

FOR SMART USE ONLY

DATE:

RIGHT-OF-ENTRY PERMIT

This Right-of-Entry Permit ("Permit") is entered into as of _____ ("Effective Date") by and between SONOMA-MARIN AREA RAIL TRANSIT DISTRICT ("Permittor") and Name of Company, ("Permittee"), Company Address.

- 1. Permit. Permittor hereby permits Permittee to enter upon Permittor's property near the city of Update City in the County of Update County (near Milepost XX), as described in the attached Exhibit A ("Premises"), which is incorporated by this reference, subject to all existing agreements, licenses, easements, encumbrances, and claims of title affecting Premises and upon the terms and conditions contained herein. No work shall commence on Premises until Permittee accepts this Permit. The doing of any work under this Permit shall constitute acceptance of these Permit provisions.
- 2. <u>Exclusive Use</u>. This Permit is for Permittee's exclusive use and is not assignable without the prior consent of Permittor.
- 3. <u>Purpose</u>. The permitted purpose of Permit shall be solely for entry upon Premises for <u>Update</u> the Project activities described in <u>ROW</u> Application in the SMART Right Of Way, as described in the attached <u>Exhibit B</u>, including bringing all necessary personnel and equipment onto Premises as necessary for the permitted purpose ("Project").
- 4. <u>Term.</u> This Permit shall be effective at such time as the fees identified in Section 6 have been paid and shall automatically terminate one year from the effective date above unless extended by mutual written agreement. If the fees are not received within fifteen (15) days from the effective date of this Permit, then Permit shall be null and void.
 - Permittor reserves the right to revoke or modify this Permit at any time prior to the termination date upon forty-eight (48) hours advance notice from Permittor to Permittee.
 - If Permittee requires use of Premises prior or subsequent to the foregoing dates, then Permittee shall request approval from Permittor at least seventy-two (72) hours prior to the time requested. Permittee shall pay additional fees as set forth in Section 6 below for such additional use. Project work hours shall be within Update Project work hours, Pacific standard time.
- 5. <u>Permit Shall Remain On-Site</u>. A copy of this Permit must be kept on the site of Premises at all times during the term of this Permit and shall be shown to any representative of Permittor upon demand. Project may be suspended if this Permit is not at Premises.



6. <u>Permit Fees and Costs.</u> Permittee shall pay the following fees:

(1) Application Fee \$300.00, (2) Staff Review Fee \$1,000.00, and (3) ROE Permit Fee \$1,000.00.

Any additional costs for traffic flaggers will be charged at a rate of one hundred forty-two dollars (\$142.00) per hour, for a minimum of four hours (\$572.00) with payment required in advance of issuance of the Permit. Any costs for Inspectors, if required, shall be charged at the rate of \$150.00 per hour. A refundable As-Built plan deposit of up to two thousand five hundred dollars (\$2,500.00) may be required at the discretion of SMART. Any additional amounts required based on actual activities shall be invoiced and payable on demand as requested by SMART.

- 7. <u>Project Plan.</u> Project shall be performed in accordance with a plan identifying the sequence of operations, schedules and locations for said Project. The plan shall be submitted to Permittor in advance of any work for Permittor's approval and such work shall be subject to monitoring and inspection by Permittor.
- 8. Cost of Work, Protection of Traffic, Access Requirements, and Working Procedures. All costs related to Permittee's operations upon Premises shall be at Permittee's expense. All work upon or in connection with the use of Premises shall be done at such times and in such manner as not to interfere with the current or future use of Premises. Permittee shall be responsible for the provision of barriers, directions, signage, and other forms of notice to the general public to assure the safe, smooth, and uninterrupted flow of traffic around Project site and on and about Premises. Permittee shall keep Permittor's Premises in a reasonably neat and safe condition failing which Permittor, after twenty-four (24) hours prior notice to Permittee, may do so at Permittee's expense.

Permittee shall comply with the regulations of the instructions of Permittor's representatives relating to the proper manner of protecting the tracks, pipelines, wire lines, signals, and all other property at said location, the traffic moving on such tracks, and the removal of tools, equipment, and materials.

Not less than 72 hours prior to entering the Premises, Permittee shall submit a Right of Entry Access Permit approved by Permittor. The Permittor's current Right of Entry Access form is attached hereto as **Exhibit C**. As a condition of access, Permittor may require any combination of the following safety measures:

a) Completion of Railroad Workplace Safety training mandated by the Federal Railroad Administration (49 C.F.R. Part 214). Permittee shall ensure that all Permittee's employees, contractors and subcontractors who will access the Premises complete the necessary on-line training and shall submit documentation of the completion of such training by each such employee, contractor and subcontractor, in the form of a Certificate of Completion, to Permittor with the Right of Entry Access Permit.



b) Hiring a railroad approved Employee In Charge (EIC) contractor, at Permittee's expense, for the duration of the work in SMART's right of way. Permittee may choose from any contractor on Permittor's approved list or submit an alternate EIC contractor for consideration. Permittor will reserve the right to approve or deny any alternate EIC contractor submissions. The EIC must be onsite during all work on the railroad right of way.

Depending on the work, one or more flaggers may also be required at Permittees expense.

All work by Permittee upon Premises shall be performed in a good and worker-like manner satisfactory to Permittor. Since there is the possibility of the existence of pipelines or other structures beneath Premises, if Permittee should excavate or drill, then Permittee's forces shall explore such structures with hand tools to a depth of at least eight feet (8') below the surface of the ground or, at Permittee's option, use suitable detection equipment prior to drilling or excavating with mechanized equipment. Absence of markers does not constitute a warranty by Permittor of no subsurface installations. It shall be Permittee's responsibility to determine the existence of any underground facilities and Permittee shall call Underground Service Alert at 1 (800) 642-2444 prior to beginning any work on the property.

Fiber optic cable systems may be buried on the Permittor's property. Protection of the fiber optic cable systems is of extreme importance since any break could disrupt service to users resulting in business interruption and loss of revenue and profits. It shall be Permittee's responsibility to call Union Pacific's "Call before you Dig" hotline at 1 (800) 336-9193 to determine if fiber optic cable is buried anywhere on the Permittor's property. This number can be used during normal business hours (7:00 a.m. to 9:00 p.m. Central Time, Monday through Friday, except for holidays) and is also a 24-hour, 7-day number for emergency calls.

Any open holes shall be satisfactorily covered at all times when Permittee's forces are not physically working in the actual vicinity. Upon completion of work, all holes will be filled in to meet the surrounding ground level with clean, compacted, earthen material and the property left in a neat and safe condition reasonably satisfactory to Permittor.

Permittee agrees to reimburse Permittor for the cost and expense to Permittor of furnishing any materials or performing any labor in connection with the use of Premises, including, but not limited to, the installation and removal of such false work and other protection beneath or along the railroad tracks, and the furnishing of such security persons, flaggers, and inspectors as Permittor deems necessary. Prior to incurring any cost or expense, Permittor shall reasonably notify Permittee of the same. Said reimbursement shall be paid by Permittee to Permittor within thirty (30) days after presentation of a bill.



Permittee shall fully pay for all materials joined or affixed to Premises, and shall pay in full all persons who perform labor on Premises. As Permittor is a public entity, its property is not subject to mechanics' or materialmen's liens, and nothing in this Permit shall be construed to make its property subject to such liens. However, if any such liens are filed, Permittee shall immediately remove them at Permittee's own expense, and shall pay any judgment which may be entered. Should Permittee fail, neglect, or refuse to do so, Permittor, after 48 hours prior notice to Permittee, shall have the right to pay any amount required to release any such liens, or to defend any action brought, and to pay any judgment entered. Permittee shall be liable to Permittor for all costs, damages, reasonable fees, and any amounts expended in defending any proceedings or in the payment of any of said liens or any judgment. Permittor may post and maintain upon Premises notices of non-responsibility as provided by law.

Permittee shall cooperate with Permittor in making any tests Permittor requires of any installation or condition which in Permittor's reasonable judgment may have an adverse effect on any of the facilities of Permittor. All costs incurred by the tests, or any corrections required as a result of such tests, shall be borne by Permittee.

Permittee shall take protective measures necessary to keep Permittor's facilities, including track ballast, free of sand or debris resulting from its use of Premises. Should any damage occur to Permittor's facilities as a result of Permittee's use of Premises, Permittee shall immediately notify Permittor. Permittor may require Permittee to contact the operator of any rail service on the rail line to make arrangements to ensure the safe performance of any work and agrees to give Permittor ten (10) calendar days of written notice prior to commencement of the work in the event such arrangements need to be made. Any damage to Permittor's facilities resulting from Permittee's use of Premises will be repaired or replaced by Permittor at Permittee's sole cost and expense, which Permittee shall pay to Permittor promptly upon demand.

- 9. <u>No Crossing of Tracks</u>. Permittee shall not be permitted to cross Permittor's tracks located near Premises but shall gain access to and from Premises only by use of designated public streets.
- 10. <u>No Hazardous Materials</u>. No hazardous materials shall be handled at any time upon Premises.
- 11. <u>Mechanized Equipment</u>. Under no condition shall Permittee be permitted to place or store any mechanized equipment, tools or other materials within twenty-five feet (25') of the center line of Permittor's nearest railroad tracks.
- 12. <u>Indemnity and Insurance</u>. Permittee shall release, defend (with counsel reasonably satisfactory to Permittor) and indemnify Permittor, its successors and assigns, any railroad company operating on Premises, and their respective directors, officers, employees, and agents (collectively, "Indemnitees") from and against all liability, cost, and expense for loss of, or damage to, property and for injuries to, or death of, any person (including, but not



limited to, the property and employees of each party) when arising or resulting from the use of Premises by Permittee, its agents, employees, contractors, subcontractors, or invitees; or Permittee's breach of these provisions. The duty of Permittee to indemnify and save harmless the Indemnitees includes the duties to defend as set forth in Section 2778 of the Civil Code. It is the express intent of the parties under this Section 12, that Permittee will indemnify and hold harmless the Indemnitees from any and all claims, suits, or actions arising from any cause whatsoever as set forth above, other than the sole gross negligence, willful misconduct, or criminal acts of the Indemnitees. Permittee waives any and all rights to any type of express or implied indemnity against the Indemnitees arising out of Permittee's use of or activities on Premises. This indemnity shall survive termination of this Permit. It is the intention of the parties that should any term of this indemnity provision be found to be void or unenforceable, the remainder of the provision shall remain in full force and effect.

All personal property of Permittee, including, but not limited to fixtures, equipment, or related materials upon the Property, will be at the risk of OWNER only, and Permittor will not be liable for any damage thereto or theft thereof, whether or not due in whole or in part to the negligence of Permittor.

Prior to entry upon the Property and commencement of construction activities, Permittee shall provide Permittor with satisfactory evidence, in the form of a Certificate of Insurance, that Permittee is insured in accordance with the following. Said insurance shall remain in effect throughout the term of this Permit:

a. Workers' Compensation and Employers' Liability

Permittee shall procure and maintain Workers' Compensation Insurance and Employers' Liability Insurance in accordance with the laws of the State of California. Employers' Liability Insurance shall have coverage for a minimum liability of \$2,000,000, covering Permittee's employees engaged in the work. Permittee shall insure the procurement and maintenance of such insurance by all contractors or subcontractors engaged in the construction, operation or maintenance of the Conveyor.

b. Commercial General Liability

Permittee shall procure and maintain Commercial General Liability insurance covering products-completed and ongoing operations, property damage, bodily injury and personal injury using an occurrence policy form, in an amount no less than \$5,000,000 per occurrence.

Said policy shall either include a Railroads CG 24 17 endorsement removing the exclusion of coverage for bodily injury or property damage arising out of construction or demolition operations within 50 feet of any railroad property and affecting any railroad bridge, trestle, tracks, roadbeds, tunnel, underpass or crossing, or provide evidence that said policy does not have any railroad exclusions. A follow



form Excess Liability policy may be utilized to satisfy the required limits of liability under this section.

c. <u>Professional Liability</u>

Permittee shall procure and maintain Professional Liability insurance covering liability arising out of any negligent act, error or omission in performance of design or engineering services for the Project in an amount no less than \$2,000,000 per claim. If any Design Professional Services are furnished by a Subcontractor, the Subcontractor shall be required to provide professional liability coverage.

d. Automobile Liability

Permittee shall procure and maintain Automobile Liability insurance covering bodily injury and property damage in an amount no less than \$2,000,000 combined single limit for each occurrence. Said insurance shall include coverage for owned, hired, and non-owned vehicles. Said policy shall also include a CA 20 70 10 13 endorsement removing the exclusion of coverage for bodily injury or property damage arising out of operations within 50 feet of any railroad bridge, trestle, track, roadbeds, tunnel, underpass or crossing.

e. Contractors Pollution Liability

Contractors Pollution Liability insurance in an amount no less than \$2,000,000 per incident. The Contractor's Pollution Liability policy shall be written on an occurrence basis with coverage for bodily injury, property damage and environmental damage, including cleanup costs arising out of third-party claims, for pollution conditions, and including claims of environmental authorities, for the release of pollutants caused by construction activities related to the Permit. Coverage shall include the Permittee as the named insured and shall include coverage for acts by others for whom the Permittee is legally responsible.

Coverage to be provided for bodily injury to or destruction of tangible property, including the resulting loss of use thereof, loss of use of tangible property that has been physically injured, and natural resource damage. There shall be no exclusions or limitations regarding damages or injury from existence, removal or abatement of lead paint. There shall be no insured vs. insured exclusion in the policy.

f. Railroad Protective Liability

Prior to commencement of construction activities, Permittee shall procure and maintain Railroad Protective Liability insurance, in Permittor's name, with limits of liability of no less than \$5,000,000 per occurrence, for losses arising out of injury to or death of all persons, and for physical loss or damage to or destruction of property, including the loss of use thereof. The additional named insureds shall be the Sonoma-Marin Area Rail District and shall cover all other railroads operating on the right-of-way.



Prior to entering onto the Property, Permittee shall file Certificate(s) of Insurance with Permittor evidencing the required coverage and endorsement(s) and, upon request, a certified duplicate original of any of those policies. Said endorsements and Certificate(s) of Insurance shall stipulate:

- 1) SMART, its officers and employees, shall be named as additional insured on all policies listed above.
- That the policy(ies) is Primary Insurance and the insurance company(ies) providing such policy(ies) shall be liable thereunder for the full amount of any loss or claim which Permittee is liable, up to and including the total limit of liability, without right of contribution from any other insurance effected or which may be effected by the Insureds.
- The policy shall also stipulate: Inclusion of the Insureds as additional insureds shall not in any way affect its rights either as respects any claim, demand, suit or judgment made, brought or recovered against Permittee. Said policy shall protect Permittee and the Insureds in the same manner as though a separate policy had been issued to each, but nothing in said policy shall operate to increase the insurance company's liability as set forth in its policy beyond the amount or amounts shown or to which the insurance company would have been liable if only one interest had been named as an insured.
- 4) Permittee hereby grants to Permittor a waiver of any right to subrogation which any insurer of said Permittee may acquire against Permittor by virtue of the payment of any loss under such insurance. Permittee agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not Permittor has received a waiver of subrogation endorsement from the insurer.
- Permittee shall require and verify that all subcontractors maintain insurance meeting all requirements stated herein, and Permittee shall ensure that SMART, its officers and employees, shall be named as an additional insured on insurance required from subcontractors. For CGL coverage, subcontractors shall provide coverage with a form at least as broad as CG 20 38 04 13.

The insurance policy(ies) shall be written by an insurance company or companies acceptable to Permittor. Such insurance company shall be authorized to transact business in the state of California.

Deductibles and Retentions

Permittee shall be responsible for payment of any deductible or retention on Permittee's policies without right of contribution from Permittor. Deductible and retention provisions shall not contain any restrictions as to how or by whom the deductible or retention is paid.



Any deductible or retention provision limiting payment to the name insured is not acceptable.

Self-Insurance

Permittee's obligation hereunder may be satisfied in whole or in part by adequately funded self-insurance, upon evidence of financial capacity satisfactory to Permittor.

Claims Made Coverage

If any insurance specified above is written on a claims-made coverage form, Permitee shall:

- 1) Ensure that the retroactive date is shown on the policy, and such date must be before the date of this Permit or beginning of any work under this Permit;
- 2) Maintain and provide evidence of similar insurance for at least three (3) years following project completion, including the requirement of adding all additional insureds; and
- If insurance is cancelled or non-renewed, and not replaced with another claims-made policy form with a retroactive date prior to Permit effective date, Permitee shall purchase "extending reporting" coverage for a minimum of three (3) years after completion of the work.
- 13. <u>Permits from Other Agencies; Hazardous Materials</u>. Permittee shall comply, at Permittee's expense, with all applicable laws, regulations, rules and orders with respect to the use of Premises, and shall obtain all required licenses, permits or other approvals in connection with Permittee's use of Premises. Permittee shall furnish satisfactory evidence of such compliance upon request of Permittor.

Should any discharge, leakage, spillage, emission or pollution of any type occur upon or from Premises due to Permittee's use and occupancy thereof, Permittee, at Permittee's expense, shall clean all affected property to the satisfaction of Permittor and any governmental body having jurisdiction.

Permittee shall indemnify, hold harmless and defend the Indemnitees against all liability, cost, and expense (including, without limitation, any fines, penalties, judgments, litigation costs, reasonable attorneys' fees and consulting, engineering and construction costs) incurred by Permittor as a result of Permittee's breach of this section or as a result of any such discharge, leakage, spillage, emission, or pollution by Permittee, regardless of whether such liability, cost, or expense arises during or after the term of this Permit.

14. <u>Assumption of Risk</u>. Permittee shall assume all risk of damage to any and all other property of Permittee, or any property under the control or custody of Permittee while upon or near Premises of Permittor incident to the use of Premises; provided, however, such assumption by Permittee shall not include any damage caused by the sole gross negligence and/or willful misconduct of Permittor. Permittee releases Permittor from any liability, including claims for damages or extra compensation, arising from construction delays due to transportation activities by Permittor or transportation operations by any agency as authorized by Permittor.



- 15. <u>Subcontractors</u>. Any person, firm or corporation Permittee authorizes to work upon Premises, shall be deemed to be Permittee's agent and shall be subject to all the applicable terms hereof.
- 16. Restoration of Premises. Upon termination of this Permit, Permittee, at its own expense, shall remove from Premises all property it owns or controls, all debris and other materials, and restore Premises to its condition prior to entry or to a condition reasonably satisfactory to Permittor. Upon Permittee's failure to do this, Permittor, upon forty-eight (48) hours prior notice to Permittee, may perform such work at Permittee's expense.
- 17. <u>Severability</u>. If any provision of this Permit shall be for any reason unenforceable, in any respect, such unenforceability shall not affect the other provisions of this Permit.
- 18. <u>Attorneys' Fees</u>. If any legal proceeding should be instituted by either of the parties to enforce the terms of this Permit or to determine the rights of the parties under this Permit, the prevailing party in the proceeding shall receive, in addition to all costs, reasonable attorneys' and expert fees.
- 19. <u>Time of Essence</u>. Time is and shall be of the essence of this Permit and of each and every provision contained in this Permit.
- 20. <u>No Third-Party Beneficiaries</u>. Nothing contained in this Permit shall be construed to create and the parties do not intend to create any rights in third parties.
- 21. Construction of Agreement. The provisions in this Permit shall be construed and given effect in a manner that avoids any violation of statute, regulation or law. Permittor and Permittee agree that in the event any provision in this Permit is held to be invalid or void by any court of competent jurisdiction, the invalidity of any such provision shall in no way affect any other provision in this Permit. Permittor and Permittee acknowledge that they have each contributed to the making of this Permit and that, in the event of a dispute over the interpretation of this Permit, the language of the Permit will not be construed against one party in favor of the other. Permittor and Permitee further acknowledge that they have each had an adequate opportunity to consult with counsel in the negotiation and preparation of this Permit.
- 22. <u>Relationship</u>. The parties intend by this Permit to establish the relationship of Permittor and Permittee only, and do not intend to create a partnership, joint venture, joint enterprise, or any business relationship other than that of Permittor and permittee.
- 23. <u>Captions</u>. The captions in this Permit are for convenience only and are not a part of this Permit. The captions do not in any way limit or amplify the provisions hereof, and shall have no effect upon the construction or interpretation of any part hereof.



If the endorsed copy of this Permit is not received within fifteen (15) days from the date of this Permit, then this Permit shall be null and void.

IN WITNESS WHEREOF, the parties have executed this Permit as of the Effective Date by their duly authorized representatives.

PERMITTOR: SONOMA-MARIN AREA RAIL TRA	PERMITTEE: ANSIT
DISTRICT	
By:	*By:
Randy Friedland	Name:
Real Estate Manager	Title:
Date:	Date:

* If Permittee is a corporation, two corporate officers must sign on behalf of the corporation as follows: 1) the chairman of the board, president or vice president; and 2) the secretary; assistant secretary, chief financial officer or assistant treasurer.

SONOMA~MARIN SMART AREA RAIL TRANSIT		One permit must be	Permit Number
		submitted for each calendar day of work.	
		Submit permit requests to	POE Borrait
		the Right of Way Access Coordinator at least 72	ROE Permit
RIGHT OF WAY A	CCESS PERMIT	hours in advance.	
Safety Certification Badges must be attached to this form. This form must be kept onsite by the contractor at all times. If you decide not to use your approved permit for the date requested, contact Dispatch and cancel the request.		Date of Work:	
		Start Time:	
		Finish Time:	
CONTRACTOR	INFORMATION	Crew Size:	
Company Name:		TER	RITORY
Applicant Name:		SMART Mainline /N	IP 14.78 TO 89.0)
Phone:		Brazos Branch (MP	B-25.8 TO B-49.8)
Email:		Inactive:	
Project ID#:		EXACT V	VORK LIMITS
SUPERVISO	R ON SITE	From MP/Location:	
Supervisor Name:		To MP/Location:	
Cell Phone:		Other:	
Tra	Always expect a train or		
The Supervisor shall: **Be on site a	at all times and reachable by Dispa	tch**	
**Call OCC by	y phone to <u>activate</u> the Permit before	the works starts, then call OC	C by phone
to deactiva	te the Permit after the works is done NS CONTROL CENTER (OCC) Pho	and crews and equipment are	
	itions must be done a minimum of 24 led \$285 late cancellation fee.	hrs. advance notice prior to so	cheduled start time or
you will be bill	DESCRIPTION (OF WORK	
	DESCRIPTION	OFWORK	
By activating permit marked BILLABLE, Permittee agrees to reimburse SMART		Billable	
for flagging expenses ass		Not Billable	Deposit
/	WORK COND	DITIONS	
Work crew may be fouling tracks Right of Entry			
Heavy equipment within Right of Way		Outside of fouling zone	
	LEVEL OF ON TRA	ACK SAFETY	
Watchman Lookout	Track & Time	Track Warrant	EIC:
Track Out of Service	Approved Flagger	None Required	Cell:
SMART TRACK ACCESS COO	<u> </u>		
EMAIL COMPLETED FORM(S) T	O: <u>ROWACCESSpermit(</u>	<u>@sonomamarintrain.or</u>	g
FOR CONTROL OFFICE USE Permit Approval:		Date:	
Active Time:	ive Time: Controller Signature:		ID#
	Controller Signature:		