



SMART

Your train has arrived.

Sonoma-Marín Area Rail Transit District

General Manager's Report – May 2018

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SMART || SONOMA-MARIN AREA RAIL TRANSIT

SMART Receives \$21M in State Funding for its Extension to Windsor

For Immediate Release

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SMART receives \$21 million in state funding for its extension to Windsor

The Sonoma-Marín Area Rail Transit (SMART) District received \$21 million in state transportation funds to construct the extension to Windsor. This grant, coupled with the proposed \$40 million in funding from Regional Measure 3 (RM3), will allow SMART to complete the first of three northern Sonoma County extensions.

State Sen. Mike McGuire said, “The State couldn’t be more excited to make this latest investment in SMART, and to soon connect the residents of Windsor and northern Sonoma County with this fantastic service. We have been grateful to work with Chairwoman Fudge and the SMART Board on the proposal, and we are so appreciative to the Department of Transportation for their ongoing partnership.”

“This is a big day for SMART and for the Town of Windsor,” said SMART Board Chairwoman Debora Fudge. “We want to thank the California Secretary of Transportation and his staff, and our state legislators for funding this important connection for our north county residents. It is now essential that we all vote to approve Regional Measure 3 in the June election, which will fully fund the extension from the Sonoma County Airport Station to the heart of Windsor.”

RM3, which goes before voters on June 5, would increase tolls on seven state-owned bridges over a period of several years. It would generate an estimated \$4.5 billion in funding over a 25- year period for public transportation, highway improvements, and bicycle and pedestrian pathways. Funding for SMART’s Windsor extension is part of that funding plan.

The funding will allow SMART to move forward with the next phase of construction on the Windsor extension.

“This is an important step that moves us closer to completing our 70-mile vision, which includes expanding our SMART train service to Windsor, Healdsburg and Cloverdale,” said SMART Board Director Carol Russell. “This is a major milestone for all of our North Bay residents who have been waiting for a transportation option to northern Sonoma County.”

Since launching its passenger service in August, SMART has carried more than 465,000 passengers. SMART’s passenger train service currently serves a 43-mile corridor with stations in Santa Rosa, Rohnert Park, Cotati, Petaluma, Novato and San Rafael. Construction on the Larkspur extension is underway and is expected to be completed by the end of 2019.

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SMART Receives Award



GOVERNMENT FINANCE OFFICERS ASSOCIATION **NEWS RELEASE**

FOR IMMEDIATE RELEASE

05/02/2018

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(Chicago, Illinois)--The Certificate of Achievement for Excellence in Financial Reporting has been awarded to **Sonoma-Marín Area Rail Transit District** by Government Finance Officers Association of the United States and Canada (GFOA) for its comprehensive annual financial report (CAFR). The Certificate of Achievement is the highest form of recognition in the area of governmental accounting and financial reporting, and its attainment represents a significant accomplishment by a government and its management.

An Award of Financial Reporting Achievement has been awarded to the individual(s) or department designated by the government as primarily responsible for preparing the award-winning CAFR.

The CAFR has been judged by an impartial panel to meet the high standards of the program, which includes demonstrating a constructive "spirit of full disclosure" to clearly communicate its financial story and motivate potential users and user groups to read the CAFR.

Government Finance Officers Association is a major professional association servicing the needs of nearly 19,000 appointed and elected local, state, and provincial-level government officials and other finance practitioners. It provides top quality publications, training programs, services, and products designed to enhance the skills and performance of those responsible for government finance policy and management. The association is headquartered in Chicago, Illinois, with offices in Washington, D.C.

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Larkspur Extension Project

- Larkspur station work continues with the construction of retaining walls and the station platform.
- Workers have placed the bridge deck over the Unnamed Channel Bridge.
- Work continues with the reconstruction of the Andersen Drive crossing.
- Grading for track construction is underway from the Cal-Park tunnel to Rice Drive.
- Utilities are being installed in the Cal Park Tunnel.



Andersen Drive Grade Crossing grading work



Aerial View of Andersen Drive Crossing Construction



Installation of precast bridge components at the Unnamed Channel south of Rice Drive, San Rafael



Larkspur Station Platform Construction



Construction of the emergency walkway at the Cal-Park Tunnel

COMMUNITY OUTREACH

Community Outreach | Presentations and Community Events

SMART's Communications and Marketing department has been busy participating in more than a dozen community events in Marin and Sonoma Counties during the month of May. The outreach team has connected with thousands of attendees, preparing them to get onboard the SMART train safely by answering questions and providing information about safety, fares, schedules, and stations.

This month's largest outreach event was Petaluma's annual Butter and Egg Days Parade and Festival. During this year's festival, SMART's outreach staff connected with more than 500 children, providing safety information and drawstring backpacks filled with a safety activity book and other SMART safety-themed giveaways.

May is National Bike Month. SMART hosted an Energizer station at the Petaluma Downtown Station as part of the Sonoma County celebration for Bike to Work Day on May 10. The Sonoma County Bicycle Coalition provided canvas messenger bags for the event, and SMART partnered with Petaluma Coffee to provide coffee, healthy snacks, and information for cyclists. The SMART train has been a popular option for commuters that travel with bicycles, using them as part of their first-and-last-mile commute solution. As of May, SMART has carried more than 40,000 bikes since starting service.

Since the last SMART Board meeting, outreach staff has participated in the following community events and presentations:

- May 11 | Disability Services and Legal Center Tech Expo (Santa Rosa)
- May 10 | Bike to Work Day (Petaluma)
- May 9 | McKinley Elementary School Bike Rodeo (Petaluma)
- May 9 | Group Trip Safety Briefing: San Rafael Students (San Rafael Station)
- May 7 | Philanthropic Educational Organization (Kenwood)
- May 7 | Safety Presentation at Mary E. Silveira Elementary School (San Rafael)
- May 5 | Roseland Cinco de Mayo Celebration (Santa Rosa)
- May 4 | Group Trip Safety Briefing: Seniors (Rohnert Park Station)
- May 4 | Group Trip Safety Briefing: Homeschool students (Santa Rosa Station)
- May 1 | Group Trip Safety Briefing: Live Oak Charter School (Petaluma Station)
- April 28 | Butter and Egg Days Festival (Petaluma)
- April 26 | Group Trip Safety Briefing: Disability Services and Legal Center (Santa Rosa and Rohnert Park stations)
- April 25 | Group Trip Safety Briefing: Varenna Oakmont Seniors (Santa Rosa Station)
- April 21 | Earth Day Santa Rosa (Santa Rosa)
- April 19 | Earth Day at the Marin County Civic Center (San Rafael)



Community Outreach | Safety Education and Awareness

SMART's Communications and Marketing team continues its strong railroad safety program, providing information at all community presentations, outreach events, and on all major social media platforms.

The SMART train has proven to be a popular destination for school and community group field trips, with interest increasing during recent months. SMART's community outreach team has taken this opportunity to incorporate a platform safety briefing program as part of our regular outreach efforts. The program presents groups with age appropriate material about railroad safety and prepares them to be knowledgeable transit riders.



SMART's outreach team participated in Earth Day Santa Rosa this month, providing important safety information and raising public awareness of SMART's passenger service.

SMART's outreach booth was a popular stop at Petaluma's Butter and Egg Days parade and festival. An estimated 30,000 attended the event, and SMART provided important safety information and answered questions about train service. (Right)



SMART's Communications and Marketing team also conducted the outreach for the Larkspur extension, canvassing more than 125 businesses and residents in the construction area. SMART also meets one-on-one with area businesses, to answer any questions and hear any concerns regarding the ongoing work. As work progresses, SMART will continue to proactively connect with those who live and work in the area.

As part of the testing for freight operator Northwestern Pacific Railroad Company's Positive Train Control (PTC) system, SMART continues to do safety canvassing in neighborhoods along the railroad alignment. This includes sections in Santa Rosa located near the Santa Rosa North station where the train horn would be frequently used. Outreach staff provided information on testing locations, times, and durations.

MARKETING

Digital Programs | Social Media

SMART continues to publish content that encourages ridership. Social media is used to promote connecting transit services such as Santa Rosa’s ParkSMART shuttle and Petaluma Transit. SMART’s mobile ticketing app and Clipper are featured each week on Facebook and Twitter, as well as on the front page of SMART’s website.

Keeping our customers and community informed is an important part of SMART’s digital marketing work. SMART’s most popular Facebook post since the last SMART Board meeting was the announcement regarding the \$21 million in state transportation funds awarded to SMART that will be used to extend the system to Larkspur. The post reached over 17,000 people.



SMART’s digital media programs continue to be strong. The most popular post during this period — the news of \$21 million in state funding for the Windsor extension—reached more than 17,000 people.



Media | News Coverage

- May 9, *SMART coming to Windsor, pending ballot measure* (The Healdsburg Tribune)
- May 9, *SMART coming to Windsor, pending ballot measure* (Cloverdale Reveille)
- May 8, *San Rafael's multiuse path cost climbs to nearly \$6 million* (Marin Independent Journal)
- May 3, *Marin, Bay Area \$3 toll hike awaits voters in June* (Mass Transit Magazine)
- May 2, *Marin, Bay Area \$3 toll hike awaits voters in June* (Marin Independent Journal)
- May 1, *Regional Measure3 on June ballot proposes to increase bridge tolls to fund traffic and transit systems, including SMART* (KSRO Radio)
- April 30, *CalSTA awards \$4.3 billion to California transit and intercity rail projects through grants, funding agreements* (Railway Track & Structures)
- April 30, *Bay Area Transit Agencies To Receive Millions In State Funds* (Larkspur Patch)
- April 28, *Bay Area Transit Agencies Among Several To Receive Billions In State Funds* (SF Gate)
- April 27, *Smart Train Gets State Funding To Help Extend Service To Windsor* (SF Gate)
- April 27, *SMART snags \$21 million for Windsor extension* (Petaluma Argus Courier)
- April 27, *Future destinations for the SMART train are now closer to reality* (KSRO Radio)
- April 27, *SMART Windsor Extension Gets \$21M Boost* (Healdsburg Patch)
- April 26, *Major Bay Area transportation projects to receive state funding* (SF Gate)
- April 26, *SMART receives \$21 million in state transit funds to build Windsor extension* (Santa Rosa Press Democrat)
- April 26, *SMART gets \$21M for Windsor extension* (Marin Independent Journal)
- April 26, *Petaluma Around the Clock: Waiting for the train* (Petaluma Argus Courier)
- April 24, *Interview: ridership, popular destinations, and the Larkspur and Windsor extensions* (KSRO Radio)
- April 24, *North Marin shuttle to Larkspur ferry will resume* (Marin Independent Journal)
- April 23, *With Roseland homeless camp closed, many residents migrate to Joe Rodota Trail* (Santa Rosa Press Democrat)
- April 19, *SMART Train rider experience* (News of the North Bay)
- April 14, *Editorial: San Rafael bike path carries a hefty price tag* (Marin Independent Journal)
- April 11, *Smart Larkspur extension secures FTA funding* (Railway Track and Structures)
- April 11, *USDOT to support commuter rail extension development in California* (Railway Technology)

VEHICLE ENGINEERING

- The four (4) Option Cars are still on schedule in Japan for November Delivery to SMART.
- Testing continues on all of the cars.
- Daily Reports are submitted to SMART from the Resident Inspector onsite in Japan to ensure quality of the vehicles construction.



Trucks have been installed on the Four (4) Option Cars



Seats are installed and left covered for protection

OPERATIONS

MAINTENANCE OF WAY:

- Staff participated in an operations table top exercise with Chief Welch to review incident check lists.
- Met with PG&E at two different locations to discuss upcoming project effecting the railroad (pole replacements and gas line maintenance).
- Worked with the City of Petaluma to complete repairs to the grade crossing at the intersection of 1st and D Streets in advance of the Butter and Eggs Festival.
- Performed cleanup and vegetation control around our facility at Healdsburg, including a homeless camp cleanup in the yard.
- Hosted California Public Utilities Commission track inspectors for 2 days as they hi-railed and inspected all 42 miles of the operating segment.
- Provided 5 man days of flagging for Sonic to splice into pull boxes on SMART's right-of-way.
- SMART Facilities performed a cleanup at the bridge adjacent to Water Street in downtown Petaluma. Numerous deteriorated pieces of walkway plywood needed to be removed along with other debris.
- Numerous pothole from winter rains repaired at Airport Station parking lot.
- Began spring vegetation management throughout the railroad with mowing, spraying, and weed eating.

TRANSPORTATION:

- Added a three car train for the Butter and Eggs Festival.

VEHICLE MAINTENANCE:

- Diesel Multiple Unit exterior cleaning.
- Cleaned the shop.
- Automatic Train Control training on empath.
- Replacement of vestibule curtains.

HUMAN RESOURCES

CURRENT OPEN RECRUITMENTS:

- Engineer-Conductor
- Signal Technician
- Safety and Compliance Officer
- Real Estate Officer
- Engineering Intern

INTERVIEWS CONDUCTED:

- Engineer-Conductor
- Signal Technician
- Vehicle Maintenance Technician
- Safety and Compliance Officer
- Laborer

HIRING:

SMART has hired the following staff: 1-Bridge Tender and 1 – Vehicle Maintenance Technician

REAL ESTATE

PROPERTY ACQUISITIONS

Downtown Santa Rosa Station Property - Staff posted a District Solicitation for a Transit Oriented Development at Railroad Square on March 30, 2018. On April 16th, staff met on-site with one potential proposer who wanted to do a site evaluation. On April 20th, staff send out an addendum responding to all inquiries about solicitation. The submittals are due on May 28, 2018. The tentative date for the Board to review the finalists is July 18, 2018. All of the information on the property is available through SMART's webpage.

Downtown Petaluma Station Property - Staff is supporting counsel on litigation with the prior approved developer of the property.

Larkspur Extension Project - In June 2017, a Memorandum of Understanding was executed between SMART and the City of San Rafael for the Larkspur Extension Project which included a Land Exchange. The land exchange is needed for the realignment of Francisco Boulevard West and new alignment of the tracks. Staff is continuing to work on the remaining properties that will be in the Land Exchange Agreement with the City of San Rafael.

Staff is continuing to work with Engineering and Legal to finalize the details of the offer to a private property owner who was given an offer to purchase their property in September. Staff is continuing to work with the City of San Rafael to make a minor zoning change on the recently acquired property from the City. Staff has negotiated a lease agreement to secure early access to a portion of the property prior to closing escrow. Final utility legal descriptions and plats are being developed.

Staff is continuing weekly coordination meetings with Pacific Gas & Electric Company and for all their utility work that is a part of the project.

Staff is continuing to work on the legal descriptions and plats that are needed for the transfer of the proper property rights to the utility companies and the new location of the utilities.

Track work – Research continues on property rights for both SMART and property owners regarding the location of track.

Pathway - Coordinating with the local municipalities regarding securing any necessary land rights to accommodate the construction of the pathways and interim access and laydown area needs.

PROPERTY MANAGEMENT

Special Event Received Requests for:

Kaiser Family Wellness Run – September 8, 2018

Fulton Family Clean-up Day – July 14, 2018

Penngrove Fourth of July Parade – July 1, 2018

Special Event Issued:

Windsor Day Parade – May 5, 2018

Ironman Race in Santa Rosa – May 12, 2018

Run Wine Country/Fit For Equality (Formerly known as: Windsor Half Marathon) – May 20, 2018

Right of Entry Permits Issued:

PG&E – Undergrounding of electrical line at San Miguel Road – Restoring power for Coffey Park in Santa Rosa.

PG&E – Replacement of poles, replace insulators and installation of new electrical lines across the tracks – Bel Marin Keys, Novato.

City of San Rafael – Paving at Pacheco Street, San Rafael.

PG&E – Relocation of poles and new electrical lines at Francisco Boulevard West, San Rafael.

Caltrans – Overhead Bridge Replacement Project in Petaluma – Soil Borings for engineering design work.

Staff is continuing working on issuing Right of Entry Permits/License with:

PG&E – Gas Line Repair work at Rush Creek Place in Novato.

City of Santa Rosa – Sanitary Sewer Line Rehab Project at Standish Avenue.

COMCAST – Staff is still working to finalize outstanding license agreement fees. Fiber Optic installation verifications in seven (7) locations. Agreements to be revised.

Marin County Flood Control/City of San Rafael – Clearing of vegetation – Drainage Channel

PG&E – Vegetation trimming/removal



SAFETY AND SECURITY

Chief Welch facilitated an internal table top exercise for SMART staff. The exercise was an opportunity for safety, operations, outreach and admin to walk through processes and documentation in the event of a critical incident.



Staff has continued recruitment and interviews for the Safety and Compliance position in the Safety Department. After months of recruitment, interviews and salary increase, we have been receiving applicants with experience who could be an asset to our organization.

Chief Welch participated in a monthly industry stakeholder call with the Transportation Security Administration regarding industry trends.

The Department of Homeland Security TSA/Surface division has been onsite to begin the assessment of our security programs.

Chief Welch attended the monthly Sonoma and Marin County Chiefs of Police meetings. These meetings are an opportunity for each county law enforcement to discuss trends and community issues.

Ongoing trespassers along SMART's Right-of- Way (Santa Rosa):



Code Compliance received a report of someone sleeping in the crawl space under the Civic Center platform. The property was removed and lock was replaced on the crawl space.



Chief Welch presented at a Sons In Retirement (SIRs) group of 80 retirees. The group was provided an update on the SMART project to include construction, Clipper, Safety and parking concerns.



GRANTS AND FEDERAL/STATE AND REGIONAL ACTIVITIES

UPCOMING GRANTS AND AWARD ANNOUNCEMENTS:

Active Transportation Program (ATP) - The California Transportation Commission (CTC) is in the process of adopting guidelines for the 2019 ATP funding cycle. The CTC anticipates adopting final guidelines and issuing a Call for Projects for ATP funds on May 16, 2018, with project applications due July 31, 2018. This programming cycle will cover funding for Fiscal Years 2019-20 through 2022-23. The program will have \$100 million annually, with 50% programmed by the regions and 50% decided by the CTC on a statewide basis. In the Metropolitan Transportation Commission (MTC) covered region, MTC will program their share of the ATP funds after the state, with the MTC decision due to the CTC by February 2019.

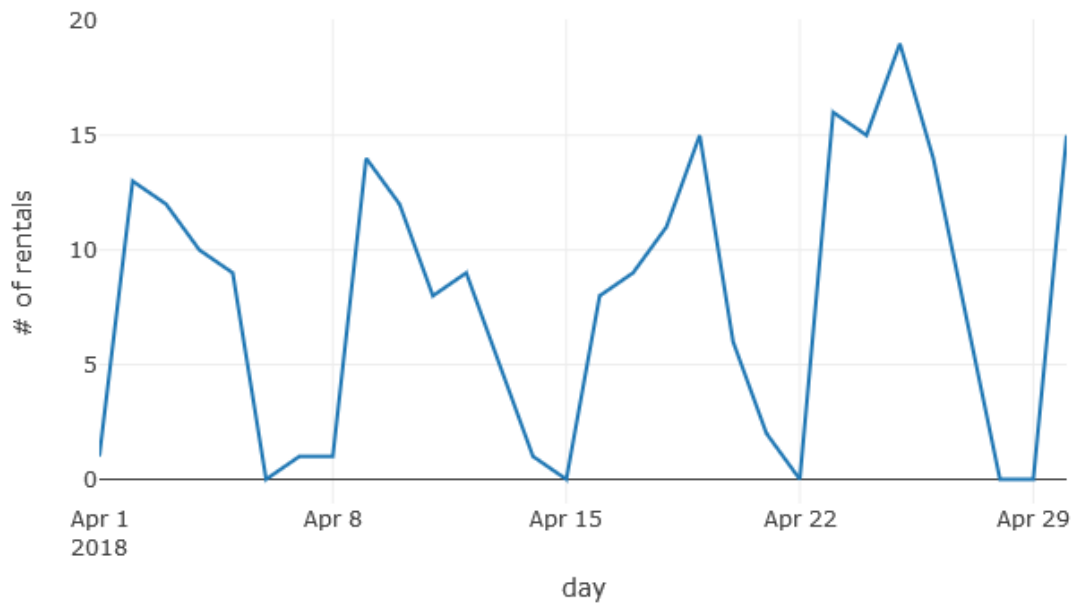
OTHER REGIONAL ACTIVITIES:

BikeLink™ Lockers: SMART has installed 34 electronic lockers accommodating 68 bicycles at SMART Stations.

STATION	RACKS	LOCKERS
Downtown San Rafael	10	4
Civic Center	10	4
Hamilton	10	4
North Novato	10	8
Petaluma	10	12
Cotati	10	8
Rohnert Park	10	8
Downtown Santa Rosa	10	12
North Santa Rosa	10	4
Airport	10	4

The locker vendor, BikeLink™, has locker locations throughout the Bay Area and more information on how to use the system can be found here: <https://www.bikelink.org/>. BikeLink has recently completed a successful pilot program with BART testing Clipper card use on BikeLink lockers. BikeLink is beta testing several features of Clipper card implementation before conducting a General Release of Clipper on BikeLink, currently anticipated for late Spring or early Summer. SMART's BikeLink lockers will be one of the first Clipper-enabled bicycle locker systems in the Bay Area.

BikeLink locker usage reports for the month of April 2018 indicate that Santa Rosa Downtown location continues to have the most rentals, with scattered usage throughout the rest of the system. System-wide locker usage for the month of April 2018 is shown here:



PLANNING

REGIONAL TRANSIT/PLANNING COORDINATION ACTIVITIES:

State Route 37 Project, Memorandum of Understanding between Transportation Authority of Marin (TAM), Sonoma County Transportation Authority (SCTA), Napa County Transportation Planning Agency (NCTPA), and Solano Transportation Authority (STA) – TAM, SCTA, NCTPA and STA have entered into a Memorandum of Understanding (MOU) to continue to jointly study needed improvements to State Route (SR) 37. The meeting scheduled for Thursday May 3rd was cancelled. The next meeting has been rescheduled for Friday July 20th at 9:30am the Foley Cultural Center in Vallejo.

National Transit Database (NTD) Reporting – Federal Transit Administration grant recipients – those receiving funding from the Urbanized Area Formula Program (5307) or Rural Formula Program (5311) – are required to submit data to the NTD on a monthly and annual basis. Staff continues to submit monthly ridership reports. Staff took a free course at the BART offices in Oakland on April 18th and 19th to review all the requirements for the annual report, which is due on October 31st.

LOCAL COORDINATION

Metropolitan Transportation Commission Regional Onboard Survey Program – The Metropolitan Transportation Commission (MTC) has a goal to cooperatively survey the entire region's Transportation Development Act (TDA) funded fixed-route transit ridership over a five to seven year period. Survey goals include supporting operator Title VI reporting requirements, refinement of analytical planning tools, such as MTC's travel demand model; and providing data for regional and operator-specific ridership market and equity analyses. The consultant assigned to SMART began the main survey work on March 20th and will continue until Mid-to-Late May. The final results of the survey will be available for viewing by September 1st, 2018.

Solano Transit Authority's Water Transit Plan Development Team – Solano Transit Authority (STA) has hired a consulting team to create an analysis/study to look at water transit services in Solano County and around the rest of the Bay Area. Staff will be in attendance at the Plan Development Team's second meeting was Tuesday May 15th at 10:30am at Mare Island to discuss the plan's potential impact on SMART.

Local Coordination Tracking - The Planning department tracks and reviews all notices sent by local jurisdictions for projects occurring adjacent to or nearby the railroad tracks. Staff has reviewed 62 notices so far this year (18 in January, 11 in February, 16 in March and 17 in April).