817.50     | AA+ | Aa1     | 0.01           | 2.263         | 123              | 11/01/2022    | 0.336             |
| 13048VQA9              | 30491        | CALIFORNIA FINANCE        | 470,000.00   | 470,626.14   | 468,641.70   | 468,641.70     | AA- | NR      | 0.02           | 2.347         | 123              | 11/01/2022    | 0.336             |
| 13048VQA9              | 30530        | CALIFORNIA FINANCE        | 500,000.00   | 501,130.21   | 498,555.00   | 498,555.00     | AA- | NR      | 0.02           | 2.347         | 123              | 11/01/2022    | 0.336             |
| 13077DMH2              | 30562        | CAL STATE UNIVERSITY      | 1,750,000.00 | 1,750,283.12 | 1,738,555.00 | 1,738,555.00   | AA- | Aa2     | 0.06           | 2.309         | 123              | 11/01/2022    | 0.336             |
| 13048VQA9              | 30582        | CALIFORNIA FINANCE        | 2,000,000.00 | 2,003,859.20 | 1,994,220.00 | 1,994,220.00   | AA- | NR      | 0.06           | 2.347         | 123              | 11/01/2022    | 0.336             |
| 13077CTN4              | 30629        | CAL STATE UNIVERSITY      | 670,000.00   | 680,795.29   | 676,499.00   | 676,499.00     | AA- | Aa2     | 0.02           | 2.516         | 123              | 11/01/2022    | 0.336             |
| 13063DYU6              | 30631        | CA STATE GO               | 4,830,000.00 | 4,874,131.94 | 4,841,495.40 | 4,841,495.40   | AA- | Aa2     | 0.15           | 2.275         | 123              | 11/01/2022    | 0.336             |
| 5445872Q0              | 30784        | LOS ANGELES CA            | 750,000.00   | 750,046.94   | 745,192.50   | 745,192.50     | AA- | NR      | 0.02           | 2.239         | 123              | 11/01/2022    | 0.336             |
| 13032UQV3              | 30420        | CAL STATE HEALTH FACILITY | 390,000.00   | 395,645.14   | 394,921.80   | 394,921.80     | A   | A1      | 0.01           | 1.604         | 137              | 11/15/2022    | 0.375             |
| 13080SZJ6              | 30721        | CALIFORNIA DEVL           | 875,000.00   | 875,228.10   | 860,107.50   | 860,107.50     | A+  | A1      | 0.03           | 3.315         | 215              | 02/01/2023    | 0.573             |
| 13063DSU3              | 30311        | CA STATE GO               | 5,410,000.00 | 5,456,518.83 | 5,499,751.90 | 5,499,751.90   | AA- | Aa2     | 0.17           | 1.492         | 243              | 03/01/2023    | 0.651             |
| 835588AW4              | 30800        | SONOMA MARIN AREA RAIL    | 4,500,000.00 | 4,513,032.22 | 4,426,245.00 | 4,426,245.00   | AA  | NR      | 0.14           | 3.232         | 243              | 03/01/2023    | 0.653             |
| 365471AC5              | 30666        | GARDENA CA                | 400,000.00   | 400,747.20   | 394,572.00   | 394,572.00     | AA- | NR      | 0.01           | 3.150         | 274              | 04/01/2023    | 0.734             |
| 735000TK7              | 30678        | PORT OF OAKLAND           | 360,000.00   | 360,210.28   | 352,807.20   | 352,807.20     | A+  | A1      | 0.01           | 3.272         | 304              | 05/01/2023    | 0.818             |
| 91412GWZ4              | 30386        | UNIV CALIFORNIA           | 3,000,000.00 | 3,043,778.35 | 2,995,080.00 | 2,995,080.00   | AA  | Aa2     | 0.09           | 3.103         | 318              | 05/15/2023    | 0.852             |
| 91412HJJ3              | 30744        | UNIV CALIFORNIA           | 1,000,000.00 | 1,000,000.00 | 976,070.00   | 976,070.00     | AA  | Aa2     | 0.03           | 3.065         | 318              | 05/15/2023    | 0.858             |
| 91412HKX0              | 30745        | UNIV CALIFORNIA           | 2,000,000.00 | 2,000,000.00 | 1,952,360.00 | 1,952,360.00   | AA- | Aa3     | 0.06           | 3.165         | 318              | 05/15/2023    | 0.857             |
| 80168FNV4              | 30597        | SANTA CLARA VALLEY        | 525,000.00   | 525,000.00   | 512,709.75   | 512,709.75     | NR  | Aa1     | 0.02           | 3.016         | 335              | 06/01/2023    | 0.901             |
| 798153NV0              | 30602        | SAN JOSE CA               | 700,000.00   | 700,361.40   | 685,356.00   | 685,356.00     | AA  | Aa3     | 0.02           | 3.090         | 335              | 06/01/2023    | 0.900             |
| 13032UXK9              | 30637        | CAL STATE HEALTH FACILITY | 1,500,000.00 | 1,500,000.00 | 1,464,870.00 | 1,464,870.00   | AA- | Aa3     | 0.05           | 3.166         | 335              | 06/01/2023    | 0.901             |
| 684100AB6              | 30756        | ORANGE CA                 | 1,620,000.00 | 1,620,603.14 | 1,579,726.80 | 1,579,726.80   | AA  | NR      | 0.05           | 3.164         | 335              | 06/01/2023    | 0.901             |
| 5446465H1              | 30314        | LA UNIFIED SCHOOLS        | 1,645,000.00 | 1,696,562.76 | 1,699,136.95 | 1,699,136.95   | NR  | Aa3     | 0.05           | 1.677         | 365              | 07/01/2023    | 0.979             |
| 51724UAB7              | 30397        | LARKSPUR CA               | 720,000.00   | 744,151.37   | 733,276.80   | 733,276.80     | AAA | NR      | 0.02           | 3.118         | 365              | 07/01/2023    | 0.972             |
| 84247PLJ8              | 30426        | SOUTHERN CA PUBLIC        | 2,000,000.00 | 2,084,919.93 | 2,064,820.00 | 2,064,820.00   | NR  | Aa2     | 0.07           | 1.726         | 365              | 07/01/2023    | 0.979             |
| 54465AFS6              | 30359        | LOS ANGELES DEV AGENCY    | 1,500,000.00 | 1,531,191.77 | 1,503,810.00 | 1,503,810.00   | AA- | NR      | 0.05           | 3.651         | 396              | 08/01/2023    | 1.035             |
| 79730CJG0              | 30392        | SAN DIEGO WATER           | 600,000.00   | 601,315.24   | 587,352.00   | 587,352.00     | NR  | Aa2     | 0.02           | 3.325         | 396              | 08/01/2023    | 1.055             |
| 189168AT4              | 30557        | CLOVERDALE CA DEVL AGY    | 365,000.00   | 365,000.00   | 355,382.25   | 355,382.25     | AA- | NR      | 0.01           | 3.449         | 396              | 08/01/2023    | 1.058             |
| 189168AT4              | 30558        | CLOVERDALE CA DEVL AGY    | 370,000.00   | 370,196.77   | 360,250.50   | 360,250.50     | AA- | NR      | 0.01           | 3.449         | 396              | 08/01/2023    | 1.058             |
| 368079HX0              | 30609        | GAVILAN CA JR COLL DIST   | 500,000.00   | 500,235.95   | 486,545.00   | 486,545.00     | AA  | Aa3     | 0.02           | 3.048         | 396              | 08/01/2023    | 1.063             |
| 987388GK5              | 30644        | YOSEMITE COMM COLL DIST   | 770,000.00   | 770,501.52   | 750,765.40   | 750,765.40     | NR  | Aa2     | 0.02           | 2.921         | 396              | 08/01/2023    | 1.063             |
| 13034PYP6              | 30748        | CALIFORNIA STATE HOUSING  | 1,000,000.00 | 1,024,171.78 | 1,000,740.00 | 1,000,740.00   | AA  | Aa3     | 0.03           | 2.906         | 396              | 08/01/2023    | 1.046             |
| 928346PU3              | 30762        | VISTA CA UNIFIED SCHOOLS  | 1,920,000.00 | 1,918,280.27 | 1,864,473.60 | 1,864,473.60   | AA  | NR      | 0.06           | 3.154         | 396              | 08/01/2023    | 1.063             |
| 446222UP4              | 30848        | HUNTINGTON BEACH CA       | 1,480,000.00 | 1,480,000.00 | 1,433,927.60 | 1,433,927.60   | AA- | NR      | 0.05           | 3.214         | 396              | 08/01/2023    | 1.064             |
| 56781RKR7              | 30897        | MARIN CA COMM COLL DIST   | 1,575,000.00 | 1,575,000.00 | 1,528,852.50 | 1,528,852.50   | NR  | Aaa     | 0.05           | 3.015         | 396              | 08/01/2023    | 1.065             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                    | Par Value    | Book Value   | Market Value | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|---------------------------|--------------|--------------|--------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Municipal Bonds</b> |              |                           |              |              |              |                |     |         |                |               |                  |               |                   |
| 786005TJ7              | 30381        | SACRAMENTO MUNI UTILITY   | 250,000.00   | 257,429.19   | 251,050.00   | 251,050.00     | AA  | Aa3     | 0.01           | 4.616         | 410              | 08/15/2023    | 0.602             |
| 62451FKG4              | 30404        | MTN VIEW-WHISMAN          | 225,000.00   | 225,292.61   | 220,455.00   | 220,455.00     | AA+ | Aaa     | 0.01           | 2.939         | 427              | 09/01/2023    | 1.140             |
| 923078CT4              | 30457        | VENTURA COUNTY CA         | 230,000.00   | 230,294.10   | 223,675.00   | 223,675.00     | AA+ | Aa1     | 0.01           | 3.171         | 488              | 11/01/2023    | 1.304             |
| 13048VQB7              | 30492        | CALIFORNIA FINANCE        | 350,000.00   | 351,296.12   | 342,125.00   | 342,125.00     | AA- | NR      | 0.01           | 3.346         | 488              | 11/01/2023    | 1.299             |
| 13077DMJ8              | 30561        | CAL STATE UNIVERSITY      | 4,250,000.00 | 4,252,795.02 | 4,098,105.00 | 4,098,105.00   | AA- | Aa2     | 0.13           | 3.236         | 488              | 11/01/2023    | 1.308             |
| 13077DNB4              | 30563        | CAL STATE UNIVERSITY      | 800,000.00   | 800,526.12   | 771,408.00   | 771,408.00     | AA- | Aa2     | 0.02           | 3.236         | 488              | 11/01/2023    | 1.308             |
| 13048VQB7              | 30583        | CALIFORNIA FINANCE        | 3,150,000.00 | 3,174,954.81 | 3,079,125.00 | 3,079,125.00   | AA- | NR      | 0.10           | 3.346         | 488              | 11/01/2023    | 1.299             |
| 79771FAD9              | 30613        | SAN FRANCISCO WATER       | 700,000.00   | 700,369.91   | 675,997.00   | 675,997.00     | AA- | Aa2     | 0.02           | 3.147         | 488              | 11/01/2023    | 1.308             |
| 79771FAW7              | 30615        | SAN FRANCISCO WATER       | 1,000,000.00 | 1,000,528.44 | 965,710.00   | 965,710.00     | AA- | Aa2     | 0.03           | 3.147         | 488              | 11/01/2023    | 1.308             |
| 79771FBM8              | 30617        | SAN FRANCISCO WATER       | 350,000.00   | 350,184.95   | 337,998.50   | 337,998.50     | AA- | Aa2     | 0.01           | 3.147         | 488              | 11/01/2023    | 1.308             |
| 5445872R8              | 30750        | LOS ANGELES CA            | 2,000,000.00 | 2,001,029.30 | 1,928,840.00 | 1,928,840.00   | AA- | NR      | 0.06           | 3.166         | 488              | 11/01/2023    | 1.309             |
| 13048VQB7              | 30871        | CALIFORNIA FINANCE        | 690,000.00   | 698,240.00   | 674,475.00   | 674,475.00     | AA- | NR      | 0.02           | 3.346         | 488              | 11/01/2023    | 1.299             |
| 786134VD5              | 30366        | SAC COUNTY SANITATION     | 400,000.00   | 408,789.26   | 400,008.00   | 400,008.00     | AA  | Aa2     | 0.01           | 3.203         | 518              | 12/01/2023    | 1.371             |
| 54473EUW2              | 30630        | LA COUNTY PUBLIC WORKS    | 4,130,000.00 | 4,400,359.19 | 4,316,552.10 | 4,316,552.10   | AA+ | NR      | 0.14           | 1.759         | 518              | 12/01/2023    | 1.369             |
| 13080SZK3              | 30722        | CALIFORNIA DEVL           | 750,000.00   | 750,752.56   | 713,685.00   | 713,685.00     | A+  | A1      | 0.02           | 3.691         | 580              | 02/01/2024    | 1.546             |
| 76913CAY5              | 30972        | RIVERSIDE COUNTY          | 5,400,000.00 | 5,482,485.41 | 5,309,550.00 | 5,309,550.00   | AA  | A1      | 0.17           | 3.692         | 594              | 02/15/2024    | 1.554             |
| 797686EL2              | 30735        | SAN FRANCISCO BAY AREA    | 1,850,000.00 | 1,851,224.26 | 1,762,828.00 | 1,762,828.00   | AA- | Aa2     | 0.06           | 3.319         | 609              | 03/01/2024    | 1.633             |
| 797412DL4              | 30505        | SAN DIEGO COUNTY WATER    | 1,000,000.00 | 1,001,320.97 | 951,020.00   | 951,020.00     | AAA | Aa2     | 0.03           | 3.371         | 670              | 05/01/2024    | 1.794             |
| 735000TL5              | 30679        | PORT OF OAKLAND           | 825,000.00   | 825,753.79   | 788,832.00   | 788,832.00     | A+  | A1      | 0.03           | 3.573         | 670              | 05/01/2024    | 1.784             |
| 899141AY1              | 30749        | TULARE COUNTY BOARD OF    | 1,000,000.00 | 1,026,388.26 | 982,320.00   | 982,320.00     | AA  | NR      | 0.03           | 3.315         | 670              | 05/01/2024    | 1.769             |
| 91412GXP5              | 30354        | UNIV CALIFORNIA           | 235,000.00   | 240,898.92   | 233,867.30   | 233,867.30     | AA- | Aa3     | 0.01           | 3.529         | 684              | 05/15/2024    | 1.792             |
| 91412GD85              | 30384        | UNIV CALIFORNIA           | 100,000.00   | 101,239.23   | 98,119.00    | 98,119.00      | AA  | Aa2     | 0.00           | 3.383         | 684              | 05/15/2024    | 1.806             |
| 91412GD85              | 30612        | UNIV CALIFORNIA           | 1,500,000.00 | 1,546,754.81 | 1,471,785.00 | 1,471,785.00   | AA  | Aa2     | 0.05           | 3.383         | 684              | 05/15/2024    | 1.806             |
| 91412GTF2              | 30665        | UNIV CALIFORNIA           | 885,000.00   | 936,691.03   | 891,327.75   | 891,327.75     | AA  | Aa2     | 0.03           | 3.393         | 684              | 05/15/2024    | 1.787             |
| 91412HKY8              | 30746        | UNIV CALIFORNIA           | 5,000,000.00 | 5,000,000.00 | 4,737,100.00 | 4,737,100.00   | AA- | Aa3     | 0.15           | 3.511         | 684              | 05/15/2024    | 1.831             |
| 91412GTF2              | 30796        | UNIV CALIFORNIA           | 1,000,000.00 | 1,060,635.56 | 1,007,150.00 | 1,007,150.00   | AA  | Aa2     | 0.03           | 3.393         | 684              | 05/15/2024    | 1.787             |
| 088013FF9              | 30520        | BEVERLY HILLS CA WATER    | 225,000.00   | 225,293.72   | 214,337.25   | 214,337.25     | AAA | NR      | 0.01           | 3.136         | 701              | 06/01/2024    | 1.878             |
| 80168FNW2              | 30598        | SANTA CLARA VALLEY        | 780,000.00   | 780,000.00   | 740,937.60   | 740,937.60     | NR  | Aa1     | 0.02           | 3.293         | 701              | 06/01/2024    | 1.876             |
| 798153NW8              | 30603        | SAN JOSE CA               | 650,000.00   | 651,098.93   | 621,231.00   | 621,231.00     | AA  | Aa3     | 0.02           | 3.395         | 701              | 06/01/2024    | 1.869             |
| 13032UXL7              | 30636        | CAL STATE HEALTH FACILITY | 1,000,000.00 | 1,000,000.00 | 949,620.00   | 949,620.00     | AA- | Aa3     | 0.03           | 3.493         | 701              | 06/01/2024    | 1.872             |
| 05028XAB0              | 30851        | AUBURN CA                 | 460,000.00   | 460,486.24   | 437,239.20   | 437,239.20     | AA+ | NR      | 0.01           | 3.343         | 701              | 06/01/2024    | 1.875             |
| 22050TAC3              | 30852        | CORTE MADERA CA           | 475,000.00   | 475,341.92   | 450,485.25   | 450,485.25     | AAA | NR      | 0.01           | 3.392         | 701              | 06/01/2024    | 1.875             |
| 130658RB5              | 30883        | CALIFORNIA STATE HOUSING  | 500,000.00   | 500,474.07   | 473,330.00   | 473,330.00     | AA  | Aa3     | 0.02           | 3.492         | 701              | 06/01/2024    | 1.874             |
| 612193AB8              | 30916        | MONTCLAIR CA              | 915,000.00   | 915,344.74   | 873,111.30   | 873,111.30     | AA- | NR      | 0.03           | 3.414         | 701              | 06/01/2024    | 1.870             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                   | Par Value    | Book Value   | Market Value | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|--------------------------|--------------|--------------|--------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Municipal Bonds</b> |              |                          |              |              |              |                |     |         |                |               |                  |               |                   |
| 544495ZE7              | 30376        | LA DEPT WATER & POWER    | 875,000.00   | 917,584.81   | 887,897.50   | 887,897.50     | AA- | Aa2     | 0.03           | 4.225         | 731              | 07/01/2024    | 1.888             |
| 51724UAC5              | 30398        | LARKSPUR CA              | 850,000.00   | 904,824.83   | 875,534.00   | 875,534.00     | AAA | NR      | 0.03           | 3.435         | 731              | 07/01/2024    | 1.896             |
| 03255LJA8              | 30858        | ANAHEIM CA               | 500,000.00   | 500,314.05   | 473,835.00   | 473,835.00     | AA  | A1      | 0.02           | 3.356         | 731              | 07/01/2024    | 1.957             |
| 801495D33              | 30929        | SANTA CLARA UNIFIED      | 2,200,000.00 | 2,385,857.11 | 2,272,116.00 | 2,272,116.00   | AA+ | NR      | 0.07           | 3.344         | 731              | 07/01/2024    | 1.896             |
| 13063C5T3              | 30310        | CA STATE GO              | 1,800,000.00 | 1,880,827.70 | 1,909,962.00 | 1,909,962.00   | AA- | Aa2     | 0.06           | 1.993         | 762              | 08/01/2024    | 1.950             |
| 544552ZF2              | 30349        | LA COUNTY                | 2,000,000.00 | 2,129,877.10 | 2,110,060.00 | 2,110,060.00   | AA  | Aa2     | 0.07           | 2.281         | 762              | 08/01/2024    | 1.946             |
| 79730CJH8              | 30393        | SAN DIEGO WATER          | 1,000,000.00 | 1,006,310.86 | 958,330.00   | 958,330.00     | NR  | Aa2     | 0.03           | 3.627         | 762              | 08/01/2024    | 2.008             |
| 79770GBX3              | 30409        | SAN FRANCISCO DEV        | 475,000.00   | 491,225.76   | 479,930.50   | 479,930.50     | AA- | NR      | 0.02           | 3.242         | 762              | 08/01/2024    | 1.961             |
| 13034PZP5              | 30531        | CALIFORNIA STATE HOUSING | 3,265,000.00 | 3,420,558.52 | 3,267,775.25 | 3,267,775.25   | AA  | Aa3     | 0.10           | 3.086         | 762              | 08/01/2024    | 1.976             |
| 623040LG5              | 30595        | MT SAC COMM COLLEGE      | 250,000.00   | 262,501.37   | 247,827.50   | 247,827.50     | AA  | Aa1     | 0.01           | 3.437         | 762              | 08/01/2024    | 1.976             |
| 623040LY6              | 30594        | MT SAC COMM COLLEGE      | 300,000.00   | 315,001.64   | 297,393.00   | 297,393.00     | AA  | Aa1     | 0.01           | 3.437         | 762              | 08/01/2024    | 1.976             |
| 368079HY8              | 30610        | GAVILAN CA JR COLL DIST  | 150,000.00   | 150,150.55   | 142,258.50   | 142,258.50     | AA  | Aa3     | 0.00           | 3.324         | 762              | 08/01/2024    | 2.030             |
| 190335KY4              | 30657        | COAST COMM COLL DIST     | 720,000.00   | 720,798.65   | 684,684.00   | 684,684.00     | AA+ | Aa1     | 0.02           | 3.124         | 762              | 08/01/2024    | 2.033             |
| 231237Q92              | 30655        | CUPERTINO CA UNIFIED     | 4,290,000.00 | 4,290,000.00 | 4,056,709.80 | 4,056,709.80   | AA+ | NR      | 0.13           | 3.423         | 762              | 08/01/2024    | 2.030             |
| 250375JU7              | 30680        | DESERT COMM COLL DIST    | 195,000.00   | 194,667.11   | 183,902.55   | 183,902.55     | AA  | Aa2     | 0.01           | 3.472         | 762              | 08/01/2024    | 2.032             |
| 046558ET5              | 30691        | ATASCADERO UNIFIED       | 345,000.00   | 345,524.63   | 325,745.55   | 325,745.55     | NR  | Aa3     | 0.01           | 3.500         | 762              | 08/01/2024    | 2.029             |
| 56781RKB2              | 30737        | MARIN CA COMM COLL DIST  | 2,000,000.00 | 2,000,000.00 | 1,877,740.00 | 1,877,740.00   | NR  | Aaa     | 0.06           | 3.341         | 762              | 08/01/2024    | 2.042             |
| 54465AFT4              | 30777        | LOS ANGELES DEV AGENCY   | 3,960,000.00 | 4,236,425.00 | 3,960,871.20 | 3,960,871.20   | AA- | NR      | 0.13           | 3.990         | 762              | 08/01/2024    | 1.948             |
| 54438CYJ5              | 30794        | LA COMM COLL DIST        | 4,335,000.00 | 4,348,230.55 | 4,098,915.90 | 4,098,915.90   | AA+ | Aaa     | 0.13           | 3.403         | 762              | 08/01/2024    | 2.030             |
| 359796JU4              | 30805        | FULLERTON CA UNIFIED     | 1,350,000.00 | 1,447,516.08 | 1,363,216.50 | 1,363,216.50   | AA  | NR      | 0.04           | 3.510         | 762              | 08/01/2024    | 1.953             |
| 801686TD8              | 30826        | SANTA CLARITA COMM COLL  | 1,860,000.00 | 1,860,000.00 | 1,758,220.80 | 1,758,220.80   | AA  | NR      | 0.06           | 3.283         | 762              | 08/01/2024    | 2.036             |
| 802615J70              | 30836        | SANTA ROSA SCHOOL DIST   | 725,000.00   | 725,444.37   | 682,841.25   | 682,841.25     | AA  | Aa2     | 0.02           | 3.489         | 762              | 08/01/2024    | 2.033             |
| 802598NU2              | 30837        | SANTA ROSA SCHOOL DIST   | 725,000.00   | 725,444.37   | 683,421.25   | 683,421.25     | AA  | Aa3     | 0.02           | 3.500         | 762              | 08/01/2024    | 2.031             |
| 446222UQ2              | 30845        | HUNTINGTON BEACH CA      | 4,310,000.00 | 4,310,000.00 | 4,045,064.30 | 4,045,064.30   | AA- | NR      | 0.13           | 3.571         | 762              | 08/01/2024    | 2.034             |
| 801686TD8              | 30857        | SANTA CLARITA COMM COLL  | 500,000.00   | 500,452.01   | 472,640.00   | 472,640.00     | AA  | NR      | 0.02           | 3.283         | 762              | 08/01/2024    | 2.036             |
| 630362EP2              | 30879        | NAPA VALLEY UNIFIED      | 850,000.00   | 851,174.34   | 806,565.00   | 806,565.00     | NR  | Aa3     | 0.03           | 3.171         | 762              | 08/01/2024    | 2.035             |
| 56781RKS5              | 30898        | MARIN CA COMM COLL DIST  | 1,000,000.00 | 1,000,000.00 | 942,800.00   | 942,800.00     | NR  | Aaa     | 0.03           | 3.342         | 762              | 08/01/2024    | 2.036             |
| 62451FKH2              | 30405        | MTN VIEW-WHISMAN         | 225,000.00   | 225,847.91   | 216,175.50   | 216,175.50     | AA+ | Aaa     | 0.01           | 3.218         | 793              | 09/01/2024    | 2.098             |
| 738855K20              | 30600        | POWAY CA UNIFIED         | 355,000.00   | 355,543.10   | 335,400.45   | 335,400.45     | AA+ | NR      | 0.01           | 3.594         | 793              | 09/01/2024    | 2.104             |
| 798189RW8              | 30659        | SAN JOSE EVERGREEN       | 500,000.00   | 500,650.00   | 473,111.00   | 473,111.00     | NR  | Aa1     | 0.02           | 3.314         | 793              | 09/01/2024    | 2.113             |
| 50420BDD1              | 30785        | LA QUINTA CA DEVL AGCY   | 1,550,000.00 | 1,552,087.91 | 1,446,584.00 | 1,446,584.00   | AA- | NR      | 0.05           | 3.931         | 793              | 09/01/2024    | 2.107             |
| 798189RW8              | 30891        | SAN JOSE EVERGREEN       | 750,000.00   | 753,097.69   | 709,666.50   | 709,666.50     | NR  | Aa1     | 0.02           | 3.314         | 793              | 09/01/2024    | 2.113             |
| 13063DFT0              | 30309        | CA STATE GO              | 4,590,000.00 | 4,812,182.80 | 4,890,094.20 | 4,890,094.20   | AA- | Aa2     | 0.15           | 2.015         | 823              | 10/01/2024    | 2.114             |
| 13034AL57              | 30700        | CALIFORNIA               | 3,050,000.00 | 3,050,000.00 | 2,870,111.00 | 2,870,111.00   | AAA | NR      | 0.09           | 3.390         | 823              | 10/01/2024    | 2.196             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                   | Par Value     | Book Value    | Market Value  | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|--------------------------|---------------|---------------|---------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Municipal Bonds</b> |              |                          |               |               |               |                |     |         |                |               |                  |               |                   |
| 544290JH3              | 30842        | LOS ALTOS UNIFIED        | 1,000,000.00  | 1,010,915.62  | 953,800.00    | 953,800.00     | AA+ | NR      | 0.03           | 3.143         | 823              | 10/01/2024    | 2.190             |
| 797010MX0              | 30854        | SAN BUENAVENTURA CA      | 825,000.00    | 826,095.86    | 780,747.00    | 780,747.00     | AA  | NR      | 0.03           | 3.231         | 823              | 10/01/2024    | 2.195             |
| 79765RN70              | 30408        | SAN FRANCISCO WATER      | 2,295,000.00  | 2,385,333.26  | 2,261,561.85  | 2,261,561.85   | AA- | Aa2     | 0.07           | 3.406         | 854              | 11/01/2024    | 2.227             |
| 923078CU1              | 30458        | VENTURA COUNTY CA        | 500,000.00    | 501,624.18    | 475,490.00    | 475,490.00     | AA+ | Aa1     | 0.02           | 3.427         | 854              | 11/01/2024    | 2.263             |
| 13077DMK5              | 30559        | CAL STATE UNIVERSITY     | 2,650,000.00  | 2,650,000.00  | 2,484,613.50  | 2,484,613.50   | AA- | Aa2     | 0.08           | 3.494         | 854              | 11/01/2024    | 2.275             |
| 13077DMK5              | 30560        | CAL STATE UNIVERSITY     | 6,000,000.00  | 6,006,894.34  | 5,625,540.00  | 5,625,540.00   | AA- | Aa2     | 0.18           | 3.494         | 854              | 11/01/2024    | 2.275             |
| 13077DNC2              | 30564        | CAL STATE UNIVERSITY     | 1,250,000.00  | 1,251,436.32  | 1,171,987.50  | 1,171,987.50   | AA- | Aa2     | 0.04           | 3.494         | 854              | 11/01/2024    | 2.275             |
| 79771FAE7              | 30614        | SAN FRANCISCO WATER      | 700,000.00    | 700,640.72    | 658,392.00    | 658,392.00     | AA- | Aa2     | 0.02           | 3.394         | 854              | 11/01/2024    | 2.275             |
| 79771FAX5              | 30616        | SAN FRANCISCO WATER      | 1,000,000.00  | 1,000,915.31  | 940,560.00    | 940,560.00     | AA- | Aa2     | 0.03           | 3.394         | 854              | 11/01/2024    | 2.275             |
| 79771FBN6              | 30618        | SAN FRANCISCO WATER      | 690,000.00    | 690,631.56    | 648,986.40    | 648,986.40     | AA- | Aa2     | 0.02           | 3.394         | 854              | 11/01/2024    | 2.275             |
| 5445872S6              | 30751        | LOS ANGELES CA           | 2,500,000.00  | 2,501,887.10  | 2,346,525.00  | 2,346,525.00   | AA- | NR      | 0.07           | 3.444         | 854              | 11/01/2024    | 2.276             |
| 923078CU1              | 30778        | VENTURA COUNTY CA        | 575,000.00    | 579,520.09    | 546,813.50    | 546,813.50     | AA+ | Aa1     | 0.02           | 3.427         | 854              | 11/01/2024    | 2.263             |
| 13077DQC9              | 30873        | CAL STATE UNIVERSITY     | 2,000,000.00  | 2,000,000.00  | 1,869,780.00  | 1,869,780.00   | AA- | Aa2     | 0.06           | 3.493         | 854              | 11/01/2024    | 2.278             |
| 130658RC3              | 30901        | CALIFORNIA STATE HOUSING | 1,000,000.00  | 1,000,953.22  | 933,290.00    | 933,290.00     | AA  | Aa3     | 0.03           | 3.545         | 884              | 12/01/2024    | 2.358             |
| 13080SZL1              | 30723        | CALIFORNIA DEVL          | 850,000.00    | 851,772.47    | 783,606.50    | 783,606.50     | A+  | A1      | 0.03           | 3.942         | 946              | 02/01/2025    | 2.505             |
| 13080SZL1              | 30801        | CALIFORNIA DEVL          | 1,000,000.00  | 997,509.42    | 921,890.00    | 921,890.00     | A+  | A1      | 0.03           | 3.942         | 946              | 02/01/2025    | 2.505             |
| 835588AY0              | 30623        | SONOMA MARIN AREA RAIL   | 5,000,000.00  | 5,013,213.83  | 4,669,600.00  | 4,669,600.00   | AA  | NR      | 0.15           | 3.833         | 974              | 03/01/2025    | 2.571             |
| 797686EM0              | 30736        | SAN FRANCISCO BAY AREA   | 1,320,000.00  | 1,321,732.77  | 1,223,838.00  | 1,223,838.00   | AA- | Aa2     | 0.04           | 3.541         | 974              | 03/01/2025    | 2.595             |
| 797686EM0              | 30996        | SAN FRANCISCO BAY AREA   | 1,385,000.00  | 1,303,506.25  | 1,284,102.75  | 1,284,102.75   | AA- | Aa2     | 0.04           | 3.541         | 974              | 03/01/2025    | 2.595             |
| 13034PA98              | 30553        | CALIFORNIA STATE HOUSING | 540,000.00    | 604,848.45    | 577,794.60    | 577,794.60     | NR  | Aa2     | 0.02           | 2.358         | 1,005            | 04/01/2025    | 2.550             |
| 21969AAD4              | 30910        | CORONA CA                | 1,100,000.00  | 1,101,247.64  | 1,022,538.00  | 1,022,538.00   | AA+ | NR      | 0.03           | 3.775         | 1,035            | 05/01/2025    | 2.737             |
| 91412GD93              | 30400        | UNIV CALIFORNIA          | 300,000.00    | 308,163.43    | 291,525.00    | 291,525.00     | AA  | Aa2     | 0.01           | 3.531         | 1,049            | 05/15/2025    | 2.731             |
| 91412GXQ3              | 30401        | UNIV CALIFORNIA          | 285,000.00    | 299,606.80    | 282,580.35    | 282,580.35     | AA- | Aa3     | 0.01           | 3.674         | 1,049            | 05/15/2025    | 2.700             |
| 91412HEZ2              | 30399        | UNIV CALIFORNIA          | 540,000.00    | 561,214.58    | 531,122.40    | 531,122.40     | AA  | Aa2     | 0.02           | 3.533         | 1,049            | 05/15/2025    | 2.717             |
| 91412HGE7              | 30634        | UNIV CALIFORNIA          | 500,000.00    | 501,144.31    | 465,530.00    | 465,530.00     | AA  | Aa2     | 0.02           | 3.424         | 1,049            | 05/15/2025    | 2.789             |
| 91412GXQ3              | 30651        | UNIV CALIFORNIA          | 1,250,000.00  | 1,337,261.73  | 1,239,387.50  | 1,239,387.50   | AA- | Aa3     | 0.04           | 3.674         | 1,049            | 05/15/2025    | 2.700             |
| 91412HGE7              | 30702        | UNIV CALIFORNIA          | 15,000,000.00 | 15,117,887.72 | 13,965,900.00 | 13,965,900.00  | AA  | Aa2     | 0.44           | 3.424         | 1,049            | 05/15/2025    | 2.789             |
| 91412HKZ5              | 30747        | UNIV CALIFORNIA          | 4,430,000.00  | 4,430,000.00  | 4,109,312.30  | 4,109,312.30   | AA- | Aa3     | 0.13           | 3.664         | 1,049            | 05/15/2025    | 2.782             |
| 91412HKZ5              | 30754        | UNIV CALIFORNIA          | 4,930,000.00  | 4,934,836.91  | 4,573,117.30  | 4,573,117.30   | AA- | Aa3     | 0.14           | 3.664         | 1,049            | 05/15/2025    | 2.782             |
| 91412HGE7              | 30779        | UNIV CALIFORNIA          | 500,000.00    | 501,836.90    | 465,530.00    | 465,530.00     | AA  | Aa2     | 0.02           | 3.424         | 1,049            | 05/15/2025    | 2.789             |
| 91412GXQ3              | 30787        | UNIV CALIFORNIA          | 500,000.00    | 535,782.03    | 495,755.00    | 495,755.00     | AA- | Aa3     | 0.02           | 3.674         | 1,049            | 05/15/2025    | 2.700             |
| 91412HJL8              | 30811        | UNIV CALIFORNIA          | 400,000.00    | 399,208.37    | 369,092.00    | 369,092.00     | AA  | Aa2     | 0.01           | 3.522         | 1,049            | 05/15/2025    | 2.796             |
| 68304FAE6              | 30431        | ONTARIO CA               | 1,000,000.00  | 1,025,590.83  | 964,980.00    | 964,980.00     | AA  | NR      | 0.03           | 3.818         | 1,066            | 06/01/2025    | 2.769             |
| 68304FAE6              | 30432        | ONTARIO CA               | 1,500,000.00  | 1,513,886.25  | 1,447,470.00  | 1,447,470.00   | AA  | NR      | 0.05           | 3.818         | 1,066            | 06/01/2025    | 2.769             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                    | Par Value    | Book Value   | Market Value | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|---------------------------|--------------|--------------|--------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Municipal Bonds</b> |              |                           |              |              |              |                |     |         |                |               |                  |               |                   |
| 68304FAE6              | 30517        | ONTARIO CA                | 375,000.00   | 392,146.52   | 361,867.50   | 361,867.50     | AA  | NR      | 0.01           | 3.818         | 1,066            | 06/01/2025    | 2.769             |
| 088013FG7              | 30521        | BEVERLY HILLS CA WATER    | 205,000.00   | 205,404.61   | 190,951.35   | 190,951.35     | AAA | NR      | 0.01           | 3.199         | 1,066            | 06/01/2025    | 2.843             |
| 80168FNX0              | 30599        | SANTA CLARA VALLEY        | 3,115,000.00 | 3,115,000.00 | 2,891,187.25 | 2,891,187.25   | NR  | Aa1     | 0.09           | 3.352         | 1,066            | 06/01/2025    | 2.839             |
| 13032UXM5              | 30635        | CAL STATE HEALTH FACILITY | 1,000,000.00 | 1,000,000.00 | 926,490.00   | 926,490.00     | AA- | Aa3     | 0.03           | 3.631         | 1,066            | 06/01/2025    | 2.828             |
| 088006JN2              | 30718        | BEVERLY HILLS CA          | 395,000.00   | 412,023.52   | 382,146.70   | 382,146.70     | AA+ | NR      | 0.01           | 3.438         | 1,066            | 06/01/2025    | 2.784             |
| 891371AU0              | 30788        | TORRANCE CA FIN AUTH      | 1,465,000.00 | 1,470,455.30 | 1,364,544.95 | 1,364,544.95   | AA  | NR      | 0.04           | 3.682         | 1,066            | 06/01/2025    | 2.818             |
| 130658RD1              | 30884        | CALIFORNIA STATE HOUSING  | 750,000.00   | 751,154.85   | 694,245.00   | 694,245.00     | AA  | Aa3     | 0.02           | 3.581         | 1,066            | 06/01/2025    | 2.831             |
| 612193AC6              | 30917        | MONTCLAIR CA              | 1,000,000.00 | 1,000,559.89 | 936,350.00   | 936,350.00     | AA- | NR      | 0.03           | 3.553         | 1,066            | 06/01/2025    | 2.819             |
| 13032UXM5              | 30921        | CAL STATE HEALTH FACILITY | 2,000,000.00 | 1,989,630.23 | 1,852,980.00 | 1,852,980.00   | AA- | Aa3     | 0.06           | 3.631         | 1,066            | 06/01/2025    | 2.828             |
| 13032UC22              | 30997        | CAL STATE HEALTH FACILITY | 2,500,000.00 | 2,500,000.00 | 2,455,525.00 | 2,455,525.00   | AA- | Aa3     | 0.08           | 3.640         | 1,066            | 06/01/2025    | 2.757             |
| 13032UC22              | 31018        | CAL STATE HEALTH FACILITY | 1,205,000.00 | 1,198,021.27 | 1,183,563.05 | 1,183,563.05   | AA- | Aa3     | 0.04           | 3.640         | 1,066            | 06/01/2025    | 2.757             |
| 797683HH5              | 30919        | SAN FRANCISCO COMM COLL   | 1,000,000.00 | 997,565.91   | 927,370.00   | 927,370.00     | NR  | A1      | 0.03           | 3.630         | 1,080            | 06/15/2025    | 2.864             |
| 91412GU94              | 30541        | UNIV CALIFORNIA           | 6,340,000.00 | 6,779,401.35 | 6,281,925.60 | 6,281,925.60   | AA  | Aa2     | 0.20           | 3.386         | 1,096            | 07/01/2025    | 2.840             |
| 842475P74              | 30571        | SOUTHERN CA PUBLIC        | 6,400,000.00 | 6,413,485.85 | 5,925,568.00 | 5,925,568.00   | AA- | NR      | 0.19           | 3.505         | 1,096            | 07/01/2025    | 2.914             |
| 91412GU94              | 30692        | UNIV CALIFORNIA           | 920,000.00   | 981,691.82   | 911,572.80   | 911,572.80     | AA  | Aa2     | 0.03           | 3.386         | 1,096            | 07/01/2025    | 2.840             |
| 91412GU94              | 30780        | UNIV CALIFORNIA           | 840,000.00   | 894,659.10   | 832,305.60   | 832,305.60     | AA  | Aa2     | 0.03           | 3.386         | 1,096            | 07/01/2025    | 2.840             |
| 91412GU94              | 30795        | UNIV CALIFORNIA           | 350,000.00   | 371,850.00   | 346,794.00   | 346,794.00     | AA  | Aa2     | 0.01           | 3.386         | 1,096            | 07/01/2025    | 2.840             |
| 03255LJB6              | 30859        | ANAHEIM CA                | 960,000.00   | 961,574.16   | 891,043.20   | 891,043.20     | AA  | A1      | 0.03           | 3.476         | 1,096            | 07/01/2025    | 2.912             |
| 801495D41              | 30931        | SANTA CLARA UNIFIED       | 3,350,000.00 | 3,753,922.48 | 3,516,394.50 | 3,516,394.50   | AA+ | NR      | 0.11           | 3.348         | 1,096            | 07/01/2025    | 2.778             |
| 623040LZ3              | 30596        | MT SAC COMM COLLEGE       | 250,000.00   | 267,644.05   | 246,137.50   | 246,137.50     | AA  | Aa1     | 0.01           | 3.534         | 1,127            | 08/01/2025    | 2.879             |
| 368079KDO              | 30611        | GAVILAN CA JR COLL DIST   | 1,245,000.00 | 1,247,579.10 | 1,157,588.55 | 1,157,588.55   | AA  | Aa3     | 0.04           | 3.386         | 1,127            | 08/01/2025    | 2.980             |
| 835569GS7              | 30608        | SANTA ROSA JR COLL        | 1,325,000.00 | 1,389,379.52 | 1,285,183.75 | 1,285,183.75   | AA  | Aa2     | 0.04           | 3.382         | 1,127            | 08/01/2025    | 2.912             |
| 54438CYK2              | 30646        | LA COMM COLL DIST         | 5,000,000.00 | 5,004,959.44 | 4,609,550.00 | 4,609,550.00   | AA+ | Aaa     | 0.15           | 3.465         | 1,127            | 08/01/2025    | 2.988             |
| 190335KZ1              | 30658        | COAST COMM COLL DIST      | 235,000.00   | 235,382.33   | 218,761.50   | 218,761.50     | AA+ | Aa1     | 0.01           | 3.227         | 1,127            | 08/01/2025    | 2.988             |
| 13034PZR1              | 30729        | CALIFORNIA STATE HOUSING  | 145,000.00   | 155,207.78   | 145,136.30   | 145,136.30     | AA  | Aa3     | 0.01           | 3.224         | 1,127            | 08/01/2025    | 2.873             |
| 56781RKC0              | 30738        | MARIN CA COMM COLL DIST   | 1,000,000.00 | 1,000,000.00 | 915,350.00   | 915,350.00     | NR  | Aaa     | 0.03           | 3.404         | 1,127            | 08/01/2025    | 3.005             |
| 928346PW9              | 30763        | VISTA CA UNIFIED SCHOOLS  | 1,500,000.00 | 1,489,802.41 | 1,383,795.00 | 1,383,795.00   | AA  | NR      | 0.04           | 3.496         | 1,127            | 08/01/2025    | 2.986             |
| 296065EK7              | 30789        | ESCALON CA UNIFIED        | 240,000.00   | 241,356.12   | 224,944.80   | 224,944.80     | AA  | NR      | 0.01           | 3.398         | 1,127            | 08/01/2025    | 2.966             |
| 359796JV2              | 30806        | FULLERTON CA UNIFIED      | 1,475,000.00 | 1,619,241.08 | 1,500,340.50 | 1,500,340.50   | AA  | NR      | 0.05           | 3.409         | 1,127            | 08/01/2025    | 2.838             |
| 801686TE6              | 30827        | SANTA CLARITA COMM COLL   | 2,500,000.00 | 2,500,000.00 | 2,317,600.00 | 2,317,600.00   | AA  | NR      | 0.07           | 3.336         | 1,127            | 08/01/2025    | 2.988             |
| 76886PJN4              | 30828        | RIVERSIDE COMM COLL DIST  | 1,500,000.00 | 1,500,000.00 | 1,380,540.00 | 1,380,540.00   | NR  | Aa1     | 0.04           | 3.575         | 1,127            | 08/01/2025    | 2.984             |
| 802598NV0              | 30838        | SANTA ROSA SCHOOL DIST    | 235,000.00   | 235,210.70   | 218,599.35   | 218,599.35     | AA  | Aa3     | 0.01           | 3.417         | 1,127            | 08/01/2025    | 2.977             |
| 446222UR0              | 30846        | HUNTINGTON BEACH CA       | 2,500,000.00 | 2,500,000.00 | 2,300,075.00 | 2,300,075.00   | AA- | NR      | 0.07           | 3.675         | 1,127            | 08/01/2025    | 2.978             |
| 54438CYK2              | 30864        | LA COMM COLL DIST         | 1,700,000.00 | 1,697,138.20 | 1,567,247.00 | 1,567,247.00   | AA+ | Aaa     | 0.05           | 3.465         | 1,127            | 08/01/2025    | 2.988             |

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**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                 | Par Value     | Book Value    | Market Value | Reported Value | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|------------------------|---------------|---------------|--------------|----------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Municipal Bonds</b> |              |                        |               |               |              |                |     |         |                |               |                  |               |                   |
| 54438CYK2              | 30865        | LA COMM COLL DIST      | 10,500,000.00 | 10,500,712.12 | 9,680,055.00 | 9,680,055.00   | AA+ | Aaa     | 0.31           | 3.465         | 1,127            | 08/01/2025    | 2.988             |
| 630362EQ0              | 30880        | NAPA VALLEY UNIFIED    | 925,000.00    | 926,788.16    | 857,253.00   | 857,253.00     | NR  | Aa3     | 0.03           | 3.417         | 1,127            | 08/01/2025    | 2.983             |
| 916544EU9              | 30909        | UPPER SANTA CLARITA    | 2,000,000.00  | 2,006,891.89  | 1,852,320.00 | 1,852,320.00   | AA  | NR      | 0.06           | 3.536         | 1,127            | 08/01/2025    | 2.976             |
| 446222UR0              | 30956        | HUNTINGTON BEACH CA    | 1,410,000.00  | 1,395,330.98  | 1,297,242.30 | 1,297,242.30   | AA- | NR      | 0.04           | 3.675         | 1,127            | 08/01/2025    | 2.978             |
| 796720NR7              | 30957        | SAN BARNARDINO COMM    | 1,460,000.00  | 1,455,506.00  | 1,362,340.60 | 1,362,340.60   | AA  | Aa1     | 0.04           | 3.427         | 1,127            | 08/01/2025    | 2.971             |
| 797508HE1              | 30958        | SAN DIEGUITO UNION HS  | 1,185,000.00  | 1,199,488.21  | 1,131,201.00 | 1,131,201.00   | AA  | Aa1     | 0.04           | 3.220         | 1,127            | 08/01/2025    | 2.948             |
| 54465AGR7              | 30540        | LOS ANGELES DEV AGENCY | 250,000.00    | 260,587.75    | 237,340.00   | 237,340.00     | AA  | Aa2     | 0.01           | 3.968         | 1,158            | 09/01/2025    | 2.988             |
| 738855K38              | 30601        | POWAY CA UNIFIED       | 270,000.00    | 271,182.50    | 249,490.80   | 249,490.80     | AA+ | NR      | 0.01           | 3.654         | 1,158            | 09/01/2025    | 3.051             |
| 378460YW3              | 30620        | GLENDALE CA UNIFIED    | 575,000.00    | 576,018.00    | 527,413.00   | 527,413.00     | AA  | Aa1     | 0.02           | 3.543         | 1,158            | 09/01/2025    | 3.070             |
| 54465AGR7              | 30642        | LOS ANGELES DEV AGENCY | 1,000,000.00  | 1,043,339.71  | 949,360.00   | 949,360.00     | AA  | Aa2     | 0.03           | 3.968         | 1,158            | 09/01/2025    | 2.988             |
| 50420BDE9              | 30790        | LA QUINTA CA DEVL AGCY | 2,250,000.00  | 2,265,168.65  | 2,060,797.50 | 2,060,797.50   | AA- | NR      | 0.07           | 4.023         | 1,158            | 09/01/2025    | 3.041             |
| 62451FKW9              | 30819        | MTN VIEW-WHISMAN       | 390,000.00    | 390,686.66    | 362,766.30   | 362,766.30     | NR  | Aaa     | 0.01           | 3.225         | 1,158            | 09/01/2025    | 3.069             |
| 786089JE3              | 30829        | SACRAMENTO WATER       | 865,000.00    | 893,625.18    | 823,454.05   | 823,454.05     | AA  | NR      | 0.03           | 3.428         | 1,158            | 09/01/2025    | 3.018             |
| 21976THH8              | 30812        | CORONA NORCO SCHOOLS   | 2,495,000.00  | 2,501,320.67  | 2,301,837.10 | 2,301,837.10   | AA- | NR      | 0.07           | 3.664         | 1,158            | 09/01/2025    | 3.053             |
| 79730WBN9              | 30849        | SAN DIEGO CA           | 350,000.00    | 375,057.83    | 342,492.50   | 342,492.50     | AA  | NR      | 0.01           | 3.852         | 1,158            | 09/01/2025    | 2.949             |
| 22972PCJ9              | 30908        | CUCAMONGA VALLEY WATER | 3,005,000.00  | 3,244,604.08  | 3,010,949.90 | 3,010,949.90   | AA+ | NR      | 0.10           | 3.335         | 1,158            | 09/01/2025    | 2.947             |
| 752147HJ0              | 30977        | RANCHO SANTIAGO COMM   | 900,000.00    | 863,984.14    | 823,887.00   | 823,887.00     | AA  | Aa2     | 0.03           | 3.583         | 1,158            | 09/01/2025    | 3.071             |
| 7973913M9              | 30656        | SAN DIEGO COUNTY       | 1,000,000.00  | 1,000,948.29  | 928,340.00   | 928,340.00     | AA+ | Aa1     | 0.03           | 3.293         | 1,188            | 10/01/2025    | 3.146             |
| 13034AL65              | 30701        | CALIFORNIA             | 1,480,000.00  | 1,480,000.00  | 1,360,016.40 | 1,360,016.40   | AAA | NR      | 0.04           | 3.422         | 1,188            | 10/01/2025    | 3.153             |
| 797010MY8              | 30855        | SAN BUENAVENTURA CA    | 1,000,000.00  | 1,004,761.89  | 933,800.00   | 933,800.00     | AA  | NR      | 0.03           | 3.274         | 1,188            | 10/01/2025    | 3.138             |
| 13063DK23              | 30932        | CA STATE GO            | 4,650,000.00  | 4,719,430.77  | 4,383,741.00 | 4,383,741.00   | AA- | Aa2     | 0.14           | 3.376         | 1,188            | 10/01/2025    | 3.116             |
| 13077DND0              | 30628        | CAL STATE UNIVERSITY   | 1,035,000.00  | 1,037,526.80  | 948,360.15   | 948,360.15     | AA- | Aa2     | 0.03           | 3.571         | 1,219            | 11/01/2025    | 3.226             |
| 5445872T4              | 30752        | LOS ANGELES CA         | 2,250,000.00  | 2,253,427.80  | 2,072,655.00 | 2,072,655.00   | AA- | NR      | 0.07           | 3.472         | 1,219            | 11/01/2025    | 3.225             |
| 13077DML3              | 30771        | CAL STATE UNIVERSITY   | 500,000.00    | 499,265.53    | 458,145.00   | 458,145.00     | AA- | Aa2     | 0.01           | 3.571         | 1,219            | 11/01/2025    | 3.226             |
| 13077DQD7              | 30874        | CAL STATE UNIVERSITY   | 2,500,000.00  | 2,500,000.00  | 2,288,950.00 | 2,288,950.00   | AA- | Aa2     | 0.07           | 3.571         | 1,219            | 11/01/2025    | 3.227             |
| 76913DFW2              | 30912        | RIVERSIDE COUNTY       | 1,250,000.00  | 1,249,130.43  | 1,151,125.00 | 1,151,125.00   | AA- | NR      | 0.04           | 3.772         | 1,219            | 11/01/2025    | 3.206             |
| 13063DYW2              | 30930        | CA STATE GO            | 1,000,000.00  | 1,064,173.55  | 988,140.00   | 988,140.00     | AA- | Aa2     | 0.03           | 3.380         | 1,219            | 11/01/2025    | 3.127             |
| 544587Y51              | 30975        | LOS ANGELES CA         | 1,465,000.00  | 1,418,870.61  | 1,353,762.55 | 1,353,762.55   | AA- | NR      | 0.04           | 3.472         | 1,219            | 11/01/2025    | 3.220             |
| 13077DND0              | 30981        | CAL STATE UNIVERSITY   | 1,500,000.00  | 1,444,144.05  | 1,374,435.00 | 1,374,435.00   | AA- | Aa2     | 0.04           | 3.571         | 1,219            | 11/01/2025    | 3.226             |
| 13067WRC8              | 30675        | CAL STATE DEPT WATER   | 1,755,000.00  | 1,760,552.53  | 1,607,316.75 | 1,607,316.75   | AAA | Aa1     | 0.05           | 3.421         | 1,249            | 12/01/2025    | 3.316             |
| 13048VPA0              | 30807        | CALIFORNIA FINANCE     | 1,370,000.00  | 1,411,466.78  | 1,303,870.10 | 1,303,870.10   | NR  | Aa3     | 0.04           | 3.405         | 1,249            | 12/01/2025    | 3.260             |
| 13080SZM9              | 30726        | CALIFORNIA DEVL        | 745,000.00    | 747,135.67    | 668,942.95   | 668,942.95     | A+  | A1      | 0.02           | 4.020         | 1,311            | 02/01/2026    | 3.444             |
| 797686EN8              | 30740        | SAN FRANCISCO BAY AREA | 1,225,000.00  | 1,226,751.75  | 1,108,331.00 | 1,108,331.00   | AA- | Aa2     | 0.04           | 3.621         | 1,339            | 03/01/2026    | 3.541             |
| 702282QD9              | 30907        | PASADENA UNIFIED       | 1,700,000.00  | 1,764,255.05  | 1,600,397.00 | 1,600,397.00   | AA  | Aa3     | 0.05           | 3.728         | 1,400            | 05/01/2026    | 3.620             |

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Run Date: 07/05/2022 - 16:18

**Sonoma County Inv Pool Funds**  
**GASB 40 Credit & Interest Rate Risk**  
**Sorted by InvestmentType**  
**Through 06/30/2022**

| Security ID            | Investment # | Issuer                   | Par Value               | Book Value              | Market Value            | Reported Value          | S&P | Moody's | % of Portfolio | Current Yield | Days to Maturity | Maturity Date | Modified Duration |
|------------------------|--------------|--------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-----|---------|----------------|---------------|------------------|---------------|-------------------|
| <b>Municipal Bonds</b> |              |                          |                         |                         |                         |                         |     |         |                |               |                  |               |                   |
| 735000TN1              | 30913        | PORT OF OAKLAND          | 2,555,000.00            | 2,570,172.84            | 2,350,829.95            | 2,350,829.95            | A+  | A1      | 0.07           | 3.776         | 1,400            | 05/01/2026    | 3.654             |
| 899141BA2              | 30982        | TULARE COUNTY BOARD OF   | 500,000.00              | 505,496.06              | 483,415.00              | 483,415.00              | AA  | NR      | 0.02           | 3.547         | 1,400            | 05/01/2026    | 3.589             |
| 735000TN1              | 31015        | PORT OF OAKLAND          | 1,460,000.00            | 1,361,538.81            | 1,343,331.40            | 1,343,331.40            | A+  | A1      | 0.04           | 3.776         | 1,400            | 05/01/2026    | 3.654             |
| 91412GM51              | 30983        | UNIV CALIFORNIA          | 645,000.00              | 654,686.60              | 619,619.25              | 619,619.25              | AA- | Aa3     | 0.02           | 3.741         | 1,414            | 05/15/2026    | 3.621             |
| 91412GT62              | 30984        | UNIV CALIFORNIA          | 750,000.00              | 775,353.20              | 737,325.00              | 737,325.00              | AA  | Aa2     | 0.02           | 3.622         | 1,414            | 05/15/2026    | 3.594             |
| 91412HDN0              | 30985        | UNIV CALIFORNIA          | 850,000.00              | 899,228.56              | 851,904.00              | 851,904.00              | AA- | Aa3     | 0.03           | 3.744         | 1,414            | 05/15/2026    | 3.553             |
| 130658RF6              | 30885        | CALIFORNIA STATE HOUSING | 280,000.00              | 280,466.77              | 254,125.20              | 254,125.20              | AA  | Aa3     | 0.01           | 3.626         | 1,431            | 06/01/2026    | 3.769             |
| 612193AD4              | 30918        | MONTCLAIR CA             | 1,000,000.00            | 1,000,750.18            | 921,780.00              | 921,780.00              | AA- | NR      | 0.03           | 3.648         | 1,431            | 06/01/2026    | 3.741             |
| 03255LJC4              | 30872        | ANAHEIM CA               | 660,000.00              | 662,200.59              | 602,791.20              | 602,791.20              | AA  | A1      | 0.02           | 3.527         | 1,461            | 07/01/2026    | 3.845             |
| 797356DF6              | 30962        | SAN DIEGO UNIFIED        | 500,000.00              | 492,061.68              | 457,815.00              | 457,815.00              | NR  | Aa2     | 0.01           | 3.477         | 1,461            | 07/01/2026    | 3.845             |
| 797669ZL5              | 30965        | SAN FRANCISCO BAY AREA   | 1,000,000.00            | 1,026,875.52            | 963,410.00              | 963,410.00              | AA+ | NR      | 0.03           | 3.322         | 1,461            | 07/01/2026    | 3.775             |
| 544647FC9              | 31029        | LA UNIFIED SCHOOLS       | 2,500,000.00            | 2,319,617.49            | 2,290,575.00            | 2,290,575.00            | NR  | Aa3     | 0.07           | 3.727         | 1,461            | 07/01/2026    | 3.823             |
| 630362ER8              | 30881        | NAPA VALLEY UNIFIED      | 765,000.00              | 767,244.06              | 692,676.90              | 692,676.90              | NR  | Aa3     | 0.02           | 3.605         | 1,492            | 08/01/2026    | 3.909             |
| 56781RKU0              | 30899        | MARIN CA COMM COLL DIST  | 1,000,000.00            | 1,000,000.00            | 901,600.00              | 901,600.00              | NR  | Aaa     | 0.03           | 3.500         | 1,492            | 08/01/2026    | 3.929             |
| 9523472G6              | 30903        | WEST CONTRA COSTA        | 1,000,000.00            | 1,041,586.75            | 939,620.00              | 939,620.00              | AA- | A1      | 0.03           | 3.684         | 1,492            | 08/01/2026    | 3.824             |
| 801686TF3              | 30970        | SANTA CLARITA COMM COLL  | 1,250,000.00            | 1,204,893.98            | 1,141,575.00            | 1,141,575.00            | AA  | NR      | 0.04           | 3.441         | 1,492            | 08/01/2026    | 3.908             |
| 874857KM6              | 30974        | TAMPLPAIS UNION HS DIST  | 3,320,000.00            | 3,363,829.89            | 3,169,604.00            | 3,169,604.00            | NR  | Aaa     | 0.10           | 3.465         | 1,492            | 08/01/2026    | 3.814             |
| 835569GT5              | 30976        | SANTA ROSA JR COLL       | 150,000.00              | 151,822.01              | 144,787.50              | 144,787.50              | AA  | Aa2     | 0.01           | 3.365         | 1,492            | 08/01/2026    | 3.802             |
| 835569GT5              | 31013        | SANTA ROSA JR COLL       | 1,075,000.00            | 1,054,089.80            | 1,037,643.75            | 1,037,643.75            | AA  | Aa2     | 0.03           | 3.365         | 1,492            | 08/01/2026    | 3.802             |
| 786089JF0              | 30940        | SACRAMENTO WATER         | 1,750,000.00            | 1,784,737.65            | 1,646,802.50            | 1,646,802.50            | AA  | NR      | 0.05           | 3.486         | 1,523            | 09/01/2026    | 3.921             |
| 738855K46              | 30978        | POWAY CA UNIFIED         | 415,000.00              | 399,130.09              | 375,936.05              | 375,936.05              | AA+ | NR      | 0.01           | 3.824         | 1,523            | 09/01/2026    | 3.962             |
| 798135E96              | 31019        | SAN JOSE CITY            | 9,245,000.00            | 9,012,707.88            | 8,974,029.05            | 8,974,029.05            | AA+ | Aa1     | 0.28           | 3.258         | 1,523            | 09/01/2026    | 3.883             |
| 13063DRD2              | 30906        | CA STATE GO              | 11,000,000.00           | 11,632,808.00           | 10,519,630.00           | 10,519,630.00           | AA- | Aa2     | 0.33           | 3.490         | 1,553            | 10/01/2026    | 3.969             |
| 76913DFX0              | 30922        | RIVERSIDE COUNTY         | 1,000,000.00            | 1,004,333.33            | 902,690.00              | 902,690.00              | AA- | NR      | 0.03           | 3.939         | 1,584            | 11/01/2026    | 4.113             |
| 797686EP3              | 30999        | SAN FRANCISCO BAY AREA   | 1,300,000.00            | 1,180,355.16            | 1,153,906.00            | 1,153,906.00            | AA- | Aa2     | 0.04           | 3.750         | 1,704            | 03/01/2027    | 4.451             |
| 91412HGF4              | 31028        | UNIV CALIFORNIA          | 3,815,000.00            | 3,452,034.84            | 3,421,253.85            | 3,421,253.85            | AA  | Aa2     | 0.11           | 3.648         | 1,779            | 05/15/2027    | 4.633             |
| <b>Subtotal</b>        |              |                          | <b>392,525,000.00</b>   | <b>396,904,857.15</b>   | <b>376,802,354.40</b>   | <b>376,802,354.40</b>   |     |         | <b>12.04</b>   | <b>3.261</b>  | <b>872</b>       |               | <b>2.289</b>      |
| <b>Report Total</b>    |              |                          | <b>3,281,965,092.62</b> | <b>3,291,923,448.42</b> | <b>3,178,535,730.83</b> | <b>3,178,535,730.83</b> |     |         | 100.28         | <b>2.805</b>  | <b>642</b>       |               | <b>1.7027</b>     |

† = Duration can not be calculated on these investments due to incomplete Market price data.